# PHASE II CHARLIE'S PASTURE NORTH TRAIL FEMA PROJECT No. 36926





710 West Avenue - A Port Aransas, Texas 78373

> Job No. 6100.C1.07 FEBRUARY 2024





2725 SWANTNER DRIVE CORPUS CHRISTI, TEXAS 78404

Firm No. 145 TBPLS Firm No.: 10032400



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#### DIVISION 1 - GENERAL REQUIREMENTS SECTION 1A - BID DOCUMENTS

#### **1A1**[2] **INVITATION TO BID**

Sealed Proposals addressed to the <u>City of Port Aransas</u> will be received at the office of the <u>City of Port Aransas</u>, 710 W. Ave. A, Port Aransas, Texas 78373 until <u>Friday March 15, 2024</u> <u>@ 2:00 P.M.</u>, at which time they will be publicly opened and read.

Proposals will be for constructing Phase II Charlie's Pasture North Trail - FEMA Project No. 36926

The project consists of: <u>The construction of timber/FRP boardwalks</u>, upland trails, concrete sidewalk, and observation structures.

Bidders must submit a Cashier's or Certified Check issued by a bank satisfactory to <u>City of Port Aransas</u>, or a Proposal Bond from a reliable surety company, payable to <u>City of Port Aransas</u> in an amount not less than five percent (5%) of the bid submitted as a guaranty that the Bidder will enter into a contract using the forms provided within ten (10) days after notice of award of contract to him. Bids without the required check or proposal bond will not be considered. The <u>City of Port Aransas</u> will notify the successful Bidder, in writing, within thirty (30) days after the date of opening bids, of its acceptance of his proposal.

The successful Bidder will be required to furnish a Performance Bond and a Payment Bond each in the amount of contract, written by a responsible surety company authorized to do business in the State of Texas, listed in the latest issue of U. S. Treasury Circular 570 and satisfactory to the Owner, as required by Article 5160, V.A.T.C.S., as amended by H.B. 344, passed by the 56th Legislature, Regular Session, 1959.

The Contract Documents contain detailed Instructions to Bidders; however, the Contractors attention is directed to the following items:

1. The Bidder is hereby notified that the Owner has ascertained the Wage Rates which prevail in the locality in which this work is to be done. The Contractor and Subcontractors shall pay not less than the Wage Rates so shown for each craft or type of "laborer", "workman" or "mechanic" employed on this project.

The Owner reserves the right to reject any or all bids and to waive formalities. In case of ambiguity or lack of clearness in stating the prices in the bids, the Owner reserves the right to consider the most advantageous construction thereof, or to reject the bid. Unreasonable (or "unbalanced") unit prices will authorize the Owner to reject the bid.

Bidders are expected to inspect the site of the work and to inform themselves regarding all local conditions.

To obtain Contract Documents, and to be added to the bidders list, email Elsa Kroeger at Urban Engineering, LLC ekroeger@urbaneng.com.

#### **SECTION 1A - BID DOCUMENTS**

#### 1A2[2] INSTRUCTIONS TO BIDDERS

#### **1A2**.1 USE OF SEPARATE BID FORMS AND CERTIFICATES:

These Contract Documents include a complete set of bidding and contract forms, which are for the convenience of bidders and are not, to be detached from the Contract Documents, filled out, or executed. Separate copies of Bid Forms are furnished for that purpose.

#### 1A2.2 MINORITY AND WOMEN'S BUSINESS ENTERPRISES:

GOALS FOR WOMEN AND MINORITIES IN CONSTRUCTION: Department of Labor regulations set forth in 41 C.F.R. § 60-4 establishes goals and timetables for participation of minorities and women in the construction industry. These regulations apply to all federally assisted construction contracts in excess of \$10,000. The Recipient shall comply with these regulations and shall obtain compliance with 41 C.F.R. § 60-4 from contractors and subcontractors employed in the completion of the Project by including such notices, clauses and provisions in the Solicitations for Offers or Bids as required by 41 C.F.R. § 60-4. The goal for the participation of women in each trade area shall be as follows: From April 1, 1981, until further notice: 6.9 percent.

All changes to this goal, as published in the Federal Register in accordance with the Office of Federal Contract Compliance Programs regulations at 41 C.F.R. § 60-4.6, or any successor regulations, shall hereafter be incorporated by reference into these Special Award Conditions.

Goals for minority participation shall be as prescribed by Appendix B-80, Federal Register, Volume 45, No. 194, October 3, 1980, or subsequent publications. The Recipient shall include the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" (or cause them to be included, if appropriate) in all Federally-assisted contracts and subcontracts. The goals and timetables for minority and female participation may not be less than those published pursuant to 41 C.F.R. § 60-4.6. The minority participation goal for this project is 41.7 percent.

#### **1A2**.3 INSPECTION OF SITE:

Each Bidder should visit the site of the proposed work and fully acquaint himself with the existing conditions there relating to construction and labor, and should fully inform himself as to the facilities involved, the difficulties and restrictions attending the performance of the Contract. The Bidder should thoroughly examine and familiarize himself with the Drawings, Technical Specifications, and all other Contract Documents. The Contractor by the execution of the Contract shall in no way be relieved of any obligation under it due to his failure to receive or examine any form or legal instrument or to visit the site and acquaint himself with the conditions there existing and the Owner will be justified in rejecting any claim based on facts regarding which he should have been on notice as a result thereof.

#### **1A2**.4 <u>INTERPRETATIONS OR ADDENDA</u>:

No oral interpretation will be made to any Bidder as to the meaning of the Contract Documents or any part thereof. Every request for such an interpretation shall be made in writing to the Engineer. Any inquiry received prior to 4:00 p.m. C.S.T. on Wednesday March 13, 2024 will be given consideration. Every interpretation made to a Bidder will be in the form of an Addendum to the Contract Documents, and when issued, will be on file in the office of the Engineer at least five days before Bids are opened. In addition, all Addenda will be mailed to each person holding Contract Documents, but it shall be the Bidder's responsibility to make inquiry as to the Addenda issued. All such Addenda shall become part of the Contract and all

bidders shall be bound by such Addenda, whether or not received by the Bidders. A pre-bid conference may be held if requested by a sufficient number of prospective bidders.

1A2.5 <u>ALTERNATE BIDS:</u> No alternate bids will be allowed for this project unless specifically called for in the bid proposal.

#### **1A2**.6 BIDS:

#### **1A2**.6.1 General:

All bids must be submitted on forms supplied by the Engineer and shall be subject to all requirements of the Contract Documents including the Drawings, and these Instructions to Bidders. All Bids must be regular in every respect and no interlineations, excisions or special conditions shall be made or included in the Bid Form by the Bidder.

#### 1A2.6.2 Bid Package:

The following items shall be submitted in the Bid Package:

- a. Bid Proposal
- b. Bid Guaranty

The above listed items shall be enclosed in envelopes, outer and inner, both of which shall be sealed and clearly labeled with the words, "Bid for the Construction of <u>Phase II Charlie's Pasture North Trail - FEMA Project No. 36926</u>, name of Bidder and date and time of bid opening in order to guard against premature opening of the Bid. The Owner may consider as Irregular any Bid on which there is an alternate or departure from the Bid Form hereto attached, and at its option may reject the same.

#### **1A2**.7 <u>BID GUARANTY</u>:

#### **1A2**.7.1 General:

The Bid must be accompanied by a Bid guaranty that shall not be less than five percent (5%) of the amount of the Bid. At the option of the Bidder, guaranty may be a certified check, bank draft, negotiable U. S. Government Bonds (at par value) or a Bid Bond in the form attached. The Bid Bond shall be secured by a guaranty or a surety company listed in the latest issue of U. S. Treasury Circular 570. The amount of such Bid Bond shall be within the maximum amount specified for such company in said Circular 570. No Bid will be considered unless it is accompanied by the required guaranty. Certified check or bank draft must be made payable to the order of the City of Port Aransas. Cash deposits will not be accepted. The Bid guaranty shall insure the execution of the Agreement and the furnishing of the surety bond or bonds by the successful Bidder, all as required by the Contract Documents. Certified check or bank drafts, or the amounts thereof, Bid Bonds, and negotiable U.S. Government Bonds of unsuccessful Bidders will be returned as soon as practical after the opening of the Bids.

#### 1A2.7.2 Revised Bids:

Revised Bids submitted before the opening of Bids, forwarded by mail, if representing an increase in excess of 2 percent of the original Bid, must have the Bid guaranty adjusted accordingly, otherwise the Bid will not be considered.

#### **1A2.8** CORRECTIONS:

Erasures or other changes in the Bids must be explained or noted over the signature of the Bidder.

#### **1A2.9** TIME FOR RECEIVING BIDS:

Bids received prior to the advertised hour of opening will be securely kept, sealed. The officer whose duty it is to open them will decide when the specified time has arrived, and no Bid received thereafter will be considered.

#### **1A2**.10 OPENING OF BIDS:

At the time and place fixed for the opening of Bids, the Owner will cause to be opened and publicly read aloud every Bid received within the time set for receiving Bids, irrespective of any irregularities therein. Bidders and other persons properly interested may be present, in person or by representative.

#### **1A2**.11 WITHDRAWAL OF BIDS:

A Bid may be withdrawn on written request dispatched by the Bidder in time for delivery in the normal course of business to the time fixed for opening provided; that written confirmation of any withdrawal over the signature of the Bidder is placed in the mail and postmarked prior to the time set for Bid opening. The Bid guaranty of any Bidder withdrawing his Bid in accordance with the foregoing conditions will be returned promptly.

#### **1A2**.12 REJECTION OF BIDS:

The Owner reserves the right to consider as unqualified to do the work of general construction any Bidder who does not habitually perform with his own forces the major portions of the work involved in construction of the components in this project.

#### **1A2**.13 AWARD:

The Contract will be awarded to the responsible Bidder submitting the lowest and/or best bid complying with the Contract Documents. The Owner reserves the right to accept or reject any or all bids if it is deemed to be in the best interest of the Owner. Further the Owner reserves the right to reject any bid because of irregularity or to waive such irregularity or such action as in the Owner's interest.

#### **1A2**.14 EXECUTION OF AGREEMENT:

#### **1A2**.14.1 General:

Subsequent to the award and within ten days after the prescribed forms are presented for signature, the successful Bidder shall execute and deliver to the Owner an Agreement in the form included in the Contract Documents in such number of copies as the Owner may require.

#### **1A2**.14.2 Bonds:

Having satisfied all conditions of award as set forth elsewhere in these documents, the successful Bidder shall, within the period specified in Paragraph 1A2.14.1 above, furnish surety bonds in a penal sum not less than the amount of the contract and for the payment of all persons, firms or corporations to whom the contractor may become legally indebted for labor, materials, tools, equipment, or services of any nature including the work. Such bonds shall be in the same form as that included in the Contract Documents and shall bear the same date as, or a date subsequent to that of the Agreement. The current power of attorney for the person who signs for any surety company shall be attached to such bonds. These bonds shall be signed by a guaranty or surety company listed in the latest issue of the U. S. Treasury Circular 570 and the penal sum be within the maximum specified for such company in said Circular 570.

#### **1A2**.14.3 Default:

The failure of the successful Bidder to execute such Agreement within thirty days after the prescribed forms are presented for signature, or within such extended period as the Owner may grant, based upon reasons determined sufficient by the Owner shall constitute a default, and the Owner may either award the Contract to the next lowest responsible Bidder or re-advertise for Bids, and may charge against the Bidder the difference between the amount of the Bid and the amount for which a Contract for the work is subsequently executed, irrespective of whether the amount thus due exceeds the amount of the Bid Bond. If a more favorable Bid is received by re-advertising, the defaulting Bidder shall have no claim against the Owner for a refund.

#### 1A2.15 STATEMENT OF BIDDER'S QUALIFICATIONS:

Each Bidder shall, upon request of the Owner submit for that purpose a statement of the Bidder's qualifications, his experience record in constructing the type of work in this project, and his organization and equipment available for the work contemplated; and when specifically requested by the Owner, a detailed financial statement. The Owner shall have the right to take such steps as he deems necessary to determine the ability of the Bidder to perform his obligations under the Contract and the Bidder shall furnish the Owner such information and data for this purpose as it may request. The right is reserved to reject any bid where the investigation of the available evidence or information does not satisfy the Owner that the Bidder is qualified to carry out properly the terms of the Contract.

#### **1A2**.16 WAGE RATES:

The Contractor must abide by the Wage and Hour Laws of the State of Texas, and must pay not less than the legally prescribed rate for <u>Nueces</u> County.

#### **1A2**.17 UNIT PRICES:

The unit prices for each of the several items in the proposal of each Bidder shall include its prorata share of overhead and profit so the sum of the products obtained by multiplying the quantity shown for each item by the unit price Bid represents the total Bid. Any Bid not conforming to this requirement maybe rejected as informal. The special attention of all bidders is called to this provision, for should conditions make it necessary to revise the quantities, no limit will be fixed for such increased or decreased quantities nor extra compensation allowed, provided the net monetary value of all such additive and subtractive changes in quantities of such items of work (i.e., difference in cost) shall not increase or decrease the original contract price by more than twenty-five percent (25%) except for work not covered in the Drawings and Technical Specifications as provided for Changes in the Work (Extra Work) provisions of the General Conditions.

#### **1A2**.18 RETAINAGE:

See Subsection 1E8 Measurement and Payment of the Special Conditions.

#### **1A2**.19 GUARANTEE:

See Article 7.17 of the General Conditions and SC-7.10 of the Supplemental General Conditions.

#### **1A2.**20 ACCELERATED IMPLEMENTATION OF DATE OF GUARANTEE:

See Article SC-7.11 of the Supplemental General Conditions.

#### **1A2**.21 LACK OF INFORMATION:

See Article SC-3.5 of the Supplemental General Conditions.

#### **1A2**.22 STATE SALES TAX:

See Subsection 1E9 – State Sales Tax

#### **SECTION 1A - BID DOCUMENTS**

**B. NORTH TRAIL** 

1. Demolition (all locations)

#### 1A3<sub>[2]</sub> PROPOSAL FOR UNIT PRICE BID

ТО:	City of Port Aransas 710 W. Ave. A Port Aransas, Texas 78.	373		Date: _							
	PRO	OPOSAL FOR THE	CONS	TRUCTION OF							
CENT	PHAS	E II CHARLIE'S PA FEMA PROJE PORT ARAN	ECT No	. 36926							
We, th	e undersigned, having fa juirements of Contract	Documents as preparation furnish all things as	ared by required	the Engineers, and by said Documents	the cost of the work with and all Addenda to said as and addenda thereto for a set out hereinafter.						
<b>1A3</b> .1	BASE BID:										
	ADDITIVE ALTERN	ADDITIVE ALTERNATE:									
	BASE BID FOR ENT	BASE BID FOR ENTIRE PROJECT:									
		Dollars and		cents (\$	<u>)</u> .						
1A3.2	TABLATION OF BA The bid for 1A3.1 is furth										
NO.	ITEM	QUANTITY	UNIT	UNIT PRICE	TOTAL PRICE						
A. GE	CNERAL										
1. Mo	bilization	1	LS	\$	\$						
2. Proj	ject Signage	1	LS	\$	_ \$						

LS

\$\_\_\_\_\_\_\$\_\_\_\_

NO. ITEM	QUANTITY	UNIT	UNIT PRICE TOTAL PRICE
Continue "B" North Trail:			
2. Concrete Sidewalk	6,456	SF \$_	\$
3. Crushed Granite Upland Trail	11,926	SF \$_	<u> </u>
4. Boardwalk with Flow Through Decking	22,100	SF \$ <u></u>	\$
5. Wood Matting	1	LS \$_	\$
6. Upland Observation Area	1	EA \$_	\$
7. Wetland Observation Area	3	EA \$_	<u> </u>
8. Salt Island Tower Maintenance	1	LS \$_	\$
9. Storm Water Pollution Prevention Plan	1	LS \$_	<u> </u>
C. PARK REPAIRS			
1. Circular Picnic Area Crushed Granite	423	SF \$_	<u> </u>
2. Repair, Re-stucco and Reset Concrete Bollards	46	EA \$_	\$
3. Reset Concrete Bollard	60	EA \$_	<u> </u>
4. Replace Concrete Bollard	2	EA \$_	\$
SUI	3-TOTAL BA	SE BID: \$	S
ADDITIVE ALTERNATE			
1. Recable vehicle barrier fence with new ½ galvanized steel cable and hardware	4,625	LF	\$\$
SUB-TOTAL ADDITIV	E ALTERNA	TE BID:	\$
TOAL BASE BID + ADDITIV	E ALTERNA	TE RID:	\$

NOTE: The unit prices stated herein before must include all labor, materials, bailing, removal, overhead, profit, insurance, etc., to cover the finished work of the several kinds called for and the Owner reserves the right to delete all or a portion of any Bid Item. The above quantities are approximate and may vary from the final quantities. Do not order material based on these approximate quantities.

#### 1A3.2 REJECTIONS OF BIDS

We, the undersigned, understand that the Owner reserves the right to reject any or all Bids and to waive any informalities in the bidding.

#### 1A3.3 SITE INVESTIGATIONS

We, the undersigned, have investigated the site conditions, fully satisfied ourselves of both the surface and subsurface conditions there, and based our bid accordingly.

#### 1A3.4 TIME OF COMPLETION

We, the undersigned, will commence work within 10 Calendar Days after the date of the written notice to proceed with construction and to substantially complete the entire project by *November*  $I^{st}$ , 2024. Should we fail to comply with this requirement, we agree to pay liquidated damages in the amount of \$500. per Calendar Day until the work is completed.

#### 1A3.5 BIDDER QUALIFICATIONS

We, the undersigned, do hereby agree that if requested we will furnish written evidence to demonstrate our qualifications to perform the work.

#### 1A3.6 WAGES AND SALARIES

We, the undersigned, do hereby agree to fully comply with the prevailing wage rates for <u>Nueces</u> County (These rates are set out in Subsection 1E10 of the Special Conditions), and that We and our Subcontractors will pay not less than those for each craft or type of "Laborer", "Workman" or "Mechanic" employed on this project.

			Contractor			
			By:			
			(Seal if Corporation)			
			Address			
			Telephone			
ADDENDUM	A RECEIPT c following adden	da to the Contra	act Documents is	acknowledged:		
Receipt of the			ADDENDA	DATE	SIGNED	

#### 1A3.9 BID ACCEPTANCE

If written notice of the acceptance of this Bid is mailed, Faxed, or delivered to the Bidder within thirty (30) days after the date for opening of Bids or anytime thereafter before this Bid is withdrawn, the Bidder will, within ten (10) days after the date of such mailing, Faxing, of delivery of such notice, execute and deliver to the Owner, an Agreement in the form included in the Contract Documents and surety bonds in accordance with Section 1A2 of the Instructions to Bidders. The Bidder hereby designates as his office to which such notice of acceptance may be mailed, Faxed, or delivered:

# Name of Bidder: Bidder Is: Individual ( ) Partnership ( ) Corporation ( ) Residence of Bidder (if individual): Date of Bid: If Bidder is a partnership, fill in the following blanks: Name of Partners: If Bidder is a corporation, fill in the following blanks. Organized under the laws of the State of Name and Home address of the President Name and Address of the Treasurer

#### **SECTION 1A - BID DOCUMENTS**

#### 1A6[1] STATEMENT OF BIDDER'S QUALIFICATIONS

(To be submitted by the lowest and/or best Bidder only upon the specific request of the Owner.)

All questions must be answered, and the data given must be clear and comprehensive. This statement must be notarized. If necessary, questions may be answered on separate attached sheets. The Bidder may submit any additional information he desires.

Name of Bidder.
Permanent main office address.
When organized.
If a corporation, where incorporated.
How many years have you been engaged in the contracting business under your present firm or trade name?
Contracts on hand. (Attach a schedule these, showing amount of each contract and the appropriate anticipated dates of completion.)
General character of work performed by your company.
Have you ever failed to complete any work awarded to you? If so, where and why?
Have you ever defaulted on a contract? If so, where and why?
List the more important projects recently completed by your company, stating the approximate cost for each, and the month and year completed.
List your major equipment <u>available for this</u> contract.
Experience on construction work similar in importance to this project.
Background and experience of the principal members of your organization, including the officers.

14.	Credit available:					
15.	Give Bank reference:					
16.	Will you, upon request, fi may be required by the Ov		iled financi	al statement and	furnish any other information	n that
17.					m or corporation to furnish als comprising this Stateme	
	Dated at		, this	day of	20	
					e of Bidder)	
				Ву:		
				Title:		
Sta	te of	_)				
Coı	unty of	_)				
				, being duly sv	vorn, deposes and says that h	ıe
is		of		(Name of Organiza		
and	that the answers to the fore	going guesti	ions and all		tion) n contained are true and corre	ect
						.c
Sub	oscribed and sworn to before	me this	day of		, 20	
				(Notary Public)		
Mx	commission evnires		20			

#### **SECTION 1A - BID DOCUMENTS**

#### ${\bf 1A7}{\tiny [1]}~{\bf BID}~{\bf BOND}$

KNOW ALL MEN	BY THESE PRES	ENTS; that we the undersigned,	as PRINCIPAL,
and			as SURETY, are held and
firmly bound unto	The City of Port A	Aransas, Nueces COUNTY, TEXAS	hereinafter called OWNER in the penal
sum of			Dollars andCents,
(\$		), lawful money of the	United States, for the payment of which
sum well and truly	to be made, we	bind ourselves, our heirs, executors,	administrators, successors, and assigns,
jointly and severall	y, firmly by these p	presents.	
THE CONDITION	OF THIS OBLIGA	ATION IS SUCH, that whereas the Pr	rincipal has submitted the Accompanying
Bid, dated	, 2024, for	the construction of:	
	PHASE I	II CHARLIE'S PASTURE NOR FEMA PROJECT No. 36926 PORT ARANSAS, TEXAS	
opening of the sam period specified the to him for signature bond with good a fulfillment of such to enter into such of difference between	e, or, if no period be erefore, or if no per e, enter into written nd sufficient suret Contract, or in the Contract and give so the amount specifi r both, if the latter	be specified, within ninety (90) day at a riod be specified, within ten (10) days in Contract with the OWNER in according or sureties, as may be required, a event of the withdrawal of said Bid such bond within the time specified, it ed in said Bid and the amount for whose in excess of the former, then the	in the period specified therein after the fter the said opening, and shall within the safter the prescribed forms are presented dance with the Bid as accepted, and give for the faithful performance and proper within the period specified, or the failure f the Principal shall pay the OWNER the ich the OWNER may procure the require above obligation shall be void and of no
IN WITNESS WH	EREOF, the above-	bounded parties have executed this in	strument under their several seals this
Day	of	, 2024, the name and	d corporate seal of each corporate party
hereto affixed and body.	these presents sign	ned by its undersigned representative	e, pursuant to authority of its governing
In presence of			(SEAL)
		(Individual Principal)	
			(Business Address)
			(SEAL)
			(Business Address)

Attest:	Ву:	
	(Corporate Principal)	
	(Business Address)	
	By:President	Affix Corporate Seal
Attest:		
	(Corporate Surety)	
	Ву:	Affix Corporate Seal
Countersigned		Scar
By:		
Attorney-in-Fact, State (Power-of-attorney for person signing for Sure	ty Company must be attached to bond.)	
CERTIF	FICATE AS TO CORPORATE PRINCIPAL	
I,	, certify that	I am the
	Secretary of the Corporation names as Principal	in the attached
Bond that	who signed the said Bond on behalf of the Prin	ncipal was then
the	of said Corporation, that I know his sig	gnature and his
	at said Bond was duly signed, sealed and attested for and in	
Corporation by authority of the gover	ning body.	
	Title(Signed)	
	Date	
		Affix Corporate Seal

#### **SECTION 1E - SPECIAL CONDITIONS**

#### 1E1[1] NAME AND LOCATION OF PROJECT

- 1E1.1 NAME OF PROJECT: Phase II Charlie's Pasture North Trail FEMA Project No. 36926
- 1E1.2 <u>LOCATION OF PROJECT</u>: The project is located in Port Aransas at the end of Port Street on the northwest corner of Charlie's Pasture Nature Preserve.

#### 1E2 OWNER

- **1E2.**1 NAME: The City of Port Aransas
- 1E2.2 ADDRESS: 710 West Avenue A, Port Aransas, Texas 78373

#### **1E3 CONTRACT DRAWINGS**

#### SHEET

NO.

#### TITLE

- 1. TITLE SHEET
- 2. PROJECT SIGNAGE
- 3. NORTH TRAIL HORIZONTAL CONTROL AND KEY MAP
- 4. NORTH TRAIL PLAN VIEW STA. 0+00 TO STA. 8+50
- 5. NORTH TRAIL PLAN VIEW STA. 8+50 TO STA. 17+50
- 6. NORTH TRAIL PLAN VIEW STA. 17+50 TO STA. 26+50
- 7. NORTH TRAIL PLAN VIEW STA. 26+50 TO STA. 40+50
- 8. NORTH TRAIL PLAN VIEW STA. 40+50 TO END
- 9. DEEP WATER CROSSING STATION STA. 11+97 TO STA. 15+70
- 10. NORTH TRAIL AND BOARDWALK DETAILS (1)
- 11. NORTH TRAIL AND BOARDWALK DETAILS (2)
- 12. NORTH TRAIL REST AREA DETAILS (1)
- 13. NORTH TRAIL REST AREA DETAILS (2)
- 14. TOWER MAINTENANCE PLAN (1)
- 15. TOWER MAINTENANCE PLAN (2)
- 16. TOWER MAINTENANCE PLAN (3)
- 17. TOWER MAINTENANCE PLAN (4)
- 18. LIMITS OF CONSTRUCTION NORTH TRAIL AND BOARDWALK
- 19. POLLUTION PREVENTION DETAILS

#### **1E4 COMPLETION DATE**

1E4.1 <u>GENERAL</u>: The work is to be substantially complete by *November 1st*, 2024. Calculation of time shall begin from the date construction is begun but in any case no later than 10 days after notice to proceed is received by the Contractor. Should the Contractor fail to substantially complete a part or parts within the specified time, liquidated damages will be assessed in the amount of \$ 500.00 per Calendar Day until the work is complete.

#### 1E5 HORIZONTAL AND VERTICAL CONTROL

#### **1E5**.1 HORIZONTAL CONTROL:

Owner will provide two (2) Horizontal Control Point on the Project Site.

#### **1E5**.2 VERTICAL CONTROL:

Owner will provide two (2) Vertical Control Point on the site.

#### **1E5**.3 LINES AND GRADES:

The Contractor shall lay out all work. All work upon completion shall conform to the lines, elevations and grades shown on the drawings. The Engineer reserves the right (but is not obligated) to periodically check completed work and require removal of all unsatisfactory work. The cost of line and grade stakes will not be paid for separately but is subsidiary to the bid items shown in the Proposal.

#### **1E5**.4 <u>NOTIFICATION</u>:

The Contractor shall give the Engineer 48-hour advance notice of when control points are needed.

#### 1E6 SCHEDULE AND SEQUENCE OF CONSTRUCTION

#### **1E6.**1 REQUIRED SCHEDULES:

Article SC-2 of the Supplemental General Conditions contains provisions that require that the Contractor prepare a Progress Schedule and Schedule of Payments. Those schedules shall incorporate the schedule and sequence of construction requirements as set out in this section.

#### **1E6.2** SCHEDULE OF CONSTRUCTION:

It is the meaning and intent of this Contract that the Contractor shall be allowed to prosecute his work at such times and seasons in such order or precedence and in such manner as shall be the most conducive to economy of construction, subject to the following conditions:

- a. The schedule of construction shall be structured to meet all requirements of Section 1E4 Completion Date of the Special Conditions.
- b. The schedule of construction shall not conflict with any provision of the Contract Documents and also that when the Owner is having other work done, either by contract or by their own force, the Engineer may direct the time and manner of constructing the work done under this Contract so that conflict will be avoided and the construction of various works being done for the Owner will be harmonized.
- c. The schedule of construction shall be structured to conform to sequence of construction as set out hereinafter.

#### **1E6.3** SEQUENCE OF CONSTRUCTION:

#### 1E6.3.1 General:

It is the meaning and intent of this Contract that the Contractor shall be allowed to prosecute his work at such times and seasons in such order or precedence and in such manner as shall be the most conducive to economy of construction. The sequence shall meet all requirements of the Contract Documents and shall not conflict with any provision of the Contract Documents.

The sequence of construction shall be structured to meet all requirements of Section 1E4 Completion Date of the Special Conditions. The Contractor shall provide a construction schedule at the pre-construction meeting to be scheduled after the bid opening.

1E6.3.1 Work by Other: When the Owner is having work done, either by contract or by their own force, the Engineer may direct the time and manner of constructing the work done under this Contract so conflict will be avoided and the construction of various works being done for the Owner will be harmonized.

#### 1E7 TESTING

#### **1E7**.1 GENERAL:

#### **1E7**.1.1 <u>Laboratory Testing</u>:

When "Laboratory Testing" is required under this section, it shall be performed by a recognized testing laboratory selected by the Owner. The cost of "Laboratory Testing" shall be borne by the **Contractor**.

#### **1E7**.1.2 <u>Contractor Testing</u>:

When "Contractor Testing" is required under this section, it shall be performed by the **Contractor** (or the manufacturer of material or equipment) under the supervision of the Engineer and at no expense to the Owner.

#### **1E7**.1.3 <u>Retesting</u>:

In the event that any test fails, that test shall be done over (after corrective measures have been taken) and the cost of retesting shall be borne by the **Contractor**.

#### **1E7.2** SCHEDULE OF TESTING:

#### 1E7.2.1 Subgrade Preparation, Embankment, and Backfill Material:

- a. Laboratory Testing:
  - Upland Trail Gradation
     Moisture-Density Relationship (Proctor Curve)
     Ea.
  - 3. In-Place Density Tests 40 Ea.
- b. Contractor Testing: None Required

#### **1E7**.2.2 Concrete: (See Subsection 3C1)

- a. Laboratory Testing:
  - 1. Mix Designs:
    - a. 3000 psi Concrete 1 Ea.
  - 2. Laboratory Control of Mixing: None Required
  - 3. Field Test Cylinder: (1 set is 3 cylinders)
    - a. Sidewalk Every 50 CY.
- b. Contractor Testing: Not Required

**SECTION 1C – BONDS** 

<b>BOND</b>	$N_{\alpha}$	
DUND	INU.	

### 1C1[1] PERFORMANCE BOND (Public Work)

(As required by Chapter 93 of the Regular Sessions of the 56th Legislature of Texas)

THE STATE OF TEXAS				
COUNTY OF <u>NUECES</u>				
KNOW ALL MEN BY THESE PRESEN	NTS:			
That we (1)	a (2)	0	f	
hereinafter called Principal and (3	0	of	State of	
, hereinafter called the Surety, are held	and firmly bound unt	to (4) The City of	of Port Aransas o	f Nueces
County, Texas hereinafter called Owner,	, in the penal sum of			_ Dollars
and Cents, in lawful mon	ey of the United State	s, to be paid in	(5) Nueces County	y for the
payment of which sum well and truly to	be made, we bind our	selves, our heirs	s, executors, admir	nistrators
and successors, jointly and severally, firm	mly by these presents.			
THE CONDITION OF THIS OBLIGA	TION is such that Wh	nereas, the princ	eipal entered into	a certain
contract with (6) The City of Port Aransa	as the Owner, dated the	eday		, 2024,
a copy of which is hereto attached and	d made a part hereof	for the construc	tion of Phase II	Charlie's
Pasture North Trail FEMA Project No. 3	6926 (hereinafter calle	ed the "work").		
NOW, THEREFORE, if the Principal she the plans, specifications and contract define thereof which may be granted by the Owelaims and demands incurred under such from all costs and damages which it may repay the Owner all outlay and expense which it is a such contract.	locuments during the yner, with or without no a contract, and shall ful ay suffer by reason of which the Owner may	original term the otice to the Suretally indemnify and failure to do so incur in making	ty and if he shall s d save harmless the o, and shall reimb good any default,	xtensions satisfy all ne Owner ourse and then this
obligation shall be void: otherwise to ren	naın ın full force and e	ttect		

PROVIDED FURTHER, that if any legal action be filed upon this bond, venue shall lie in <a href="Nueces">Nueces</a> County, State of Texas and that said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the work to be performed thereunder or the specifications accompanying the same shall in any wise affect its obligation on this bond, and it does hereby waive notice of any such change, extensions of time, alteration or addition to the terms of the contract or to the work or to the specifications.

IN WITNESS WHEREOF, this	s instrument is executed in	five (5) counterparts, each one of which shall be
deemed an original, this the	day of	2024.
ATTEST:		
Principal (Secretary	<i>y</i> )	Principal
		Ву:
(SEAL)		,
		Address
ATTEST:		
Surety (Secretary)	)	Surety
		By:
(SEAL)		
<ul> <li>(3) Correct name of Surety</li> <li>(4) Correct name of Owner</li> <li>(5) County or Parish and State</li> <li>(6) Owner</li> <li>(7) If Contractor is Partnership,</li> </ul> Surety companies executing be	o or an Individual, as case may be all partners should execute bond	Department's most current list (Circular 570 as amended) and be
		* * *
CERT	TIFICATE AS TO COR	PORATE PRINCIPAL
I,	, certify that I am the	Secretary of the Corporation named
		who signed the said Bond on
		of said Corporation; that I know
		at said Bond was duly signed, sealed and attested
for and in behalf of said Corpor	ration by authority of the	governing body.
		Title:
		Date:
		(Affix Corporate Seal)

#### **SECTION 1C - BONDS**

#### **DIVISION 1 - GENERAL REQUIREMENTS**

<b>BOND</b>	No:
-------------	-----

#### 1C2[1] PAYMENT BOND (Public Work)

(As required by Chapter 93 of the Regular Sessions of the 56th Legislature of Texas)

THE STATE OF TEXAS
COUNTY OF NUECES

KNOW ALL MEN	BY THESE PRESI	ENTS:					
That we (1)a (2)							
of	herei	nafter called Pri	incipal and (3	3)			
of		_ State of		, herei	nafter ca	lled the Suret	ty
are held and fire	nly bound unto	(4) The City	of Port	Aransas of	Nueces	County, Tex	as
hereinafter called O perform labor upon		_	_				
	Dollar	s and	Cents, (\$_		) in	lawful money	0
the United States, t	be paid in (5) Nu	eces County for	or the paymo	ent of which	sum wel	l and truly to l	be
made, we bind ourse	elves, our heirs, exe	ecutors, admini	strators and s	successors, jo	ointly and	severally, firm	ıly
by these presents.							
THE CONDITION Contract with (6) C a copy of which is Pasture North Trail	ity of Port Aransas hereto attached an	the Owner, on the desired the order that the owner, or the owner, owner, or the owner,	lated the hereof for t	day of the construct	,^ 	,202	4,
NOW, THEREFOR	E, the condition of	this obligation	is such that,	if the Princip	oal shall fa	aithfully perfor	n

NOW, THEREFORE, the condition of this obligation is such that, if the Principal shall faithfully perform the work in accordance with the plans, specifications and contract documents, then this obligation shall be void; otherwise to remain in full force and effect.

This bond is executed pursuant to the provisions of Chapter 2253, Texas Government Code and all liabilities on this bond shall be determined in accordance with the provisions thereof to the same extent as if it were copied at length herein.

PROVIDED FURTHER, that if any legal action be filed upon this bond, venue shall lie in <u>Nueces</u> County, State of Texas and that said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the work to be performed thereunder or the specifications accompanying the same shall in any wise affect its obligation on this bond, and it does hereby waive notice of any such change, extensions of time, alteration or addition to the terms of the contract or to the work or to the specifications.

PROVIDED FURTHER, that no final settlement between the Owner and Contractor shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

		ted in five (5) counterparts, each one of which shall be
deemed an original, this the	day of	, 2024.
ATTEST:		
Principal (Secretary)		Principal
		By:
(SEAL)		·
		Address
ATTEST:		Address
Surety (Secretary)		Surety
		By:
(SEAL)		
(52.12)		
NOTE: Date of Bond must not be (1) Correct name of Contractor (2) A Corporation, a Partnership of (3) Correct name of Surety (4) Correct name of Owner (5) County or Parish and State (6) Owner (7) If Contractor is Partnership, al  Surety companies executing bond authorized to transact business in	or an Individual, as case ma Il partners should execute b IM ds must appear on the Tr	oond PORTANT: easury Department's most current list (Circular 570 as amended) and be
	* * *	* * * *
CERTI	FICATE AS TO (	CORPORATE PRINCIPAL
I,	_, certify that I am	the Secretary of the Corporation named
as Principal in the attached Box	nd; that	who signed the said Bond or
behalf of the Principal was then t	the	of said Corporation; that I know
his signature and his signature th	nereto is genuine; an	nd that said Bond was duly signed, sealed and attested
for and in behalf of said Corpora	tion by authority of	the governing body.
		(Signed)
		Title:
		Date:
		(Affix Corporate Seal)

#### **SECTION 1C - BONDS**

#### 1C5[1] INSURANCE REQUIREMENTS AND ACKNOWLEDGMENT

#### 1C5.1 SCHEDULE OF INSURANCE REQUIRED FOR THIS CONTRACT:

- a. Workmen's Compensation: Statutory including Employer's Liability.
- b. Commercial General Liability policy with \$1,000,000 each occurrence, \$2,000,000 annual aggregate limits. Explosion, Collapse and Underground property damage hazards included. Products and Completed Operations Liability, Mobile Equipment Liability, Personal Injury Offenses and Contractual Liability shall be included. The policy will include the Per Project General Aggregate endorsement. This General Liability coverage will be primary and noncontributory to any policies written for the benefit of the Owner and Urban Engineering. Additional Insured and Waiver of Subrogation clauses in favor of the Owner and Urban Engineering.
- c. Automobile Liability policy with a \$1,000,000 Combined Single Limit. This coverage is to include all cars and trucks owned, rented, borrowed, hired or leased, and others of non-ownership nature used by employees. Additional Insured and Waiver of Subrogation clauses in favor of the Owner and Urban Engineering.
- d. Business Umbrella Liability Policy with \$1,000,000 / \$1,000,000 limits. The Umbrella policy will provide coverage over the General Liability, Products Liability, Completed Operations Liability, Automobile Liability and Employers' Liability coverages. The Umbrella Liability policy will be following form to all primary policies' coverages.
- e. Pollution Liability: \$1,000,000 Aggregate / \$1,000,000 Per Incident Limits. CONTRACTOR shall obtain and maintain coverage to include exposure of long-term environmental impact.
- f. Builder's Risk: Adequate to fully cover the insurable portions of the project. (Only applicable if there's a building on the project).

#### 1C5.2 WORKER'S COMPENSATION INSURANCE COVERAGE:

#### a. Definitions:

Certificate of coverage ("certificate") – A copy of a certificate of insurance, a certificate of authority to self-insure issued by the commission, or a coverage agreement (TWCC-81, TWCC-82, TWCC-83, or TWCC-84), showing statutory workers' compensation insurance coverage for the person's or entity's employees providing services on a project, for the duration of the project.

Duration of the project – includes the time from the beginning of the work on the project until the Contractor's/person's work on the project has been completed and accepted by the governmental entity.

Persons providing services on the project ("subcontractor" in §406.906) – includes all persons or entities performing all or part of the services the Contractor has undertaken to perform on the project, regardless of whether that person contracted directly with the Contractor and regardless of whether that person has employees. This includes, without limitation, independent contractors, subcontractors, leasing companies, motor carriers, owner-operators,

employees of any such entity, or employees of any entity which furnishes persons to provide services on the project. "Services" include, without limitation, providing, hauling, or delivering equipment or materials, or providing labor, transportation, or other service related to a project. "Services" does not include activities unrelated to the project, such as food/beverage vendors, office supply deliveries, and delivery of portable toilets.

- b. The Contractor shall provide coverage, based on proper reporting of classification codes and payroll amounts and filing of any coverage agreements, which meets the statutory requirements of Texas Labor Code, Section 401.011(44) for all employees of the contractor providing services on the project, for the duration of the project.
- c. The Contractor must provide a certificate of coverage to the governmental entity prior to being awarded the contract.
- d. If the coverage period shown on the contractor's current certificate of coverage ends during the duration of the project, the contractor must, prior to the end of the coverage period, file a new certificate of coverage with the governmental entity showing that coverage has been extended.
- e. The Contractor shall obtain from each person providing services on a project, and provide to the governmental entity:
  - (1) A certificate of coverage, prior to that person beginning work on the project, so the governmental entity will have on file certificates of coverage showing coverage for all persons providing services on the project; and
  - (2) No later than seven days after receipt by the contractor, a new certificate of coverage showing extension of coverage, if the coverage period shown on the current certificate of coverage ends during the duration of the project.
- f. The Contractor shall retain all required certificates of coverage for the duration of the project and for one year thereafter.
- g. The Contractor shall notify the governmental entity in writing by certified mail or personal delivery, within 10 days after the contractor knew or should have known, of any change that materially affects the provision of coverage of any person providing services on the project.
- h. The Contractor shall post on each project site a notice, in the text, form and manner prescribed by the Texas Workers' compensation "commission, informing all persons providing services on the project that they are required to be covered, and stating how a person may verify coverage and report lack of coverage.
- i. The Contractor shall contractually require each person with whom it contracts to provide services on a project, to:
  - (1) Provide coverage, based on proper reporting of classification codes and payroll amounts and filing of any coverage agreements, which meets the statutory requirements of Texas Labor Code, Section 401.011(44) for all of its employees providing services on the project, for the duration of the project;
  - (2) Provide to the contractor, prior to that person beginning work on the project, a certificate of coverage showing that coverage is being provided for all employees of the person providing services on the project, for the duration of the project;
  - (3) Provide the contractor, prior to the end of the coverage period, a new certificate of coverage showing extension of coverage, if the coverage period shown on the current certificate of coverage ends during the duration of the project;

- (4) Obtain from each other person with whom it contracts, and provide to the contractor:
  - (a) A certificate of coverage, prior to the other person beginning work on the project; and;
  - (b) A new certificate of coverage showing extension of coverage, prior to the end of the coverage period, if the coverage period shown on the current certificate of coverage ends during the duration of the project;
- (5) Retain all required certificates of coverage on file for the duration of the project and for one year thereafter;
- (6) Notify the governmental entity in writing by certified mail or personal delivery, within 10 days after the person knew or should have known, of any change that materially affects the provision of coverage of any person providing services on the project; and
- (7) Contractually require each person with whom it contracts, to perform as required by paragraphs (1) (7), with the certificates of coverage to be provided to the person for whom they are providing services.
- j. By signing this Contract or providing or causing to be provided a certificate of coverage, the Contractor is representing to the governmental entity that all employees of the Contractor who will provide services on the project will be covered by Workers' Compensation coverage for the duration of the project, that the coverage will be based on proper reporting of classification codes and payroll amounts, and that all coverage agreements will be filed with the appropriate insurance carrier or, in the case of a self-insured, with the commission's Division of Self-Insurance Regulation. Providing false or misleading information may subject the Contractor to administrative penalties, criminal penalties, civil penalties, or other civil actions.
- k. The Contractor's failure to comply with any of these provisions is a breach of contract by the Contractor which entitles the governmental entity to declare the Contract void if the Contractor does not remedy the breach within ten days after receipt of Notice of Breach from the governmental entity.

#### 1C5.3 ACKNOWLEDGMENT BY INSURANCE COMPANY REPRESENTATIVE:

The following acknowledgment is to be executed and included in the contract documents. This acknowledgment is in addition to certificates of insurance to be provided by the contractor to the Engineer and the Owner.

As the authorized Insurance Agent for the Insurance Company providing insurance coverage for this project, I have read and understand the extent of the insurance coverage required and certify that the policies of insurance listed on the certificate of insurance have been issued to the insured named on the certificate for the policy period indicated. Notwithstanding any requirements, term or condition of any contract or other document with respect to which the certificate may be issued or may pertain, the insurance afforded by the policies described on the certificate are subject to all the terms, exclusions and conditions of such policies. Should any of the policies be cancelled before the expiration date, notice will be delivered in accordance with the policy provisions.

	(Name of Insurance Company)
<b>ח</b>	
<i>By:</i>	(Authorized Insurance Company Representative)
Title:	(Authorized Insurance Company Representative)

This document has important legal consequences; consultation with an attorney is encouraged with respect to its use or modification. This document should be adapted to the particular circumstances of the contemplated Project and the controlling Laws and Regulations.

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

#### **Prepared By**









#### **Endorsed By**





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## GUIDELINES FOR USE OF EJCDC® C-700, STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

#### 1.0 PURPOSE AND INTENDED USE OF THE DOCUMENT

EJCDC® C-700, Standard General Conditions of the Construction Contract (2018), is the foundation document for the EJCDC Construction Series. The General Conditions define the basic rights, responsibilities, risk allocations, and contractual relationship of the Owner and Contractor, and establish how the Contract is to be administered.

#### 2.0 OTHER DOCUMENTS

EJCDC documents are intended to be used as a system and changes in one EJCDC document may require a corresponding change in other documents. Other EJCDC documents may also serve as a reference to provide insight or guidance for the preparation of this document.

These General Conditions have been prepared for use with either EJCDC® C-520, Agreement Between Owner and Contractor for Construction Contract (Stipulated Price), or EJCDC® C-525, Agreement Between Owner and Contractor for Construction Contract (Cost-Plus-Fee) (2018 Editions). The provisions of the General Conditions and the Agreement are interrelated, and a change in one may necessitate a change in the other.

To prepare supplementary conditions that are coordinated with the General Conditions, use EJCDC® C-800, Supplementary Conditions of the Construction Contract (2018).

The full EJCDC Construction series of documents is discussed in the EJCDC® C-001, Commentary on the 2018 EJCDC Construction Documents (2018).

#### 3.0 ORGANIZATION OF INFORMATION

All parties involved in a construction project benefit significantly from a standardized approach in the location of subject matter throughout the documents. Experience confirms the danger of addressing the same subject matter in more than one location; doing so frequently leads to confusion and unanticipated legal consequences. Careful attention should be given to the guidance provided in EJCDC® N-122/AIA® A521, Uniform Location of Subject Matter (2012 Edition) when preparing documents. EJCDC® N-122/AIA® A521 is available at no charge from the EJCDC website, <a href="www.ejcdc.org">www.ejcdc.org</a>, and from the websites of EJCDC's sponsoring organizations.

If CSI MasterFormat™ is used for organizing the Project Manual, consult CSI MasterFormat™ for the appropriate document number (e.g., under 00 11 00, Advertisements and Invitations), and accordingly number the document and its pages.

#### 4.0 EDITING THIS DOCUMENT

Remove these Guidelines for Use. Some users may also prefer to remove the two cover pages.

Although it is permissible to revise the Standard EJCDC Text of C-700 (the content beginning at page 1 and continuing to the end), it is common practice to leave the Standard EJCDC Text of C-700 intact and unaltered, with modifications and supplementation of C-700's provisions set forth in EJCDC® C-800, Supplementary Conditions of the Construction Contract (2018). If the Standard Text itself is revised, the

user must comply with the terms of the License Agreement, Paragraph 4.0, Document-Specific Provisions, concerning the tracking or highlighting of revisions. The following is a summary of the relevant License Agreement provisions:

- 1. The term "Standard EJCDC Text" for C-700 refers to all text prepared by EJCDC in the main body of the document. Document covers, logos, footers, instructions, or copyright notices are not Standard EJCDC Text for this purpose.
- 2. During the drafting or negotiating process for C-700, it is important that the two contracting parties are both aware of any changes that have been made to the Standard EJCDC Text. Thus, if a draft or version of C-700 purports to be or appears to be an EJCDC document, the user must plainly show all changes to the Standard EJCDC Text, using "Track Changes" (redline/strikeout), highlighting, or other means of clearly indicating additions and deletions.
- 3. If C-700 has been revised or altered and is subsequently presented to third parties (such as potential bidders, grant agencies, lenders, or sureties) as an EJCDC document, then the changes to the Standard EJCDC Text must be shown, or the third parties must receive access to a version that shows the changes.
- 4. Once the document is ready to be finalized (and if applicable executed by the contracting parties), it is no longer necessary to continue to show changes to the Standard EJCDC Text. The user may produce a final version of the document in a format in which all changes are accepted, and the document at that point does not need to include any "Track Changes," redline/strikeout, highlighting, or other indication of additions and deletions to the Standard EJCDC Text.

#### 5.0 LICENSE AGREEMENT

This document is subject to the terms and conditions of the **License Agreement, 2018 EJCDC® Construction Series Documents**. A copy of the License Agreement was furnished at the time of purchase of this document, and is available for review at <a href="www.ejcdc.org">www.ejcdc.org</a> and the websites of EJCDC's sponsoring organizations.

# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

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# STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

#### ARTICLE 1—DEFINITIONS AND TERMINOLOGY

## 1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
  - Addenda—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
  - Agreement—The written instrument, executed by Owner and Contractor, that sets forth
    the Contract Price and Contract Times, identifies the parties and the Engineer, and
    designates the specific items that are Contract Documents.
  - 3. Application for Payment—The document prepared by Contractor, in a form acceptable to Engineer, to request progress or final payments, and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
  - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
  - 5. *Bidder*—An individual or entity that submits a Bid to Owner.
  - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
  - 7. *Bidding Requirements*—The Advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
  - 8. Change Order—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
  - 9. Change Proposal—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.

#### 10. Claim

 a. A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment of Contract Price or Contract Times; contesting an initial decision by Engineer concerning the

- requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract.
- b. A demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal, or seeking resolution of a contractual issue that Engineer has declined to address.
- c. A demand or assertion by Owner or Contractor, duly submitted in compliance with the procedural requirements set forth herein, made pursuant to Paragraph 12.01.A.4, concerning disputes arising after Engineer has issued a recommendation of final payment.
- d. A demand for money or services by a third party is not a Claim.
- 11. Constituent of Concern—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead-based paint (as defined by the HUD/EPA standard), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to Laws and Regulations regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
- 12. *Contract*—The entire and integrated written contract between Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
- 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. Cost of the Work—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. *Electronic Document*—Any Project-related correspondence, attachments to correspondence, data, documents, drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
- 21. Electronic Means—Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or communication of Electronic Documents; (b) the documentation of transmissions, including sending and receipt; (c) printing of the transmitted Electronic Document by the

- recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.
- 22. Engineer—The individual or entity named as such in the Agreement.
- 23. Field Order—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 24. *Hazardous Environmental Condition*—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto.
  - a. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated into the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, is not a Hazardous Environmental Condition.
  - b. The presence of Constituents of Concern that are to be removed or remediated as part of the Work is not a Hazardous Environmental Condition.
  - c. The presence of Constituents of Concern as part of the routine, anticipated, and obvious working conditions at the Site, is not a Hazardous Environmental Condition.
- 25. Laws and Regulations; Laws or Regulations—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and binding decrees, resolutions, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 26. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 27. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date, or by a time prior to Substantial Completion of all the Work.
- 28. *Notice of Award*—The written notice by Owner to a Bidder of Owner's acceptance of the Bid.
- 29. *Notice to Proceed*—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 30. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 31. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising Contractor's plan to accomplish the Work within the Contract Times.
- 32. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.

- 33. Resident Project Representative—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative (RPR) includes any assistants or field staff of Resident Project Representative.
- 34. Samples—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 35. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals.
- 36. Schedule of Values—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 37. Shop Drawings—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
- 38. Site—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands or areas furnished by Owner which are designated for the use of Contractor.
- 39. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
- 40. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 41. Submittal—A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers' instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.
- 42. Substantial Completion—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion of such Work.

- 43. Successful Bidder—The Bidder to which the Owner makes an award of contract.
- 44. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 45. Supplier—A manufacturer, fabricator, supplier, distributor, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.

#### 46. Technical Data

- a. Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (1) existing subsurface conditions at or adjacent to the Site, or existing physical conditions at or adjacent to the Site including existing surface or subsurface structures (except Underground Facilities) or (2) Hazardous Environmental Conditions at the Site.
- b. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then Technical Data is defined, with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06, as the data contained in boring logs, recorded measurements of subsurface water levels, assessments of the condition of subsurface facilities, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical, environmental, or other Site or facilities conditions report prepared for the Project and made available to Contractor.
- c. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data, and instead Underground Facilities are shown or indicated on the Drawings.
- 47. *Underground Facilities*—All active or not-in-service underground lines, pipelines, conduits, ducts, encasements, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or systems at the Site, including but not limited to those facilities or systems that produce, transmit, distribute, or convey telephone or other communications, cable television, fiber optic transmissions, power, electricity, light, heat, gases, oil, crude oil products, liquid petroleum products, water, steam, waste, wastewater, storm water, other liquids or chemicals, or traffic or other control systems. An abandoned facility or system is not an Underground Facility.
- 48. *Unit Price Work*—Work to be paid for on the basis of unit prices.
- 49. Work—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.
- 50. Work Change Directive—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

#### 1.02 *Terminology*

- A. The words and terms discussed in Paragraphs 1.02.B, C, D, and E are not defined terms that require initial capital letters, but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. Intent of Certain Terms or Adjectives: The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day: The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective*: The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
  - 1. does not conform to the Contract Documents;
  - 2. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
  - 3. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or Paragraph 15.04).

## E. Furnish, Install, Perform, Provide

- 1. The word "furnish," when used in connection with services, materials, or equipment, means to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
- 2. The word "install," when used in connection with services, materials, or equipment, means to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
- 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, means to furnish and install said services, materials, or equipment complete and ready for intended use.
- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.

- F. Contract Price or Contract Times: References to a change in "Contract Price or Contract Times" or "Contract Times or Contract Price" or similar, indicate that such change applies to (1) Contract Price, (2) Contract Times, or (3) both Contract Price and Contract Times, as warranted, even if the term "or both" is not expressed.
- G. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

#### **ARTICLE 2—PRELIMINARY MATTERS**

## 2.01 Delivery of Performance and Payment Bonds; Evidence of Insurance

- A. *Performance and Payment Bonds*: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner the performance bond and payment bond (if the Contract requires Contractor to furnish such bonds).
- B. Evidence of Contractor's Insurance: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each additional insured (as identified in the Contract), the certificates, endorsements, and other evidence of insurance required to be provided by Contractor in accordance with Article 6, except to the extent the Supplementary Conditions expressly establish other dates for delivery of specific insurance policies.
- C. Evidence of Owner's Insurance: After receipt of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each additional insured (as identified in the Contract), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

## 2.02 Copies of Documents

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

#### 2.03 Before Starting Construction

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise required by the Contract Documents), Contractor shall submit to Engineer for timely review:
  - a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
  - 2. a preliminary Schedule of Submittals; and
  - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work

into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

## 2.04 Preconstruction Conference; Designation of Authorized Representatives

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work, and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other Submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

## 2.05 Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review the schedules submitted in accordance with Paragraph 2.03.A. No progress payment will be made to Contractor until acceptable schedules are submitted to Engineer.
  - The Progress Schedule will be acceptable to Engineer if it provides an orderly progression
    of the Work to completion within the Contract Times. Such acceptance will not impose
    on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or
    progress of the Work, nor interfere with or relieve Contractor from Contractor's full
    responsibility therefor.
  - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
  - Contractor's Schedule of Values will be acceptable to Engineer as to form and substance
    if it provides a reasonable allocation of the Contract Price to the component parts of the
    Work.
  - 4. If a schedule is not acceptable, Contractor will have an additional 10 days to revise and resubmit the schedule.

#### 2.06 Electronic Transmittals

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may send, and shall accept, Electronic Documents transmitted by Electronic Means.
- B. If the Contract does not establish protocols for Electronic Means, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. Subject to any governing protocols for Electronic Means, when transmitting Electronic Documents by Electronic Means, the transmitting party makes no representations as to long-term compatibility, usability, or readability of the Electronic Documents resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the Electronic Documents.

#### ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

#### 3.01 Intent

- A. The Contract Documents are complementary; what is required by one Contract Document is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic versions of the Contract Documents (including any printed copies derived from such electronic versions) and the printed record version, the printed record version will govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- F. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation will be deemed stricken, and all remaining provisions will continue to be valid and binding upon Owner and Contractor, which agree that the Contract Documents will be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- G. Nothing in the Contract Documents creates:
  - 1. any contractual relationship between Owner or Engineer and any Subcontractor, Supplier, or other individual or entity performing or furnishing any of the Work, for the benefit of such Subcontractor, Supplier, or other individual or entity; or
  - 2. any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity, except as may otherwise be required by Laws and Regulations.

## 3.02 Reference Standards

- A. Standards Specifications, Codes, Laws and Regulations
  - Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, means the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
  - 2. No provision of any such standard specification, manual, reference standard, or code, and no instruction of a Supplier, will be effective to change the duties or responsibilities of Owner, Contractor, or Engineer from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner or Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility

inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

## 3.03 Reporting and Resolving Discrepancies

## A. Reporting Discrepancies

- 1. Contractor's Verification of Figures and Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 2. Contractor's Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

## B. Resolving Discrepancies

- Except as may be otherwise specifically stated in the Contract Documents, the provisions
  of the part of the Contract Documents prepared by or for Engineer take precedence in
  resolving any conflict, error, ambiguity, or discrepancy between such provisions of the
  Contract Documents and:
  - a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
  - b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

## 3.04 Requirements of the Contract Documents

A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer in writing all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work.

- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly notify Owner and Contractor in writing that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

## 3.05 Reuse of Documents

- A. Contractor and its Subcontractors and Suppliers shall not:
  - have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media versions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
  - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein precludes Contractor from retaining copies of the Contract Documents for record purposes.

#### ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

- 4.01 Commencement of Contract Times; Notice to Proceed
  - A. The Contract Times will commence to run on the 30th day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the 60th day after the day of Bid opening or the 30th day after the Effective Date of the Contract, whichever date is earlier.

#### 4.02 Starting the Work

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work may be done at the Site prior to such date.

#### 4.03 Reference Points

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the

established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

## 4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
  - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
  - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times must be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work will be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

## 4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption, and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Such an adjustment will be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
  - 1. Severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
  - 2. Abnormal weather conditions;
  - 3. Acts or failures to act of third-party utility owners or other third-party entities (other than those third-party utility owners or other third-party entities performing other work at or adjacent to the Site as arranged by or under contract with Owner, as contemplated in Article 8); and
  - 4. Acts of war or terrorism.

- D. Contractor's entitlement to an adjustment of Contract Times or Contract Price is limited as follows:
  - 1. Contractor's entitlement to an adjustment of the Contract Times is conditioned on the delay, disruption, or interference adversely affecting an activity on the critical path to completion of the Work, as of the time of the delay, disruption, or interference.
  - Contractor shall not be entitled to an adjustment in Contract Price for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor. Such a concurrent delay by Contractor shall not preclude an adjustment of Contract Times to which Contractor is otherwise entitled.
  - 3. Adjustments of Contract Times or Contract Price are subject to the provisions of Article 11.
- E. Each Contractor request or Change Proposal seeking an increase in Contract Times or Contract Price must be supplemented by supporting data that sets forth in detail the following:
  - 1. The circumstances that form the basis for the requested adjustment;
  - 2. The date upon which each cause of delay, disruption, or interference began to affect the progress of the Work;
  - 3. The date upon which each cause of delay, disruption, or interference ceased to affect the progress of the Work;
  - 4. The number of days' increase in Contract Times claimed as a consequence of each such cause of delay, disruption, or interference; and
  - 5. The impact on Contract Price, in accordance with the provisions of Paragraph 11.07.
  - Contractor shall also furnish such additional supporting documentation as Owner or Engineer may require including, where appropriate, a revised progress schedule indicating all the activities affected by the delay, disruption, or interference, and an explanation of the effect of the delay, disruption, or interference on the critical path to completion of the Work.
- F. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5, together with the provisions of Paragraphs 4.05.D and 4.05.E.
- G. Paragraph 8.03 addresses delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

## ARTICLE 5—SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

- 5.01 Availability of Lands
  - A. Owner shall furnish the Site. Owner shall notify Contractor in writing of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.

- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

#### 5.02 Use of Site and Other Areas

- A. Limitation on Use of Site and Other Areas
  - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas, or to improvements, structures, utilities, or similar facilities located at such adjacent lands or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
  - 2. If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.13, or otherwise; (b) promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or in a court of competent jurisdiction; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.
- B. Removal of Debris During Performance of the Work: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris will conform to applicable Laws and Regulations.
- C. Cleaning: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment

- and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. Loading of Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

## 5.03 Subsurface and Physical Conditions

- A. Reports and Drawings: The Supplementary Conditions identify:
  - 1. Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data;
  - 2. Those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data; and
  - 3. Technical Data contained in such reports and drawings.
- B. *Underground Facilities*: Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph 5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.
- C. Reliance by Contractor on Technical Data: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.
- D. Limitations of Other Data and Documents: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
  - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings;
  - the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
  - 4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

## 5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site:
  - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate;
  - 2. is of such a nature as to require a change in the Drawings or Specifications;
  - 3. differs materially from that shown or indicated in the Contract Documents; or
  - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine whether it is necessary for Owner to obtain additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Early Resumption of Work: If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- E. Possible Price and Times Adjustments
  - Contractor shall be entitled to an equitable adjustment in Contract Price or Contract
    Times, to the extent that the existence of a differing subsurface or physical condition, or
    any related delay, disruption, or interference, causes an increase or decrease in

Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. Such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
- b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
- c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
  - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise;
  - b. The existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
  - c. Contractor failed to give the written notice required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.
- F. Underground Facilities; Hazardous Environmental Conditions: Paragraph 5.05 governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 governs rights and responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs 5.03 and 5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

## 5.05 Underground Facilities

- A. Contractor's Responsibilities: Unless it is otherwise expressly provided in the Supplementary Conditions, the cost of all of the following are included in the Contract Price, and Contractor shall have full responsibility for:
  - 1. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
  - complying with applicable state and local utility damage prevention Laws and Regulations;

- 3. verifying the actual location of those Underground Facilities shown or indicated in the Contract Documents as being within the area affected by the Work, by exposing such Underground Facilities during the course of construction;
- 4. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
- 5. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated on the Drawings, or was not shown or indicated on the Drawings with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing regarding such Underground Facility.
- C. *Engineer's Review*: Engineer will:
  - 1. promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy;
  - 2. identify and communicate with the owner of the Underground Facility; prepare recommendations to Owner (and if necessary issue any preliminary instructions to Contractor) regarding the Contractor's resumption of Work in connection with the Underground Facility in question;
  - obtain any pertinent cost or schedule information from Contractor; determine the extent,
    if any, to which a change is required in the Drawings or Specifications to reflect and
    document the consequences of the existence or location of the Underground Facility; and
  - 4. advise Owner in writing of Engineer's findings, conclusions, and recommendations.
  - During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.
- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Early Resumption of Work: If at any time Engineer determines that Work in connection with the Underground Facility may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the Underground Facility in question and conditions affected by its presence have been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- F. Possible Price and Times Adjustments
  - Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract
    Times, to the extent that any existing Underground Facility at the Site that was not shown

or indicated on the Drawings, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:

- a. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
- b. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E; and
- c. Contractor gave the notice required in Paragraph 5.05.B.
- 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
- 4. The information and data shown or indicated on the Drawings with respect to existing Underground Facilities at the Site is based on information and data (a) furnished by the owners of such Underground Facilities, or by others, (b) obtained from available records, or (c) gathered in an investigation conducted in accordance with the current edition of ASCE 38, Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data, by the American Society of Civil Engineers. If such information or data is incorrect or incomplete, Contractor's remedies are limited to those set forth in this Paragraph 5.05.F.

#### 5.06 Hazardous Environmental Conditions at Site

- A. *Reports and Drawings*: The Supplementary Conditions identify:
  - 1. those reports known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site;
  - 2. drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
  - 3. Technical Data contained in such reports and drawings.
- B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
  - 1. the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures

- of construction to be employed by Contractor, and safety precautions and programs incident thereto;
- 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
- 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.
- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, as a result of such Work stoppage, such special conditions under which Work is agreed to be resumed by Contractor, or any costs or expenses incurred in response to the Hazardous Environmental Condition, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off. Entitlement to any such adjustment is subject to the provisions of Paragraphs 4.05.D, 4.05.E, 11.07, and 11.08.
- H. If, after receipt of such written notice, Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special

- conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- . To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court, arbitration, or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I obligates Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J obligates Contractor to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

#### **ARTICLE 6—BONDS AND INSURANCE**

- 6.01 Performance, Payment, and Other Bonds
  - A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of Contractor's obligations under the Contract. These bonds must remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the terms of a prescribed bond form, the Supplementary Conditions, or other provisions of the Contract.
  - B. Contractor shall also furnish such other bonds (if any) as are required by the Supplementary Conditions or other provisions of the Contract.
  - C. All bonds must be in the form included in the Bidding Documents or otherwise specified by Owner prior to execution of the Contract, except as provided otherwise by Laws or

Regulations, and must be issued and signed by a surety named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Department Circular 570 (as amended and supplemented) by the Bureau of the Fiscal Service, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority must show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.

- D. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue bonds in the required amounts.
- E. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer in writing and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which must comply with the bond and surety requirements above.
- F. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- G. Upon request to Owner from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Owner shall provide a copy of the payment bond to such person or entity.
- H. Upon request to Contractor from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Contractor shall provide a copy of the payment bond to such person or entity.

## 6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized in the state or jurisdiction in which the Project is located to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Alternative forms of insurance coverage, including but not limited to self-insurance and "Occupational Accident and Excess Employer's Indemnity Policies," are not sufficient to meet the insurance requirements of this Contract, unless expressly allowed in the Supplementary Conditions.
- D. Contractor shall deliver to Owner, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Contractor has obtained and is maintaining the policies and coverages required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, full disclosure of all relevant exclusions, and evidence of insurance required to be purchased and maintained by

- Subcontractors or Suppliers. In any documentation furnished under this provision, Contractor, Subcontractors, and Suppliers may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those applicable to this Contract.
- E. Owner shall deliver to Contractor, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Owner has obtained and is maintaining the policies and coverages required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, and full disclosure of all relevant exclusions. In any documentation furnished under this provision, Owner may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those relevant to this Contract.
- F. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, will not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- G. In addition to the liability insurance required to be provided by Contractor, the Owner, at Owner's option, may purchase and maintain Owner's own liability insurance. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

#### H. Contractor shall require:

- 1. Subcontractors to purchase and maintain worker's compensation, commercial general liability, and other insurance that is appropriate for their participation in the Project, and to name as additional insureds Owner and Engineer (and any other individuals or entities identified in the Supplementary Conditions as additional insureds on Contractor's liability policies) on each Subcontractor's commercial general liability insurance policy; and
- 2. Suppliers to purchase and maintain insurance that is appropriate for their participation in the Project.
- If either party does not purchase or maintain the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- J. If Contractor has failed to obtain and maintain required insurance, Contractor's entitlement to enter or remain at the Site will end immediately, and Owner may impose an appropriate set-off against payment for any associated costs (including but not limited to the cost of purchasing necessary insurance coverage), and exercise Owner's termination rights under Article 16.
- K. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect (but is in no way obligated) to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price will be adjusted accordingly.

- L. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests. Contractor is responsible for determining whether such coverage and limits are adequate to protect its interests, and for obtaining and maintaining any additional insurance that Contractor deems necessary.
- M. The insurance and insurance limits required herein will not be deemed as a limitation on Contractor's liability, or that of its Subcontractors or Suppliers, under the indemnities granted to Owner and other individuals and entities in the Contract or otherwise.
- N. All the policies of insurance required to be purchased and maintained under this Contract will contain a provision or endorsement that the coverage afforded will not be canceled, or renewal refused, until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured and Engineer.

#### 6.03 Contractor's Insurance

- A. Required Insurance: Contractor shall purchase and maintain Worker's Compensation, Commercial General Liability, and other insurance pursuant to the specific requirements of the Supplementary Conditions.
- B. *General Provisions*: The policies of insurance required by this Paragraph 6.03 as supplemented must:
  - 1. include at least the specific coverages required;
  - 2. be written for not less than the limits provided, or those required by Laws or Regulations, whichever is greater;
  - 3. remain in effect at least until the Work is complete (as set forth in Paragraph 15.06.D), and longer if expressly required elsewhere in this Contract, and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract;
  - 4. apply with respect to the performance of the Work, whether such performance is by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable; and
  - 5. include all necessary endorsements to support the stated requirements.
- C. Additional Insureds: The Contractor's commercial general liability, automobile liability, employer's liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies, if required by this Contract, must:
  - 1. include and list as additional insureds Owner and Engineer, and any individuals or entities identified as additional insureds in the Supplementary Conditions;
  - 2. include coverage for the respective officers, directors, members, partners, employees, and consultants of all such additional insureds;
  - 3. afford primary coverage to these additional insureds for all claims covered thereby (including as applicable those arising from both ongoing and completed operations);

- 4. not seek contribution from insurance maintained by the additional insured; and
- 5. as to commercial general liability insurance, apply to additional insureds with respect to liability caused in whole or in part by Contractor's acts or omissions, or the acts and omissions of those working on Contractor's behalf, in the performance of Contractor's operations.

## 6.04 Builder's Risk and Other Property Insurance

- A. Builder's Risk: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- B. Property Insurance for Facilities of Owner Where Work Will Occur: Owner is responsible for obtaining and maintaining property insurance covering each existing structure, building, or facility in which any part of the Work will occur, or to which any part of the Work will attach or be adjoined. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, providing coverage consistent with that required for the builder's risk insurance, and will be maintained until the Work is complete, as set forth in Paragraph 15.06.D.
- C. Property Insurance for Substantially Complete Facilities: Promptly after Substantial Completion, and before actual occupancy or use of the substantially completed Work, Owner will obtain property insurance for such substantially completed Work, and maintain such property insurance at least until the Work is complete, as set forth in Paragraph 15.06.D. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, and provide coverage consistent with that required for the builder's risk insurance. The builder's risk insurance may terminate upon written confirmation of Owner's procurement of such property insurance.
- D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide advance notice of such occupancy or use to the builder's risk insurer, and obtain an endorsement consenting to the continuation of coverage prior to commencing such partial occupancy or use.
- E. Insurance of Other Property; Additional Insurance: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, then the entity or individual owning such property item will be responsible for insuring it. If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.04, it may do so at Contractor's expense.

## 6.05 Property Losses; Subrogation

A. The builder's risk insurance policy purchased and maintained in accordance with Paragraph 6.04 (or an installation floater policy if authorized by the Supplementary Conditions), will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against

Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors.

- 1. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils, risks, or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all individuals or entities identified in the Supplementary Conditions as builder's risk or installation floater insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused.
- 2. None of the above waivers extends to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Any property insurance policy maintained by Owner covering any loss, damage, or consequential loss to Owner's existing structures, buildings, or facilities in which any part of the Work will occur, or to which any part of the Work will attach or adjoin; to adjacent structures, buildings, or facilities of Owner; or to part or all of the completed or substantially completed Work, during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to Paragraph 15.06, will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them, and that the insured is allowed to waive the insurer's rights of subrogation in a written contract executed prior to the loss, damage, or consequential loss.
  - Owner waives all rights against Contractor, Subcontractors, and Engineer, and the
    officers, directors, members, partners, employees, agents, consultants and
    subcontractors of each and any of them, for all losses and damages caused by, arising out
    of, or resulting from fire or any of the perils, risks, or causes of loss covered by such
    policies.
- C. The waivers in this Paragraph 6.05 include the waiver of rights due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other insured peril, risk, or cause of loss.
- D. Contractor shall be responsible for assuring that each Subcontract contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from fire or other peril, risk, or cause of loss covered by builder's risk insurance, installation floater, and any other property insurance applicable to the Work.

## 6.06 Receipt and Application of Property Insurance Proceeds

- A. Any insured loss under the builder's risk and other policies of property insurance required by Paragraph 6.04 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.04 shall maintain such proceeds in a segregated account, and distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, Contractor shall repair or replace the damaged Work, using allocated insurance proceeds.

#### ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

## 7.01 Contractor's Means and Methods of Construction

- A. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. If the Contract Documents note, or Contractor determines, that professional engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor shall cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

#### 7.02 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who will not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

## 7.03 Labor; Working Hours

A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall maintain good discipline and order at the Site.

- B. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of Contractor's employees; of Suppliers and Subcontractors, and their employees; and of any other individuals or entities performing or furnishing any of the Work, just as Contractor is responsible for Contractor's own acts and omissions.
- C. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site will be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

## 7.04 Services, Materials, and Equipment

- A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.
- B. All materials and equipment incorporated into the Work must be new and of good quality, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications will expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment must be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

## 7.05 *"Or Equals"*

- A. Contractor's Request; Governing Criteria: Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
  - 1. If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer will deem it an "or equal" item. For the purposes of this paragraph, a proposed item of equipment or material will be considered functionally equal to an item so named if:
    - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
      - 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;

- 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
- 3) has a proven record of performance and availability of responsive service; and
- 4) is not objectionable to Owner.
- b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
  - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
  - 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "or-equal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an "or-equal," which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. Effect of Engineer's Determination: Neither approval nor denial of an "or-equal" request will result in any change in Contract Price. The Engineer's denial of an "or-equal" request will be final and binding, and may not be reversed through an appeal under any provision of the Contract.
- E. Treatment as a Substitution Request: If Engineer determines that an item of equipment or material proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the item a proposed substitute pursuant to Paragraph 7.06.

#### 7.06 Substitutes

- A. Contractor's Request; Governing Criteria: Unless the specification or description of an item of equipment or material required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material under the circumstances described below. To the extent possible such requests must be made before commencement of related construction at the Site.
  - Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of equipment or material from anyone other than Contractor.
  - 2. The requirements for review by Engineer will be as set forth in Paragraph 7.06.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.

- 3. Contractor shall make written application to Engineer for review of a proposed substitute item of equipment or material that Contractor seeks to furnish or use. The application:
  - a. will certify that the proposed substitute item will:
    - 1) perform adequately the functions and achieve the results called for by the general design;
    - 2) be similar in substance to the item specified; and
    - 3) be suited to the same use as the item specified.
  - b. will state:
    - 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times;
    - 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and
    - 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
  - c. will identify:
    - 1) all variations of the proposed substitute item from the item specified; and
    - 2) available engineering, sales, maintenance, repair, and replacement services.
  - d. will contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. Reimbursement of Engineer's Cost: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.

- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. Effect of Engineer's Determination: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request will be final and binding, and may not be reversed through an appeal under any provision of the Contract. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.06.D, by timely submittal of a Change Proposal.

## 7.07 Concerning Subcontractors and Suppliers

- A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner. The Contractor's retention of a Subcontractor or Supplier for the performance of parts of the Work will not relieve Contractor's obligation to Owner to perform and complete the Work in accordance with the Contract Documents.
- B. Contractor shall retain specific Subcontractors and Suppliers for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor or Supplier to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within 5 days.
- E. Owner may require the replacement of any Subcontractor or Supplier. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors or Suppliers for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor or Supplier so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor or Supplier.
- F. If Owner requires the replacement of any Subcontractor or Supplier retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor or Supplier, whether initially or as a replacement, will constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.

- H. On a monthly basis, Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors and Suppliers.
- J. The divisions and sections of the Specifications and the identifications of any Drawings do not control Contractor in dividing the Work among Subcontractors or Suppliers, or in delineating the Work to be performed by any specific trade.
- K. All Work performed for Contractor by a Subcontractor or Supplier must be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract for the benefit of Owner and Engineer.
- L. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor for Work performed for Contractor by the Subcontractor or Supplier.
- M. Contractor shall restrict all Subcontractors and Suppliers from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed in this Contract.

## 7.08 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If an invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

#### 7.09 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits, licenses, and certificates of occupancy. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

#### 7.10 *Taxes*

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

## 7.11 Laws and Regulations

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It is not Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this does not relieve Contractor of its obligations under Paragraph 3.03.
- C. Owner or Contractor may give written notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such written notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

#### 7.12 Record Documents

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

## 7.13 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations.
- B. Contractor shall designate a qualified and experienced safety representative whose duties and responsibilities are the prevention of Work-related accidents and the maintenance and supervision of safety precautions and programs.
- C. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
  - 1. all persons on the Site or who may be affected by the Work;
  - 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
  - 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- D. All damage, injury, or loss to any property referred to in Paragraph 7.13.C.2 or 7.13.C.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- E. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection.
- F. Contractor shall notify Owner; the owners of adjacent property; the owners of Underground Facilities and other utilities (if the identity of such owners is known to Contractor); and other contractors and utility owners performing work at or adjacent to the Site, in writing, when Contractor knows that prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- G. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. Any Owner's safety programs that are applicable to the Work are identified or included in the Supplementary Conditions or Specifications.
- H. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.

- I. Contractor's duties and responsibilities for safety and protection will continue until all the Work is completed, Engineer has issued a written notice to Owner and Contractor in accordance with Paragraph 15.06.C that the Work is acceptable, and Contractor has left the Site (except as otherwise expressly provided in connection with Substantial Completion).
- J. Contractor's duties and responsibilities for safety and protection will resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

## 7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of safety data sheets (formerly known as material safety data sheets) or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

## 7.15 *Emergencies*

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused by an emergency, or are required as a result of Contractor's response to an emergency. If Engineer determines that a change in the Contract Documents is required because of an emergency or Contractor's response, a Work Change Directive or Change Order will be issued.

#### 7.16 Submittals

- A. Shop Drawing and Sample Requirements
  - 1. Before submitting a Shop Drawing or Sample, Contractor shall:
    - a. review and coordinate the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
    - b. determine and verify:
      - all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect to the Submittal;
      - 2) the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
      - all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto;
    - c. confirm that the Submittal is complete with respect to all related data included in the Submittal.
  - Each Shop Drawing or Sample must bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that Submittal, and that Contractor approves the Submittal.

- 3. With each Shop Drawing or Sample, Contractor shall give Engineer specific written notice of any variations that the Submittal may have from the requirements of the Contract Documents. This notice must be set forth in a written communication separate from the Submittal; and, in addition, in the case of a Shop Drawing by a specific notation made on the Shop Drawing itself.
- B. Submittal Procedures for Shop Drawings and Samples: Contractor shall label and submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals.

## 1. Shop Drawings

- a. Contractor shall submit the number of copies required in the Specifications.
- b. Data shown on the Shop Drawings must be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide, and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.C.

#### 2. Samples

- a. Contractor shall submit the number of Samples required in the Specifications.
- b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the Submittal for the limited purposes required by Paragraph 7.16.C.
- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

#### C. Engineer's Review of Shop Drawings and Samples

- Engineer will provide timely review of Shop Drawings and Samples in accordance with the
  accepted Schedule of Submittals. Engineer's review and approval will be only to
  determine if the items covered by the Submittals will, after installation or incorporation
  in the Work, comply with the requirements of the Contract Documents, and be
  compatible with the design concept of the completed Project as a functioning whole as
  indicated by the Contract Documents.
- 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction, or to safety precautions or programs incident thereto.
- 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
- 4. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will

- document any such approved variation from the requirements of the Contract Documents in a Field Order or other appropriate Contract modification.
- 5. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for complying with the requirements of Paragraphs 7.16.A and B.
- 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, will not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
- 7. Neither Engineer's receipt, review, acceptance, or approval of a Shop Drawing or Sample will result in such item becoming a Contract Document.
- 8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.C.4.

# D. Resubmittal Procedures for Shop Drawings and Samples

- Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous Submittals.
- 2. Contractor shall furnish required Shop Drawing and Sample submittals with sufficient information and accuracy to obtain required approval of an item with no more than two resubmittals. Engineer will record Engineer's time for reviewing a third or subsequent resubmittal of a Shop Drawing or Sample, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges.
- 3. If Contractor requests a change of a previously approved Shop Drawing or Sample, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

# E. Submittals Other than Shop Drawings, Samples, and Owner-Delegated Designs

- 1. The following provisions apply to all Submittals other than Shop Drawings, Samples, and Owner-delegated designs:
  - a. Contractor shall submit all such Submittals to the Engineer in accordance with the Schedule of Submittals and pursuant to the applicable terms of the Contract Documents.
  - b. Engineer will provide timely review of all such Submittals in accordance with the Schedule of Submittals and return such Submittals with a notation of either Accepted or Not Accepted. Any such Submittal that is not returned within the time established in the Schedule of Submittals will be deemed accepted.
  - c. Engineer's review will be only to determine if the Submittal is acceptable under the requirements of the Contract Documents as to general form and content of the Submittal.

- d. If any such Submittal is not accepted, Contractor shall confer with Engineer regarding the reason for the non-acceptance, and resubmit an acceptable document.
- 2. Procedures for the submittal and acceptance of the Progress Schedule, the Schedule of Submittals, and the Schedule of Values are set forth in Paragraphs 2.03. 2.04, and 2.05.
- F. Owner-delegated Designs: Submittals pursuant to Owner-delegated designs are governed by the provisions of Paragraph 7.19.

## 7.17 Contractor's General Warranty and Guarantee

- A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer is entitled to rely on Contractor's warranty and guarantee.
- B. Owner's rights under this warranty and guarantee are in addition to, and are not limited by, Owner's rights under the correction period provisions of Paragraph 15.08. The time in which Owner may enforce its warranty and guarantee rights under this Paragraph 7.17 is limited only by applicable Laws and Regulations restricting actions to enforce such rights; provided, however, that after the end of the correction period under Paragraph 15.08:
  - 1. Owner shall give Contractor written notice of any defective Work within 60 days of the discovery that such Work is defective; and
  - 2. Such notice will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the notice.
- C. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
  - 1. abuse, or improper modification, maintenance, or operation, by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
  - 2. normal wear and tear under normal usage.
- D. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents is absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, a release of Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's warranty and guarantee rights under this Paragraph 7.17:
  - 1. Observations by Engineer;
  - 2. Recommendation by Engineer or payment by Owner of any progress or final payment;
  - 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
  - 4. Use or occupancy of the Work or any part thereof by Owner;
  - 5. Any review and approval of a Shop Drawing or Sample submittal;
  - 6. The issuance of a notice of acceptability by Engineer;
  - 7. The end of the correction period established in Paragraph 15.08;
  - 8. Any inspection, test, or approval by others; or

- 9. Any correction of defective Work by Owner.
- E. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract will govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

## 7.18 *Indemnification*

- A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from losses, damages, costs, and judgments (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising from third-party claims or actions relating to or resulting from the performance or furnishing of the Work, provided that any such claim, action, loss, cost, judgment or damage is attributable to bodily injury, sickness, disease, or death, or to damage to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom, but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable.
- B. In any and all claims against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A will not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

#### 7.19 Delegation of Professional Design Services

- A. Owner may require Contractor to provide professional design services for a portion of the Work by express delegation in the Contract Documents. Such delegation will specify the performance and design criteria that such services must satisfy, and the Submittals that Contractor must furnish to Engineer with respect to the Owner-delegated design.
- B. Contractor shall cause such Owner-delegated professional design services to be provided pursuant to the professional standard of care by a properly licensed design professional, whose signature and seal must appear on all drawings, calculations, specifications, certifications, and Submittals prepared by such design professional. Such design professional must issue all certifications of design required by Laws and Regulations.
- C. If a Shop Drawing or other Submittal related to the Owner-delegated design is prepared by Contractor, a Subcontractor, or others for submittal to Engineer, then such Shop Drawing or other Submittal must bear the written approval of Contractor's design professional when submitted by Contractor to Engineer.

- D. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, and approvals performed or provided by the design professionals retained or employed by Contractor under an Owner-delegated design, subject to the professional standard of care and the performance and design criteria stated in the Contract Documents.
- E. Pursuant to this Paragraph 7.19, Engineer's review, approval, and other determinations regarding design drawings, calculations, specifications, certifications, and other Submittals furnished by Contractor pursuant to an Owner-delegated design will be only for the following limited purposes:
  - 1. Checking for conformance with the requirements of this Paragraph 7.19;
  - 2. Confirming that Contractor (through its design professionals) has used the performance and design criteria specified in the Contract Documents; and
  - 3. Establishing that the design furnished by Contractor is consistent with the design concept expressed in the Contract Documents.
- F. Contractor shall not be responsible for the adequacy of performance or design criteria specified by Owner or Engineer.
- G. Contractor is not required to provide professional services in violation of applicable Laws and Regulations.

## **ARTICLE 8—OTHER WORK AT THE SITE**

#### 8.01 Other Work

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any third-party utility work that Owner has arranged to take place at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford proper and safe access to the Site to each contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work.
- D. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.

- E. If the proper execution or results of any part of Contractor's Work depends upon work performed by others, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.
- F. The provisions of this article are not applicable to work that is performed by third-party utilities or other third-party entities without a contract with Owner, or that is performed without having been arranged by Owner. If such work occurs, then any related delay, disruption, or interference incurred by Contractor is governed by the provisions of Paragraph 4.05.C.3.

#### 8.02 Coordination

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
  - 1. The identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
  - An itemization of the specific matters to be covered by such authority and responsibility;
  - 3. The extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

# 8.03 Legal Relationships

A. If, in the course of performing other work for Owner at or adjacent to the Site, the Owner's employees, any other contractor working for Owner, or any utility owner that Owner has arranged to perform work, causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment will take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract, and any remedies available to Contractor under Laws or Regulations concerning utility action or inaction. When applicable, any such equitable adjustment in Contract Price will be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times or Contract Price is subject to the provisions of Paragraphs 4.05.D and 4.05.E.

- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site.
  - 1. If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this Paragraph 8.03.B.
  - 2. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due Contractor.
- C. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

# **ARTICLE 9—OWNER'S RESPONSIBILITIES**

- 9.01 Communications to Contractor
  - A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.
- 9.02 Replacement of Engineer
  - A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents will be that of the former Engineer.
- 9.03 Furnish Data
  - A. Owner shall promptly furnish the data required of Owner under the Contract Documents.
- 9.04 Pay When Due
  - A. Owner shall make payments to Contractor when they are due as provided in the Agreement.

- 9.05 Lands and Easements; Reports, Tests, and Drawings
  - A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
  - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
  - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

## 9.06 Insurance

A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

## 9.07 Change Orders

A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

## 9.08 Inspections, Tests, and Approvals

A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

## 9.09 Limitations on Owner's Responsibilities

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

#### 9.10 Undisclosed Hazardous Environmental Condition

A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

## 9.11 Evidence of Financial Arrangements

A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract (including obligations under proposed changes in the Work).

## 9.12 Safety Programs

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

#### ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

# 10.01 Owner's Representative

A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

## 10.02 Visits to Site

- A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe, as an experienced and qualified design professional, the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.
- B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.07. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

### 10.03 Resident Project Representative

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in the Supplementary Conditions and in Paragraph 10.07.
- B. If Owner designates an individual or entity who is not Engineer's consultant, agent, or employee to represent Owner at the Site, then the responsibilities and authority of such individual or entity will be as provided in the Supplementary Conditions.

# 10.04 Engineer's Authority

- A. Engineer has the authority to reject Work in accordance with Article 14.
- B. Engineer's authority as to Submittals is set forth in Paragraph 7.16.
- C. Engineer's authority as to design drawings, calculations, specifications, certifications and other Submittals from Contractor in response to Owner's delegation (if any) to Contractor of professional design services, is set forth in Paragraph 7.19.
- D. Engineer's authority as to changes in the Work is set forth in Article 11.

E. Engineer's authority as to Applications for Payment is set forth in Article 15.

# 10.05 Determinations for Unit Price Work

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

## 10.06 Decisions on Requirements of Contract Documents and Acceptability of Work

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

## 10.07 Limitations on Engineer's Authority and Responsibilities

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor under Paragraph 15.06.A, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.07 also apply to the Resident Project Representative, if any.

## 10.08 Compliance with Safety Program

A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs of which Engineer has been informed.

#### ARTICLE 11—CHANGES TO THE CONTRACT

# 11.01 Amending and Supplementing the Contract

- A. The Contract may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
- B. If an amendment or supplement to the Contract includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order.
- C. All changes to the Contract that involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, must be supported by Engineer's recommendation. Owner and Contractor may amend other terms and conditions of the Contract without the recommendation of the Engineer.

# 11.02 Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
  - Changes in Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
  - Changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
  - 3. Changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.05, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters; and
  - 4. Changes that embody the substance of any final and binding results under: Paragraph 11.03.B, resolving the impact of a Work Change Directive; Paragraph 11.09, concerning Change Proposals; Article 12, Claims; Paragraph 13.02.D, final adjustments resulting from allowances; Paragraph 13.03.D, final adjustments relating to determination of quantities for Unit Price Work; and similar provisions.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of Paragraph 11.02.A, it will be deemed to be of full force and effect, as if fully executed.

# 11.03 Work Change Directives

A. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.07 regarding change of Contract Price.

- B. If Owner has issued a Work Change Directive and:
  - 1. Contractor believes that an adjustment in Contract Times or Contract Price is necessary, then Contractor shall submit any Change Proposal seeking such an adjustment no later than 30 days after the completion of the Work set out in the Work Change Directive.
  - Owner believes that an adjustment in Contract Times or Contract Price is necessary, then
    Owner shall submit any Claim seeking such an adjustment no later than 60 days after
    issuance of the Work Change Directive.

#### 11.04 Field Orders

- A. Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly.
- B. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

## 11.05 Owner-Authorized Changes in the Work

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Changes involving the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters will be supported by Engineer's recommendation.
- B. Such changes in the Work may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work must be performed under the applicable conditions of the Contract Documents.
- C. Nothing in this Paragraph 11.05 obligates Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

## 11.06 Unauthorized Changes in the Work

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.C.2.

## 11.07 Change of Contract Price

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment of Contract Price must comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:

- 1. Where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03);
- Where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.07.C.2); or
- 3. Where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.07.C).
- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit will be determined as follows:
  - 1. A mutually acceptable fixed fee; or
  - 2. If a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
    - a. For costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee will be 15 percent;
    - b. For costs incurred under Paragraph 13.01.B.3, the Contractor's fee will be 5 percent;
    - c. Where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.07.C.2.a and 11.07.C.2.b is that the Contractor's fee will be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of 5 percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted Work the maximum total fee to be paid by Owner will be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the Work;
    - d. No fee will be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
    - e. The amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in Cost of the Work will be the amount of the actual net decrease in Cost of the Work and a deduction of an additional amount equal to 5 percent of such actual net decrease in Cost of the Work; and
    - f. When both additions and credits are involved in any one change or Change Proposal, the adjustment in Contractor's fee will be computed by determining the sum of the costs in each of the cost categories in Paragraph 13.01.B (specifically, payroll costs, Paragraph 13.01.B.1; incorporated materials and equipment costs, Paragraph 13.01.B.2; Subcontract costs, Paragraph 13.01.B.3; special consultants costs, Paragraph 13.01.B.4; and other costs, Paragraph 13.01.B.5) and applying to each such cost category sum the appropriate fee from Paragraphs 11.07.C.2.a through 11.07.C.2.e, inclusive.

#### 11.08 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment in the Contract Times must comply with the provisions of Article 12.
- B. Delay, disruption, and interference in the Work, and any related changes in Contract Times, are addressed in and governed by Paragraph 4.05.

# 11.09 Change Proposals

A. Purpose and Content: Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; contest an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; challenge a set-off against payment due; or seek other relief under the Contract. The Change Proposal will specify any proposed change in Contract Times or Contract Price, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents. Each Change Proposal will address only one issue, or a set of closely related issues.

# B. Change Proposal Procedures

- 1. *Submittal*: Contractor shall submit each Change Proposal to Engineer within 30 days after the start of the event giving rise thereto, or after such initial decision.
- 2. Supporting Data: The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal.
  - a. Change Proposals based on or related to delay, interruption, or interference must comply with the provisions of Paragraphs 4.05.D and 4.05.E.
  - b. Change proposals related to a change of Contract Price must include full and detailed accounts of materials incorporated into the Work and labor and equipment used for the subject Work.

The supporting data must be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event.

- 3. Engineer's Initial Review: Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal. If in its discretion Engineer concludes that additional supporting data is needed before conducting a full review and making a decision regarding the Change Proposal, then Engineer may request that Contractor submit such additional supporting data by a date specified by Engineer, prior to Engineer beginning its full review of the Change Proposal.
- 4. Engineer's Full Review and Action on the Change Proposal: Upon receipt of Contractor's supporting data (including any additional data requested by Engineer), Engineer will conduct a full review of each Change Proposal and, within 30 days after such receipt of the Contractor's supporting data, either approve the Change Proposal in whole, deny it in whole, or approve it in part and deny it in part. Such actions must be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change

Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.

- 5. *Binding Decision*: Engineer's decision is final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.
- C. Resolution of Certain Change Proposals: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties in writing that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice will be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.
- D. *Post-Completion*: Contractor shall not submit any Change Proposals after Engineer issues a written recommendation of final payment pursuant to Paragraph 15.06.B.

## 11.10 Notification to Surety

A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

#### **ARTICLE 12—CLAIMS**

#### 12.01 *Claims*

- A. *Claims Process*: The following disputes between Owner and Contractor are subject to the Claims process set forth in this article:
  - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
  - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents;
  - 3. Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters; and
  - 4. Subject to the waiver provisions of Paragraph 15.07, any dispute arising after Engineer has issued a written recommendation of final payment pursuant to Paragraph 15.06.B.
- B. Submittal of Claim: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim rests with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge

- and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. Review and Resolution: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim will be stated in writing and submitted to the other party, with a copy to Engineer.

#### D. Mediation

- 1. At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate will stay the Claim submittal and response process.
- 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process will resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process will resume as of the date of the conclusion of the mediation, as determined by the mediator.
- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action will be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. Denial of Claim: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim will be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. Final and Binding Results: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim will be incorporated in a Change Order or other written document to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

## ARTICLE 13—COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

# 13.01 Cost of the Work

- A. Purposes for Determination of Cost of the Work: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
  - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or

- 2. When needed to determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. Costs Included: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work will be in amounts no higher than those commonly incurred in the locality of the Project, will not include any of the costs itemized in Paragraph 13.01.C, and will include only the following items:
  - 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor in advance of the subject Work. Such employees include, without limitation, superintendents, foremen, safety managers, safety representatives, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work will be apportioned on the basis of their time spent on the Work. Payroll costs include, but are not limited to, salaries and wages plus the cost of fringe benefits, which include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, will be included in the above to the extent authorized by Owner.
  - 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts will accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment will accrue to Owner, and Contractor shall make provisions so that they may be obtained.
  - 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, which will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee will be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
  - 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed or retained for services specifically related to the Work.
  - 5. Other costs consisting of the following:
    - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
    - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, which are

consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.

 In establishing included costs for materials such as scaffolding, plating, or sheeting, consideration will be given to the actual or the estimated life of the material for use on other projects; or rental rates may be established on the basis of purchase or salvage value of such items, whichever is less. Contractor will not be eligible for compensation for such items in an amount that exceeds the purchase cost of such item.

# c. Construction Equipment Rental

- 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner as to price (including any surcharge or special rates applicable to overtime use of the construction equipment or machinery), and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs will be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts must cease when the use thereof is no longer necessary for the Work.
- 2) Costs for equipment and machinery owned by Contractor or a Contractor-related entity will be paid at a rate shown for such equipment in the equipment rental rate book specified in the Supplementary Conditions. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs.
- 3) With respect to Work that is the result of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price ("changed Work"), included costs will be based on the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, must cease to accrue when the use thereof is no longer necessary for the changed Work.
- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of builder's risk or other property insurance established in accordance with Paragraph 6.04), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses will be included in the Cost of the Work for the purpose of determining Contractor's fee.

- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.
- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. Costs Excluded: The term Cost of the Work does not include any of the following items:
  - 1. Payroll costs and other compensation of Contractor's officers, executives, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
  - 2. The cost of purchasing, renting, or furnishing small tools and hand tools.
  - 3. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
  - 4. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
  - 5. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
  - 6. Expenses incurred in preparing and advancing Claims.
  - 7. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

## D. Contractor's Fee

- 1. When the Work as a whole is performed on the basis of cost-plus-a-fee, then:
  - a. Contractor's fee for the Work set forth in the Contract Documents as of the Effective Date of the Contract will be determined as set forth in the Agreement.
  - b. for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work, Contractor's fee will be determined as follows:
    - 1) When the fee for the Work as a whole is a percentage of the Cost of the Work, the fee will automatically adjust as the Cost of the Work changes.
    - 2) When the fee for the Work as a whole is a fixed fee, the fee for any additions or deletions will be determined in accordance with Paragraph 11.07.C.2.
- 2. When the Work as a whole is performed on the basis of a stipulated sum, or any other basis other than cost-plus-a-fee, then Contractor's fee for any Work covered by a Change

Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work will be determined in accordance with Paragraph 11.07.C.2.

E. Documentation and Audit: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor and pertinent Subcontractors will establish and maintain records of the costs in accordance with generally accepted accounting practices. Subject to prior written notice, Owner will be afforded reasonable access, during normal business hours, to all Contractor's accounts, records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner. Pertinent Subcontractors will afford such access to Owner, and preserve such documents, to the same extent required of Contractor.

#### 13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. Cash Allowances: Contractor agrees that:
  - the cash allowances include the cost to Contractor (less any applicable trade discounts)
    of materials and equipment required by the allowances to be delivered at the Site, and
    all applicable taxes; and
  - 2. Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment for any of the foregoing will be valid.
- C. *Owner's Contingency Allowance*: Contractor agrees that an Owner's contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor for Work covered by allowances, and the Contract Price will be correspondingly adjusted.

#### 13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision

thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, and the final adjustment of Contract Price will be set forth in a Change Order, subject to the provisions of the following paragraph.

# E. Adjustments in Unit Price

- 1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
  - a. the quantity of the item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
  - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
- 2. The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
- 3. Adjusted unit prices will apply to all units of that item.

## ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

#### 14.01 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply with such procedures and programs as applicable.

## 14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work will be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.

- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
  - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;
  - 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
  - 3. by manufacturers of equipment furnished under the Contract Documents;
  - 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
  - 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests will be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering will be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

#### 14.03 Defective Work

- A. Contractor's Obligation: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt written notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs,

losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

# 14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work will be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

# 14.05 Uncovering Work

- A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
  - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
  - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

#### 14.06 Owner May Stop the Work

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work,

or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work will not give rise to any duty on the part of Owner to exercise this right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

# 14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace defective Work as required by Engineer, then Owner may, after 7 days' written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

#### ARTICLE 15—PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

## 15.01 Progress Payments

A. Basis for Progress Payments: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments for Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

## B. Applications for Payments

- At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
- 2. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment must also be accompanied by: (a) a bill of sale, invoice, copies of subcontract or purchase order payments, or other documentation

establishing full payment by Contractor for the materials and equipment; (b) at Owner's request, documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and (c) evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.

- Beginning with the second Application for Payment, each Application must include an
  affidavit of Contractor stating that all previous progress payments received by Contractor
  have been applied to discharge Contractor's legitimate obligations associated with prior
  Applications for Payment.
- 4. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

# C. Review of Applications

- Engineer will, within 10 days after receipt of each Application for Payment, including each
  resubmittal, either indicate in writing a recommendation of payment and present the
  Application to Owner, or return the Application to Contractor indicating in writing
  Engineer's reasons for refusing to recommend payment. In the latter case, Contractor
  may make the necessary corrections and resubmit the Application.
- 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
  - a. the Work has progressed to the point indicated;
  - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
  - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
  - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
  - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
  - a. to supervise, direct, or control the Work;
  - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto;
  - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work;
  - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid by Owner; or
  - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
  - a. the Work is defective, requiring correction or replacement;
  - b. the Contract Price has been reduced by Change Orders;
  - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
  - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
  - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

# D. Payment Becomes Due

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

# E. Reductions in Payment by Owner

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
  - a. Claims have been made against Owner based on Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages resulting from Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;

- b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
- c. Contractor has failed to provide and maintain required bonds or insurance;
- d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
- e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
- f. The Work is defective, requiring correction or replacement;
- g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
- h. The Contract Price has been reduced by Change Orders;
- i. An event has occurred that would constitute a default by Contractor and therefore justify a termination for cause;
- j. Liquidated or other damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
- k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens; or
- I. Other items entitle Owner to a set-off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed will be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
- 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld will be treated as an amount due as determined by Paragraph 15.01.D.1 and subject to interest as provided in the Agreement.

## 15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than 7 days after the time of payment by Owner.

# 15.03 Substantial Completion

A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time

- submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which will fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have 7 days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

## 15.04 Partial Use or Occupancy

A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without

significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:

- At any time, Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through 15.03.E for that part of the Work.
- 2. At any time, Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
- 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
- 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.04 regarding builder's risk or other property insurance.

## 15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

## 15.06 Final Payment

# A. Application for Payment

- After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.12), and other documents, Contractor may make application for final payment.
- 2. The final Application for Payment must be accompanied (except as previously delivered) by:
  - a. all documentation called for in the Contract Documents;
  - b. consent of the surety, if any, to final payment;
  - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.

- d. a list of all duly pending Change Proposals and Claims; and
- e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- 3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Final Application and Recommendation of Payment: If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within 10 days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the final Application for Payment to Owner for payment. Such recommendation will account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. Notice of Acceptability: In support of its recommendation of payment of the final Application for Payment, Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to stated limitations in the notice and to the provisions of Paragraph 15.07.
- D. Completion of Work: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment and issuance of notice of the acceptability of the Work.
- E. Final Payment Becomes Due: Upon receipt from Engineer of the final Application for Payment and accompanying documentation, Owner shall set off against the amount recommended by Engineer for final payment any further sum to which Owner is entitled, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions of this Contract with respect to progress payments. Owner shall pay the resulting balance due to Contractor within 30 days of Owner's receipt of the final Application for Payment from Engineer.

# 15.07 Waiver of Claims

A. By making final payment, Owner waives its claim or right to liquidated damages or other damages for late completion by Contractor, except as set forth in an outstanding Claim,

- appeal under the provisions of Article 17, set-off, or express reservation of rights by Owner. Owner reserves all other claims or rights after final payment.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted as a Claim, or appealed under the provisions of Article 17.

#### 15.08 Correction Period

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the Supplementary Conditions or the terms of any applicable special guarantee required by the Contract Documents), Owner gives Contractor written notice that any Work has been found to be defective, or that Contractor's repair of any damages to the Site or adjacent areas has been found to be defective, then after receipt of such notice of defect Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
  - 1. correct the defective repairs to the Site or such adjacent areas;
  - 2. correct such defective Work;
  - 3. remove the defective Work from the Project and replace it with Work that is not defective, if the defective Work has been rejected by Owner, and
  - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting from the corrective measures.
- B. Owner shall give any such notice of defect within 60 days of the discovery that such Work or repairs is defective. If such notice is given within such 60 days but after the end of the correction period, the notice will be deemed a notice of defective Work under Paragraph 7.17.B.
- C. If, after receipt of a notice of defect within 60 days and within the correction period, Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others). Contractor's failure to pay such costs, losses, and damages within 10 days of invoice from Owner will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the failure to pay.
- D. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- E. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

F. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph are not to be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

## ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

## 16.01 Owner May Suspend Work

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times directly attributable to any such suspension. Any Change Proposal seeking such adjustments must be submitted no later than 30 days after the date fixed for resumption of Work.

# 16.02 Owner May Terminate for Cause

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
  - 1. Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment, or failure to adhere to the Progress Schedule);
  - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
  - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
  - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) 10 days' written notice that Owner is considering a declaration that Contractor is in default and termination of the Contract, Owner may proceed to:
  - 1. declare Contractor to be in default, and give Contractor (and any surety) written notice that the Contract is terminated; and
  - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within 7 days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects,

attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond will govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

## 16.03 Owner May Terminate for Convenience

- A. Upon 7 days' written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
  - completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
  - expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
  - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid for any loss of anticipated profits or revenue, post-termination overhead costs, or other economic loss arising out of or resulting from such termination.

#### 16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon 7 days' written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due, Contractor may, 7 days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The

provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

## **ARTICLE 17—FINAL RESOLUTION OF DISPUTES**

## 17.01 Methods and Procedures

- A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this article:
  - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full, pursuant to Article 12; and
  - 2. Disputes between Owner and Contractor concerning the Work, or obligations under the Contract Documents, that arise after final payment has been made.
- B. *Final Resolution of Disputes*: For any dispute subject to resolution under this article, Owner or Contractor may:
  - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions;
  - agree with the other party to submit the dispute to another dispute resolution process;
  - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

## **ARTICLE 18—MISCELLANEOUS**

## 18.01 Giving Notice

- A. Whenever any provision of the Contract requires the giving of written notice to Owner, Engineer, or Contractor, it will be deemed to have been validly given only if delivered:
  - 1. in person, by a commercial courier service or otherwise, to the recipient's place of business;
  - 2. by registered or certified mail, postage prepaid, to the recipient's place of business; or
  - 3. by e-mail to the recipient, with the words "Formal Notice" or similar in the e-mail's subject line.

## 18.02 Computation of Times

A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

#### 18.03 Cumulative Remedies

A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

# 18.04 Limitation of Damages

A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

## 18.05 No Waiver

A. A party's non-enforcement of any provision will not constitute a waiver of that provision, nor will it affect the enforceability of that provision or of the remainder of this Contract.

# 18.06 Survival of Obligations

A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination of the Contract or of the services of Contractor.

# 18.07 Controlling Law

A. This Contract is to be governed by the law of the state in which the Project is located.

## 18.08 Assignment of Contract

A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party to this Contract of any rights under or interests in the Contract will be binding on the other party without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract.

## 18.09 Successors and Assigns

A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

## 18.10 Headings

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

# **DIVISION 1 - GENERAL REQUIREMENTS**

#### **SECTION 1D - GENERAL CONDITIONS**

# 1D1.2 [1] SUPPLEMENTAL GENERAL CONDITIONS

These Supplemental General Conditions amend or supplement the "Standard General Conditions of the Construction Contract" and other provisions of the Contract Documents. All provisions which are not so amended or supplemented remain in full force and effect.

# ARTICLE SC-1 DEFINITIONS AND TERMINOLOGY

**ENGINEER** - Whenever the word "ENGINEER" is used in this Contract, it shall be understood as referring to Urban Engineering, LLC, 2725 Swantner, Corpus Christi, Texas 78404, (361) 854-3101, or their authorized representative.

**Design Specification** - Whenever the term "Design Specification" is used, it shall be understood that the performance of the completed work is as designed by the ENGINEER, and the CONTRACTOR must follow the requirements of the drawings and specifications; follow the manufacturer's recommendations (material and equipment); follow industry standard procedures and provide top quality workmanship.

**Performance Specification** - Whenever the term "Performance Specification" is used, it shall be understood that the performance of the completed work is the responsibility of the CONTRACTOR, provided the OWNER has faithfully followed all <u>written</u> operational and maintenance instructions supplied by the CONTRACTOR. The CONTRACTOR is <u>not</u> relieved of the responsibility for improper performance of the completed work even if there was improper operation and/or maintenance by the OWNER but it obviously was not the cause of improper performance. In a performance specification, the CONTRACTOR is responsible for the design of the item furnished and installed by him. It is intended that the item function properly without excessive operation and maintenance being required by the OWNER. The item furnished must incorporate the features specified but still perform as intended. The materials specified are to set a minimum standard but shall not be considered a design. If the design furnished by the CONTRACTOR requires higher quality material in order to perform as intended, it shall be furnished at no increase in cost to the Contract amount. When minimum dimensions are specified, they shall not be considered a design. If the design furnished by the CONTRACTOR requires larger dimensions in order to perform as intended, it shall be furnished at no increase in cost to the Contract amount.

*Material* - Whenever the word "Material" is used or inferred in this Contract, it shall be understood as referring to material that is new. Both workmanship and material shall be of good quality. The CONTRACTOR shall furnish satisfactory evidence as to the kind and quality of materials being furnished. Materials described in words which so applied have a well known technical or trade meaning shall be held to refer to such recognized standards.

**Notice** - All notices permitted or required to be given under the terms of the Contract Documents shall be in writing. Any such notices shall be deemed to have been duly served if delivered in person to the individual or to a member of the firm or to an office of the corporation for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, return receipt requested, to the address of the receiving party shown on the Agreement, or to such other address as such party may have indicated to the other party in accordance with the terms of this paragraph.

Contract Documents - Contract Documents are defined in Article 1 of the General Conditions. Also to be considered as Contract Documents are the "Bidding Documents" (Invitation to Bid, Instructions to Bidders, Proposal Bid Form, Bid Bond, etc.) "Special Conditions" and any other Federal or State requirements listed in the Index.

**Special Conditions** - Whenever the term "Special Conditions" is used, it shall be understood as being those general requirements that are set out in such detail that they apply only to this Contract.

**Standard Specifications** - All references to standard specifications or manufacturer's installation directions shall mean the latest edition thereof. Reference to technical society, organization or body is made in specifications in accordance with the following abbreviations:

AASHO American Association of State Highway Officials

ACI American Concrete Institute
ASA American Standards Association
ASTM American Society for Testing Materials
AWWA American Water Works Association

FS Federal Specifications
PCA Portland Cement Association

AIEE American Institute of Electrical Engineers

NEC National Electrical Code
UL Underwriter's Laboratories
AISI American Iron and Steel Institute
API American Petroleum Institute

IPCEA Insulated Power Cable Engineer's Association NEMA National Electrical Manufacturer's Association

AWS American Welding Society

AISC American Institute of Steel Construction

Some specification items cover construction requirements and materials in a comprehensive manner, and only pertinent portions of these items apply.

*Calendar Day* (as related to contract time of completion as stipulated in the Contract Documents) - A Calendar Day is any day of the calendar, including Saturdays, Sundays and Holidays.

## ARTICLE SC-2 PRELIMINARY MATTERS

# 2.1 Progress Schedule:

Paragraphs 2.03, 2.05 and 4.04 of the Standard General Conditions requires the preparation and updating of a Progress Schedule. This schedule shall be revised once each month to show actual progress to proposed progress. Three copies of the revised schedule shall be submitted with the Contractor's Monthly Request for Payment. The monthly request for payment will not be approved by the ENGINEER until these copies have been received.

#### 2.2 Shop Drawings Schedule:

See Article SC-7 Contractor's Responsibilities of these Supplemental General Conditions.

# 2.3 Schedule of Values:

A Cost Breakdown shall be provided as set out in Article SC-15.2 of the Supplemental General Conditions for all lump sum items.

# 2.4 Schedule of Payments:

The schedule of payments shall list the items in the breakdown of the bid with a column to show the anticipated dollar value completed each month. The schedule shall show total anticipated monthly payments and the accumulative percent complete (based on dollar value) as well as actual total monthly payment and the accumulative percent complete. *This schedule of payments shall be revised once each month to show actual payment to estimated payment.* The estimated payments shall be updated each month to show the anticipated payment for the following month. Failure of the CONTRACTOR to diligently prepare this schedule of payments may cause his monthly payment to be delayed.

### ARTICLE SC-3 CONTRACT DOCUMENTS

#### 3.1 General:

The Contract Documents are complementary; what is called for by one is as binding as if called for by all.

#### 3.2 Conflicts and Partial Omissions:

A conflict occurs only when specific instructions that conflict are given. Example: Drawings show concrete sidewalk to have 6 x 6 - #6 x #6 wire mesh reinforcing but specifications call for 6 x 6 - #10 x #10 wire mesh reinforcing. This conflict would be resolved by invoking the priority of Contract Documents as set out below (drawings take priority over specifications). In the case of dimensions, figured dimensions take precedent over scaled dimensions. A partial omission occurs when information is shown only in one section of the Contract Documents. Example: Drawings do not show waterstop in construction joints but technical specifications do require them. The waterstop must be provided at no increase in Contract price because a requirement in any Contract Document is binding.

#### 3.3 Priority of Interpretation:

In the event of a conflict between the various Contract Documents, the priority of interpretation shall be in the following order: Signed Agreement, Federal or State Requirements (if any), Addenda, Drawings, Special Conditions, Invitation for Bids, Instructions to Bidders, Supplemental General Conditions, General Conditions, Technical Specifications, Proposal, Notice to Bidders and Bonds.

#### 3.4 Errors and Omissions:

The CONTRACTOR shall carefully check these specifications and the Contract Drawings, and report to the ENGINEER any errors or omissions discovered, whereupon full instructions will be furnished promptly by the ENGINEER. If errors or omissions are so discovered and reported before the work to which they pertain is constructed, and if correction of such errors or omissions causes an increase in Contractor's cost, CONTRACTOR shall be compensated for such increase in cost as provided elsewhere. CONTRACTOR shall bear the expense of correcting any errors and omissions on the drawings or specifications, which are not discovered or reported by the CONTRACTOR prior to construction and which, in the opinion of the ENGINEER, could have been discovered by reasonable diligence on the part of the CONTRACTOR.

It is the intent of this Contract that all work must be done and all material must be furnished in accordance with the generally accepted practice, and in the event of any discrepancies between the separate Contract Documents, the priority of interpretation defined above shall govern. Further, it is the intent of the Contract Documents that the CONTRACTOR shall perform all work to complete the project ready for its intended use. The CONTRACTOR will not be allowed extra payment when a literal interpretation of any portion of the Contract Documents would conflict with the obvious intent of the Contract Documents. Example: The Plan View of a building indicates 17 doors but the door schedule indicates 16 doors; the CONTRACTOR will provide 17 doors without being allowed extra payment. The ENGINEER shall be permitted to make such corrections or interpretations as may be deemed necessary for the fulfillment of the intent of the Contract Documents.

In the event the CONTRACTOR discovers an apparent error or discrepancy, he shall immediately call this to the attention of the ENGINEER. The CONTRACTOR shall not take advantage of any apparent error or omission in the Contract Documents to obtain additional compensation.

#### 3.5 Lack of Information:

If the CONTRACTOR feels that there is insufficient information in order for him to prepare his bid and/or construct the work, he is required to make a written request for additional information. The CONTRACTOR shall not use the lack of information as a basis for requesting extra compensation.

#### ARTICLE SC-4 COMMENCEMENT AND PROGRESS OF THE WORK

No Supplemental Provisions This Contract

# ARTICLE SC-5 AVAILABILITY OF LANDS; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS REFERENCE POINTS

# 5.1 Lands for Work:

OWNER provided, as indicated on the drawings, land upon which work is to be done right-of-way for access to it, and such other lands, which are designated for use of CONTRACTOR. CONTRACTOR provides at his expense and without liability of OWNER any additional land and access thereto that may be required for his construction operations, temporary construction facilities, or for storage materials.

#### 5.2 Subsurface Conditions:

Technical Data: See Section 2B14 - Geotechnical Investigation, if included.

#### 5.3 Contractor's Buildings:

The building of structures for housing men, or the erection of tents or other forms of protection, will be permitted only at such places as the ENGINEER shall direct, and the sanitary conditions of the grounds in or about such structures shall at all times be maintained in a manner satisfactory to the ENGINEER. Also see Section 1E15 Field Office of the Special Conditions, if included.

#### 5.4 Sanitation:

Necessary sanitary conveniences for the use of laborers on the work, properly secluded from the public observation, shall be constructed and maintained by the CONTRACTOR in such manner and at such points as shall be approved by the ENGINEER, and their use shall be strictly enforced.

# 5.5 Utility Services for Construction:

If temporary utility services, including water, are required, contract with utility company concerned. CONTRACTOR to furnish all temporary utility services and water at his expense.

# 5.6 Underground Utilities:

The drawings show as much information as can be reasonably obtained by an engineering survey party and from City and utility company records regarding the location and nature of pipelines, storm sewers, water lines, sanitary sewer, telephone conduits, etc. However, the accuracy of or completeness of such information is not guaranteed. It shall be the Contractor's responsibility to locate such underground features sufficiently in advance of operation to preclude damage to same. In the event of damage to underground facilities whether shown or not in the drawings, the CONTRACTOR shall make the necessary repairs to place the facilities back in service at no increase in the Contract price and all such repairs shall conform to the requirements of the company or agency servicing the facility.

# 5.7 Deviations Occasioned by Utility Structures:

Whenever existing utilities present obstructions to grades and alignment of structures immediately notify ENGINEER who, without delay, will determine whether existing work is to be relocated. Where necessary to move services, poles, guy wires, pipelines or other obstructions, make arrangements with owners of utilities. OWNER will not be liable for damages on account of delays due to changes made by owners of privately owned utilities which hinder progress of work.

# 5.8 Existing Obstructions:

No obstructions are known to exist within the limits of the project. However, the ENGINEER does not in any way warrant that the CONTRACTOR may not find obstructions. The CONTRACTOR shall be responsible for removing and disposing of any obstructions found in the project areas.

#### 5.9 Fences and Other Obstructions:

Where necessary to take down fences, signs or other obstructions, replace facilities in their original condition, regardless if on public or private property.

# ARTICLE SC-6 BONDS AND INSURANCE

# 6.1 Bonds:

See Subsection 1A2 "Instructions To Bidders" for the bonds that are required for this project.

#### 6.2 Insurance:

See Subsection 1C5 "Insurance Requirements and Acknowledgment" for a schedule of Insurance coverage required for this project.

#### ARTICLE SC-7 CONTRACTOR'S RESPONSIBILITIES

## 7.1 Shop Drawings:

Shop drawings are submittal information that the CONTRACTOR is required to furnish to the ENGINEER. Shop drawings include but are not limited to the following:

- A. Fabrication drawings
- B. Setting drawings
- C. Manufacturer's design drawings
- D. Manufacturer's detailed specifications
- E. Schedules and cut sheets

#### 7.1.1 Items on Which Shop Drawings are Required:

The CONTRACTOR shall furnish to the ENGINEER, for approval, within 10 days of the date of the "Notice to Proceed with Construction" (Work Order), a complete list of all items on which shop drawings will be prepared.

#### 7.1.2 Preparation of Shop Drawings:

Shop drawings shall be prepared in such a form and detail that full compliance with the Contract Documents and the best practices of the industry are clearly demonstrated. All shop drawings involving equipment of any nature shall include a full and complete parts list, the current street address and current phone number of the nearest authorized dealer, the current street address and current phone number of the nearest authorized repair facility, and a full and complete list of recommended spare parts. The CONTRACTOR shall include with each and every shop drawing submitted a signed statement that the submittal has been reviewed by the CONTRACTOR and is in full compliance with the Contract Documents. In the event there are minor deviations from the Contract Documents, the CONTRACTOR shall itemize each and every deviation and state in writing why the deviation is required and exactly how the submitted equipment or material will be equal to or better than that required by the Contract Documents. Shop Drawings received without the required statement and/or without a complete list of deviations shall be rejected and a resubmittal will be required.

#### 7.1.3 Payment for Preparation of Shop Drawings:

The CONTRACTOR shall receive no direct payment for the preparation of shop drawings; that cost is considered subsidiary to the appropriate bid item.

# 7.1.4 Submission of Shop Drawings:

The CONTRACTOR shall submit to the ENGINEER, with such promptness as to cause no delay in his own work or in that of any other Contractor, six copies of all shop drawings required, and the ENGINEER shall pass upon them with reasonable promptness. If ENGINEER rejects drawings, resubmit corrected drawings until drawings are acceptable to ENGINEER. Such procedure shall not be considered cause for delay. Obtain approval prior to purchase or fabrication.

#### 7.1.5 Responsibility for Shop Drawings:

Review by the ENGINEER shall not constitute acceptance by the ENGINEER of any responsibility for the accuracy, coordination and completeness of the shop drawings or the items of equipment or material represented in the submission. Accuracy, coordination and completeness of shop drawings shall be the sole responsibility of the CONTRACTOR, including full responsibility to conform with any of the Engineer's review comments, corrections, modifications or notes and to fully conform with the Contract Documents. In all cases, the CONTRACTOR and the CONTRACTOR alone is responsible for the correctness of dimensions.

#### 7.1.6 Charges for Resubmittal of Shop Drawings:

The CONTRACTOR shall submit complete and acceptable shop drawings at least by the first resubmittal. The OWNER shall therefore withhold from payments due the CONTRACTOR to cover the additional costs of the ENGINEER'S review beyond the first resubmittal. Any reviews beyond the first resubmittal will be done at the ENGINEER'S CONVENIENCE and at the ENGINEER'S standard billing rates.

#### 7.2 Reporting Errors and Omissions:

See Paragraph SC-3.4 of these Supplemental General Conditions.

#### 7.3 Alternate Designs:

If alternate design features are proposed for convenience of CONTRACTOR, submit design calculations and detailed drawings covering proposed changes and related modifications of contract drawings to ENGINEER for approval. Make drawings same size as contract drawings and of comparable quality. Make payment of charges resulting from modifications including engineering charges for checking such designs.

# 7.4 Variations Due to Equipment:

Foundations, structural supports, electrical work and piping shown on drawings for items of equipment may be changed if necessary to accommodate equipment furnished. Every effort has been made to design foundations, structural supports, electrical work and piping so that no changes will be necessary; however, exact dimensions and size of subject foundations and structural supports and exact electrical and piping installation cannot be finally determined until various items of equipment are purchased and manufacturer's certified shop drawings are secured. Make required changes, with prior approval of ENGINEER, at no cost to OWNER. If substitute items of equipment are authorized which vary materially from those shown on the drawings, prepare and submit equipment data and detailed drawings covering necessary modifications to ENGINEER for approval. Make drawings same size as contract drawings and of comparable quality. Make payment of charges resulting from substitution, including engineering charges for checking modifications.

#### 7.5 Certifications:

The CONTRACTOR shall have the manufacturer or supplier of all materials used in the construction of this project certify that the particular material being furnished conforms to the requirements of these specifications.

7.5.1 Certification Form:		
A sample certification form	n for reinforced concrete pipe is as follows:	
"I,	, representing	, do herel
certify that the concrete pipe being furnished for the		conforms to the AST
Specification C-76, for Class	III, Wall B, reinforced concrete culvert pipe.	
	Signed	
	Title	

Certifications for other material shall be similar as to form and content. The furnishing of this certificate does not prevent the ENGINEER from rejecting at the project site any material that has been damaged or that does not, in the opinion of the ENGINEER, meet the requirements of these specifications.

#### 7.6 Protection of Property and Facilities:

#### 7.6.1 Protection of Adjoining Property:

The said CONTRACTOR shall take proper means to protect the adjacent or adjoining property or properties in any way encountered, which might be injured or seriously affected by any process of construction to be undertaken under this Agreement, from any damage or injury by reason of said process of construction; and, he shall be liable for any and all claims for such damage on account of his failure to fully protect all adjoining property. The CONTRACTOR agrees to indemnify, save and hold harmless the OWNER against any claim or claims for damages due to any injury to any adjacent or adjoining property, arising or growing out of the performance of the Contract; but, any such indemnity shall not apply to any claim of any kind arising out of the existence or character of the work.

#### 7.6.2 Public Utilities and Private Property:

The CONTRACTOR shall protect all buildings and other property, which may be endangered during progress of the work and leave same in as good condition as when found. Where sewer mains, water mains, sewer services, water services, gas mains, gas services, telephone or electrical conduits, poles and facilities of other public utilities are encountered, give protection in all cases. Where excavation is made below existing utilities, substantially support with wood blocks, beams or other means as directed, any pipe, conduit, or other units so that same may be left in good working condition with no damage of after settlement. The CONTRACTOR shall replace or repair damaged property at no cost to the OWNER. No valve, switch or other control on existing utility systems shall be operated for any purposes by the CONTRACTOR without approval of the ENGINEER and the utility. Exercise care in performing work so as not to interrupt service. Locate and uncover existing utilities ahead of heavy excavation equipment. If utility service must be interrupted, all consumers affected by such operations shall be notified by the CONTRACTOR as directed by the ENGINEER and/or utility before the operation and advised of the probable time when service will be restored.

### 7.6.3 Protection of Trees, Plants and Shrubs:

Except when shown otherwise on the drawings, all existing trees, plants and shrubs shall be protected from damage during construction operations. Substantially constructed guards, barricades or other protective measures shall be provided as required to protect against moving equipment. CONTRACTOR shall replace at his own expense any trees, plants and shrubs which, in the opinion of the ENGINEER, are damaged or destroyed due to carelessness. Trees, plants and shrubs that fall within the limits of street, sidewalk or driveway excavation shall be removed by the CONTRACTOR. If the abutting property owner requests it, the CONTRACTOR shall remove them in a manner suitable for replanting and carefully deposit them on the landowner's property (large trees are excepted); otherwise, they shall become the property of the CONTRACTOR. Unless shown otherwise on the drawings, trees, plants and shrubs that fall within the limits of pipe trench excavation shall be removed and replanted by the CONTRACTOR in their original position as nearly as possible. As long as the CONTRACTOR uses reasonable diligence in removing and replanting trees, plants and shrubs, he shall not be responsible for their survival.

#### 7.7 Equipment, Materials and Construction Plant:

The CONTRACTOR shall be responsible for the care, preservation and protection of all materials, supplies, machinery, equipment, tools, apparatus, accessories, facilities, all means of construction, and any and all parts of the work, whether the CONTRACTOR has been paid, partially paid, or not paid for such work, until the entire work is completed and accepted

#### 7.7.1 Storage of Materials:

No materials shall be stored nor shall any equipment be parked on adjacent property without the expressed consent of the owner of the property concerned. Watertight storage facilities of suitable size with floors raised above the ground shall be provided for materials liable to damage from exposure to the weather. Other materials shall be stored on blocks off the ground per manufacturer's recommendation or as directed by the ENGINEER. Materials shall be so placed as to permit easy access for inspection and identification. Any material, which has deteriorated, become damaged or is otherwise unfit for use, shall not be used in the work. Upon completion of all work or when directed by the ENGINEER, the CONTRACTOR shall remove the storage facilities from the site.

#### 7.7.2 Material and Equipment:

Incorporate into work only new materials and equipment, unless otherwise designated. Store these materials and equipment in such manner to protect them from damage. Manner of protection subject to specific approval of ENGINEER. Pipe, fittings, equipment and other serviceable materials found on site of work, or dismantled by reason of construction, remain property of OWNER. Remove and deliver materials to OWNER at designated points. Pay for usable materials that are damaged through negligence at prevailing market price.

#### 7.8 Detours:

The CONTRACTOR shall provide barricades, signs, lights, guards and any other items required to maintain properly marked detours around his operation.

#### 7.9 Inconvenience to the Public:

It is the declared and acknowledged intent of these specifications that all work such as backfilling of excavations, repairs to roads and driveways, and cleanup work or other such operations shall follow as closely as practical to the laying and constructing operation in such manner that the public is not unnecessarily inconvenienced, nor a hazard to public safety created. The ENGINEER shall be entitled notify the CONTRACTOR if his force and/or equipment are insufficient to such a degree that the public is unnecessarily inconvenienced and/or a hazard to the public safety is created. The CONTRACTOR, upon such notification by the ENGINEER, shall make the necessary changes to his force and/or equipment, or the ENGINEER may stop the work in order to insure the proper execution of the work and to avoid inconveniences to the public or avoid safety hazards as herein noted.

#### 7.10 Guarantee:

All work, including equipment, shall be warranted to be free from defects due to faulty workmanship or materials for a period of one (1) year from date of issue of a Certificate of Substantial Completion by the ENGINEER. This guarantee does not include maintenance. This guarantee does not include normal wear. (If, during the one-year period, OWNER transfers title to the work and/or equipment, it is understood that the guarantee will inure to the benefit of the new owner.) Upon notice from OWNER, his agent or assigns, repair defects in all construction, which develop during specific period at no cost to OWNER or his assigns. Neither final acceptance nor final payment nor any provision in Contract Documents relieves CONTRACTOR of above guarantee. Notice of observed defects upon notice entitles OWNER or his assigns to repair or replace it and recover reasonable cost therefrom from CONTRACTOR and/or his surety. This guarantee does not include damage due to improper operation and maintenance by the OWNER, provided the CONTRACTOR provides the following:

- 7.10.1 The CONTRACTOR furnish the OWNER with written operational and maintenance instructions on all items.
- 7.10.2 During the warranty period the CONTRACTOR make periodic (at least once every 60 days) trips to the project site and inspect the items for proper operation and maintenance.
- 7.10.3 After each inspection trip, the CONTRACTOR shall submit his findings in writing to the OWNER and the ENGINEER.

Failure to fulfill any one or all of these conditions will make the CONTRACTOR liable for repairing damage (at no cost to the OWNER) to items under warranty, even if in his opinion it was due to improper operation and/or maintenance by the OWNER.

#### 7.11 Accelerated Implementation of Date of Guarantee:

Upon written request to the OWNER, certain items of equipment will be considered for beginning their warranty period prior to the date of Certificate of Substantial Completion. To be considered, the following conditions must be present:

- 7.11.1 Item must be in full, continuous and satisfactory operation for at least 30 days before beginning warranty period.
- 7.11.2 Placing the item into full service must be acceptable to the OWNER.
- 7.11.3 Like items will be considered as a group. Example: If a lift station contains 4 pumps, all 4 pumps must be in place and operating in a fully automatic mode before beginning the warranty period can be considered.

If the OWNER does allow the CONTRACTOR to begin the warranty period on certain items prior to the issuance of the CERTIFICATE OF SUBSTANTIAL COMPLETION, that in no way affects the Guarantee on the remainder of the work.

#### ARTICLE SC-8 OTHER WORK AT THE SITE

No Supplemental Provisions This Contract

#### ARTICLE SC-9 OWNER'S RESPONSIBILITIES

No Supplemental Provisions This Contract

## ARTICLE SC-10 ENGINEER'S STATUS DURING CONSTRUCTION

#### 10.1 Changes and Alterations:

The ENGINEER shall be entitled to make such changes and alterations as the ENGINEER may see fit in the line, location, grade, form, dimensions, scope of the work, plans or material for the work herein contemplated, or any part thereof either before or after the beginning of this construction, without affecting the validity of this Contract and the accompanying Performance and Payment Bonds. If such changes or alterations diminish the quantity of the work to be done, they shall not constitute the basis for a claim for damages, or anticipated profits on the work that may be dispensed with, except as provided for unit price items under the Measurement and Payment provisions. If the amount of work is increased, and the work can fairly be classified under the specifications, such increase shall be paid for according to the quantity actually done and at the unit price, if any, established for such work under this Contract, except as provided for unit price items under the Measurement and Payment provisions; otherwise, such additional work shall be paid for as provided under Article 11 of the General Conditions. In case the ENGINEER shall make such changes or alterations as shall make useless any work already done or material already furnished or used in said work, then the CONTRACTOR shall be compensated for any material or labor so used, and for any actual loss occasioned by such change, due to actual expenses incurred in preparation for the work as originally planned.

# 10.2 Right of ENGINEER to Modify Methods and Equipment:

If at any time the working force of the CONTRACTOR is inadequate for securing the progress herein specified, the CONTRACTOR shall, if so ordered in writing, increase his force or equipment, or both, to such an extent as to give reasonable assurance of compliance with the schedule of progress.

#### 10.3 Jobsite Safety:

Neither the ENGINEER'S activities, nor the presence of ENGINEER or its employees and sub-consultants at a construction site, shall relieve the CONTRACTOR of its obligations, duties and responsibilities including, but not limited to, construction means, methods, sequence, techniques or procedures necessary for performing, superintending and coordinating the Work in accordance with the contract documents and any health or safety precautions required by any regulatory agencies. ENGINEER and its personnel have no authority to exercise any control over any construction contractor or its employees in connection with their work or any health or safety programs or procedures. The CONTRACTOR shall be solely responsible for jobsite safety.

# ARTICLE SC-11 AMENDING THE CONTRACT DOCUMENTS - CHANGES IN THE WORK

No Supplemental Provisions This Contract

#### **ARTICLE SC-12 CLAIMS**

No Supplemental Provisions This Contract

# ARTICLE SC-13 - COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

No Supplemental Provisions This Contract

# ARTICLE SC-14 TESTS & INSPECTIONS; CORRECTION; REMOVAL OR ACCEPTANCE OF DEFECTIVE WORK

No Supplemental Provisions This Contract

# <u>ARTICLE SC-15 PAYMENTS TO CONTRACTOR: SET-OFFS; AND COMPLETION;</u> CORRECTION PERIOD

#### 15.1 Measurement:

# 15.1.1 Estimated Quantities for Lump Sum Bid Items:

The Contract Documents are intended to show clearly all work to be done and materials to be furnished hereunder. It is also the intent of the Contract Documents that the project be complete and ready for use and that the lump sum price bid include any incidental or miscellaneous items needed for the proper operation of the completed project whether specifically called for or not.

#### 15.1.2 Estimated Quantities for Unit Price Contracts:

The Contract Documents are intended to show clearly all work to be done and material to be furnished hereunder. Where the estimated quantities are shown for the various classes of work to be done and material to be furnished under this Contract, they are approximate and are to be used only as a basis for estimating the probable cost of the work and for comparing the proposals offered for the work. It is understood and agreed that the actual amount of work to be done and material to be furnished under this Contract may differ somewhat from these estimates. Payment shall be for the actual amount of such work done and the material furnished. The CONTRACTOR agrees that he will make no claim for damages, anticipated profits, or otherwise on account of any differences which may be found between the quantities of work actually done, the material actually furnished under this Contract and the estimated quantities contemplated and contained in the proposal; provided, however, should the net monetary value of all additive and subtractive changes in quantities of such items of work (i.e., difference in cost) increase or decrease the original contract price by more than twenty-five percent (25%) will entitle the CONTRACTOR to revised consideration. Any revised consideration is to be determined by agreement between the parties. It is also the intent of the Contract Documents that the quantities not be increased outside the limits of the work as bid without the mutual consent of CONTRACTOR and the OWNER.

# 15.2 Payments on Lump Sum Bid Items:

The CONTRACTOR shall prepare and furnish to the ENGINEER for approval, a "Cost Breakdown" (Schedule of Values) for all work to be accomplished on lump sum bid items. This "Cost Breakdown" shall be used for determining the value of work accomplished each month by the CONTRACTOR so that partial payments may be made. This "Cost Breakdown" shall be prepared in such a manner and in sufficient detail to allow the ENGINEER to certify the value of work completed and to recommend payment be made to the CONTRACTOR.

The ENGINEER shall be the <u>sole judge</u> as to the suitability of the "Cost Breakdown" furnished for the above stated purpose. The Engineer will not allow an "unbalanced cost breakdown". This "Cost Breakdown" will be used for establishing prices for Extra Work only if the prices are agreeable to both the CONTRACTOR and the OWNER.

#### 15.3 Payments on Unit Price Contracts:

Payment shall be made on the basis of actual measured and/or computed length, area, solid contents, number and weight, unless otherwise specifically provided, and no extra measurements and measurements customary in the trade shall be used as a basis for payment hereunder.

# 15.4 Failure to Complete on Time:

The Time of Completion is the essence of the Contract. For each Calendar Day that any work shall remain incomplete after the time specified in the Proposal and Contract, or as automatically increased by additional work ordered after the Contract is signed, the Damages in the amount of \$ 500.00 Per Calendar Day will be deducted from the moneys due the CONTRACTOR, as liquidated damages. The moneys thus deducted for such delay, failure or non-completion is not to be considered as a penalty but shall be deemed, taken and

treated as reasonable liquidated damages since it would be impractical and most difficult to fix the actual damages. Contractor shall not be charged with liquidated damages when the delay in completion of the work is due to any preference, priority or allocation order duly issued by the Owner or to unforeseen causes beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God, or of the public enemy, acts of the Owner, acts of another Contractor in the performance of a contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes and abnormal and unforeseeable weather (such as abnormal rainfall, tornadoes and hurricanes). The Contractor is required to promptly give written notice of such delays to the Engineer.

# ARTICLE SC-16 SUSPENSION OF WORK AND TERMINATION

No Supplemental Provisions This Contract

# <u>ARTICLE SC-17 – FINAL RESOLUTION OF DISPUTES</u>

No Supplemental Provisions This Contract

#### ARTICLE SC-18 MISCELLANEOUS

No Supplemental Provisions This Contract

#### **SECTION 1E - SPECIAL CONDITIONS**

# **1E1**[1] NAME AND LOCATION OF PROJECT

- 1E1.1 NAME OF PROJECT: Phase II Charlie's Pasture North Trail FEMA Project No. 36926
- 1E1.2 <u>LOCATION OF PROJECT</u>: The project is located in Port Aransas at the end of Port Street on the northwest corner of Charlie's Pasture Nature Preserve.

#### 1E2 OWNER

- **1E2.**1 NAME: The City of Port Aransas
- 1E2.2 <u>ADDRESS</u>: 710 West Avenue A, Port Aransas, Texas 78373

## **1E3 CONTRACT DRAWINGS**

# SHEET

NO.

#### TITLE

- 1. TITLE SHEET
- 2. PROJECT SIGNAGE
- 3. NORTH TRAIL HORIZONTAL CONTROL AND KEY MAP
- 4. NORTH TRAIL PLAN VIEW STA. 0+00 TO STA. 8+50
- 5. NORTH TRAIL PLAN VIEW STA. 8+50 TO STA. 17+50
- 6. NORTH TRAIL PLAN VIEW STA. 17+50 TO STA. 26+50
- 7. NORTH TRAIL PLAN VIEW STA. 26+50 TO STA. 40+50
- 8. NORTH TRAIL PLAN VIEW STA. 40+50 TO END
- 9. DEEP WATER CROSSING STATION STA. 11+97 TO STA. 15+70
- 10. NORTH TRAIL AND BOARDWALK DETAILS (1)
- 11. NORTH TRAIL AND BOARDWALK DETAILS (2)
- 12. NORTH TRAIL REST AREA DETAILS (1)
- 13. NORTH TRAIL REST AREA DETAILS (2)
- 14. TOWER MAINTENANCE PLAN (1)
- 15. TOWER MAINTENANCE PLAN (2)
- 16. TOWER MAINTENANCE PLAN (3)
- 17. TOWER MAINTENANCE PLAN (4)
- 18. LIMITS OF CONSTRUCTION NORTH TRAIL AND BOARDWALK
- 19. POLLUTION PREVENTION DETAILS

# **1E4 COMPLETION DATE**

1E4.1 <u>GENERAL</u>: The work is to be substantially complete by *November 1st*, 2024. Calculation of time shall begin from the date construction is begun but in any case no later than 10 days after notice to proceed is received by the Contractor. Should the Contractor fail to substantially complete a part or parts within the specified time, liquidated damages will be assessed in the amount of \$ 500.00 per Calendar Day until the work is complete.

# 1E5 HORIZONTAL AND VERTICAL CONTROL

#### **1E5**.1 HORIZONTAL CONTROL:

Owner will provide two (2) Horizontal Control Point on the Project Site.

#### **1E5**.2 VERTICAL CONTROL:

Owner will provide two (2) Vertical Control Point on the site.

### **1E5**.3 LINES AND GRADES:

The Contractor shall lay out all work. All work upon completion shall conform to the lines, elevations and grades shown on the drawings. The Engineer reserves the right (but is not obligated) to periodically check completed work and require removal of all unsatisfactory work. The cost of line and grade stakes will not be paid for separately but is subsidiary to the bid items shown in the Proposal.

# **1E5**.4 <u>NOTIFICATION</u>:

The Contractor shall give the Engineer 48-hour advance notice of when control points are needed.

# 1E6 SCHEDULE AND SEQUENCE OF CONSTRUCTION

# **1E6.**1 REQUIRED SCHEDULES:

Article SC-2 of the Supplemental General Conditions contains provisions that require that the Contractor prepare a Progress Schedule and Schedule of Payments. Those schedules shall incorporate the schedule and sequence of construction requirements as set out in this section.

# **1E6.2** SCHEDULE OF CONSTRUCTION:

It is the meaning and intent of this Contract that the Contractor shall be allowed to prosecute his work at such times and seasons in such order or precedence and in such manner as shall be the most conducive to economy of construction, subject to the following conditions:

- a. The schedule of construction shall be structured to meet all requirements of Section 1E4 Completion Date of the Special Conditions.
- b. The schedule of construction shall not conflict with any provision of the Contract Documents and also that when the Owner is having other work done, either by contract or by their own force, the Engineer may direct the time and manner of constructing the work done under this Contract so that conflict will be avoided and the construction of various works being done for the Owner will be harmonized.
- c. The schedule of construction shall be structured to conform to sequence of construction as set out hereinafter.

#### **1E6.3** SEQUENCE OF CONSTRUCTION:

# 1E6.3.1 General:

It is the meaning and intent of this Contract that the Contractor shall be allowed to prosecute his work at such times and seasons in such order or precedence and in such manner as shall be the most conducive to economy of construction. The sequence shall meet all requirements of the Contract Documents and shall not conflict with any provision of the Contract Documents.

The sequence of construction shall be structured to meet all requirements of Section 1E4 Completion Date of the Special Conditions. The Contractor shall provide a construction schedule at the pre-construction meeting to be scheduled after the bid opening.

1E6.3.1 Work by Other: When the Owner is having work done, either by contract or by their own force, the Engineer may direct the time and manner of constructing the work done under this Contract so conflict will be avoided and the construction of various works being done for the Owner will be harmonized.

#### 1E7 TESTING

## **1E7**.1 GENERAL:

# **1E7**.1.1 <u>Laboratory Testing</u>:

When "Laboratory Testing" is required under this section, it shall be performed by a recognized testing laboratory selected by the Owner. The cost of "Laboratory Testing" shall be borne by the **Contractor**.

# **1E7**.1.2 <u>Contractor Testing</u>:

When "Contractor Testing" is required under this section, it shall be performed by the **Contractor** (or the manufacturer of material or equipment) under the supervision of the Engineer and at no expense to the Owner.

### **1E7**.1.3 <u>Retesting</u>:

In the event that any test fails, that test shall be done over (after corrective measures have been taken) and the cost of retesting shall be borne by the **Contractor**.

# **1E7.2** SCHEDULE OF TESTING:

# 1E7.2.1 Subgrade Preparation, Embankment, and Backfill Material:

- a. Laboratory Testing:
  - Upland Trail Gradation
     Moisture-Density Relationship (Proctor Curve)
     Ea.
  - 3. In-Place Density Tests 40 Ea.
- b. Contractor Testing: None Required

#### **1E7**.2.2 Concrete: (See Subsection 3C1)

- a. Laboratory Testing:
  - 1. Mix Designs:
    - a. 3000 psi Concrete 1 Ea.
  - 2. Laboratory Control of Mixing: None Required
  - 3. Field Test Cylinder: (1 set is 3 cylinders)
    - a. Sidewalk Every 50 CY.
- b. Contractor Testing: Not Required

#### **SECTION 1E - SPECIAL CONDITIONS**

#### **1E8 MEASUREMENT AND PAYMENT**

- **1E8**.1.1 <u>General</u>: This is a Unit Price contract but contain some lump sum bid items. See the "Measurement" paragraph of Art SC-15 of the Supplemental Conditions Part I for detailed information.
- **1E8**.1.2 Quantities and Measurements: No extra or customary measurements of any kind will be allowed, but the actual measured and/or computed length, area, solid contents, number and weight only shall be considered. The method of measuring the bid items and payment of bid items is set out hereinafter.

# **1E8**.1.3 Mobilization (Max 2%):

- 1. This item shall be measured by the lump sum.
- 2. This item includes the cost (maximum of 2% of the total bid amount) to mobilize equipment and laborers necessary to complete this project.

# **1E8**.1.4 Project Signage:

- 1. This item shall be measured by the lump sum.
- 2. This item includes cost for labor, materials and equipment for project description signage.

# **1E8**.1.5 <u>Demolition (all locations):</u>

- 1. This item shall be measured by the lump sum.
- 2. This item includes cost for labor, materials and equipment to remove and haul off, existing beams, joists, decking and piles. The existing components will not be reused in this project. The new pile locations will be offset a minimum of 2-ft from any existing pile hole. All materials will be disposed at a regulated disposal facility.

#### **1E8**.1.6 Concrete Sidewalk:

- 1. This item shall be measured as Square Foot.
- 2. This item includes but is not limited to all materials, labor equipment and testing required to provide the concrete sidewalk as identified in the plans and specifications.
- 3. This item shall include any fill necessary to bring the sob grade to the required elevation and make ADA compliant slopes.

# **1E8**.1.7 Crushed Granite Upland Trail:

- 1. This item shall be measured as Square Foot.
- 2. This item includes but is not limited to all materials, labor equipment and testing required to provide the crushed granite upland trail as identified in the plans and specifications.
- 3. This item shall include any fill material necessary to make the ADA required slopes.

# **1E8**.1.8 Boardwalk with Flow Through Decking:

- 1. This item shall be measured by Square Foot.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the boardwalk with flow through decking as identified in the plans and specifications.

#### **1E8.**1.9 Wood Matting:

- 1. This item shall be measured by Lump Sum.
- 2. This item includes but is not limited to all materials, labor and equipment required to furnish, install, and remove the wood matting as identified in the plans and specifications.

# **1E8**.1.10 <u>Upland Observation Area:</u>

- 1. This item shall be measured by Each.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the upland observation area as identified in the plans and specifications.

## **1E8**.1.11 Wetland Observation Area:

- 1. This item shall be measured by Each.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the wetland observation area as identified in the plans and specifications.

# **1E8**.1.12 Salt Island Tower Maintenance:

- 1. This item shall be measured by Lump Sum.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the Salt Island Tower Maintenance as identified in the plans and specifications.

# **1E8**.1.13 Circular Picnic Area Crushed Granite:

- 1. This item shall be measured by Square Foot.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the circular picnic area crushed granite as identified in the plans and specifications.

# 1E8.1.14 Repair, Re-stucco, and Reset Concrete Bollards:

- 1. This item shall be measured by Each.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the repair re-stucco, and reset concrete bollards as identified in the plans and specifications.

# **1E8.**1.15 Reset Concrete Bollard:

- 1. This item shall be measured by Each.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide the reset concrete bollard as identified in the plans and specifications.

# **1E8**.1.16 Replace Concrete Bollard:

- 1. This item shall be measured by Each.
- 2. This item includes but is not limited to all materials, labor and equipment required to provide The replace concrete bollard as identified in the plans and specifications.

#### **1E8**.1.17 <u>Stormwater Pollution Prevention Plan</u>:

This item shall be measured by lump sum for pollution prevention measures as identified in the plans and specifications.

**1E8**.1.18 <u>Items Not Listed on the Proposal</u>: Items of work not listed on the Proposal form necessary to complete the project as shown on the drawings and as specified are considered as subsidiary to the established bid items and there will be no separate payment. Their cost should be included in the appropriate bid item.

# **1E8**.2 PAYMENTS:

# **1E8**.2.1 Cost Breakdown:

The Contract shall provide the Cost Breakdown (Schedule of Values) as required in Article SC-15, paragraph 15.2 of the Supplemental General Conditions for Lump Sum bid items.

# **1E8**.2.2 Partial Payments and Retainage:

On or before the 5th day of each month, the Contractor shall submit to the Engineer for approval a statement, on a form furnished by the Engineer, showing as completely as practicable the total value of the work done by the Contractor up to and including the value of all sound materials delivered on the site of the work that are to be fabricated into the work. The Owner shall then pay the Contractor on or before the 25th day of the same month the total amount of the Contractor's Statement, less 10% of the amount thereof, which 10% shall be retained until final payment (if contract amount is under \$400,000. If contract amount is \$400,000 or more, retainage amount will be 5%), and further less all previous payments and all further sums that may be retained by the Owner under the terms of the Agreement.

# **1E8**.2.3 Final Payment:

See Article 15, paragraphs 15.06 of the Standard General Conditions of the Construction Contract. Payment shall be full compensation for all materials, supplies, machinery, power, fuel, transportation, royalty fees and any other facilities necessary for the execution and completion of the project.

# DIVISION 1 - GENERAL REQUIREMENTS SECTION 1E - SPECIAL CONDITIONS

# 1E9[1] STATE SALES TAX

# **1E9**.1 GENERAL:

The Contractor's attention is directed to the State of Texas Comptroller of Public Accounts Limited Sales, Excise and Use Tax Rules and Regulations. Upon compliance with certain conditions, these rules provide for exemption from this tax of materials for use in work done for an exempt agency under a contract. The Owner of this project is an exempt agency. Any bidder may elect to exclude this sales tax from his bid. If the bidder submitting the lowest acceptable bid for performing the work on this project elects to comply with the above mentioned rules on any bid item included in this Contract he shall obtain any necessary permit or permits from the State Comptroller allowing the purchase of material for use in this project without having to pay the limited sales, excise and use tax at the time of purchase. The Owner will furnish the Contractor with its exemption certificate for those materials used in the project. The Owner will make no further allowance for and will make no price adjustment above or below the originally bid unit prices on account of this tax.

# **SECTION 1E - SPECIAL CONDITIONS**

# 1E10[1] WAGE RATES

1E10.1 <u>GENERAL</u>: The following wage decision shall apply for the construction of this project:

"General Decision Number: TX20240021 01/05/2024

Superseded General Decision Number: TX20230021

State: Texas

Construction Type: Heavy

Counties: Nueces and San Patricio Counties in Texas.

HEAVY CONSTRUCTION PROJECTS (including Sewer and Water Line Construction and Drainage Projects)

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

```
| If the contract is entered | Executive Order 14026
into on or after January 30, | generally applies to the
2022, or the contract is | contract.
renewed or extended (e.g., an |. The contractor must pay
option is exercised) on or | all covered workers at
after January 30, 2022:
                          | least $17.20 per hour (or |
                    the applicable wage rate
                    listed on this wage
                    determination, if it is
                    higher) for all hours
                    spent performing on the
                    contract in 2024.
If the contract was awarded on. Executive Order 13658
or between January 1, 2015 and generally applies to the
January 29, 2022, and the
                           contract.
|contract is not renewed or |. The contractor must pay all|
extended on or after January | covered workers at least |
30, 2022:
                      | $12.90 per hour (or the
                   applicable wage rate listed
                    on this wage determination,
                    if it is higher) for all
                    hours spent performing on |
                    that contract in 2024.
```

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this

wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

Modification Number Publication Date 0 01/05/2024

SUTX1987-001 12/01/1987

Rates Fringes

CARPENTER (Excluding Form Setting)......\$ 9.05 \*\*

Concrete Finisher..... \$ 7.56 \*\*

ELECTRICIAN.....\$ 13.37 \*\* 2.58

Laborers:

Common......\$ 7.25 \*\* Utility......\$ 7.68 \*\*

Power equipment operators:

\_\_\_\_\_

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

\*\* Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.20) or 13658 (\$12.90). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including

preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at

https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

\_\_\_\_\_

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

# Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

.....

#### WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal

process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

\_\_\_\_\_\_

END OF GENERAL DECISION"

"General Decision Number: TX20240288 01/05/2024

Superseded General Decision Number: TX20230288

State: Texas

Construction Type: Building

Counties: Aransas, Nueces and San Patricio Counties in Texas.

BUILDING CONSTRUCTION PROJECTS (does not include single family homes or apartments up to and including 4 stories).

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

```
| If the contract is entered | Executive Order 14026
into on or after January 30, | generally applies to the
2022, or the contract is | contract.
renewed or extended (e.g., an |. The contractor must pay
option is exercised) on or | all covered workers at
|after January 30, 2022: | least $17.20 per hour (or |
                    the applicable wage rate
                    listed on this wage
                    determination, if it is
                    higher) for all hours
                    spent performing on the
                    contract in 2024.
If the contract was awarded on. Executive Order 13658
or between January 1, 2015 and generally applies to the
|January 29, 2022, and the | contract.
|contract is not renewed or |. The contractor must pay all|
extended on or after January | covered workers at least |
                      | $12.90 per hour (or the |
30, 2022:
                    applicable wage rate listed
                    on this wage determination,
                    if it is higher) for all
                    hours spent performing on |
                    that contract in 2024.
```

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for

performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

Modification Num 0 01	ber Publ /05/2024	ication Date
BOIL0074-003 07	7/01/2023	
	Rates	Fringes

BOILERMAKER.....\$ 37.00 24.64

ELEC0278-002 08/27/2023

Rates Fringes

ELECTRICIAN.....\$ 29.50 8.94 \_\_\_\_\_

ENGI0178-005 06/01/2020

Rates Fringes

# POWER EQUIPMENT OPERATOR

(1) Tower Crane.....\$ 32.85 13.10

(2) Cranes with Pile **Driving or Caisson** Attachment and Hydraulic

Crane 60 tons and above.....\$ 28.75 10.60

(3) Hydraulic cranes 59

Tons and under.....\$ 32.35

IRON0084-011 06/01/2023

Rates Fringes

IRONWORKER, ORNAMENTAL.....\$ 27.51 8.13

SUTX2014-068 07/21/2014

Rates Fringes

BRICKLAYER.....\$ 20.04 0.00

CARPENTER..... \$ 15.21 \*\* 0.00

CEMENT MASON/CONCRETE FINISHER...\$ 15.33 \*\* 0.00

**INSULATOR - MECHANICAL** 

(Duct, Pipe & Mechanical System Insulation)\$ 19.77 7.13
IRONWORKER, REINFORCING\$ 12.27 ** 0.00
IRONWORKER, STRUCTURAL\$ 22.16 5.26
LABORER: Common or General\$ 9.68 ** 0.00
LABORER: Mason Tender - Brick\$ 11.36 ** 0.00
LABORER: Mason Tender - Cement/Concrete\$ 10.58 ** 0.00
LABORER: Pipelayer\$ 12.49 ** 2.13
LABORER: Roof Tearoff\$ 11.28 ** 0.00
OPERATOR: Backhoe/Excavator/Trackhoe\$ 14.25 ** 0.00
OPERATOR: Bobcat/Skid Steer/Skid Loader\$ 13.93 ** 0.00
OPERATOR: Bulldozer\$ 18.29 1.31
OPERATOR: Drill\$ 16.22 ** 0.34
OPERATOR: Forklift\$ 14.83 ** 0.00
OPERATOR: Grader/Blade\$ 13.37 ** 0.00
OPERATOR: Loader\$ 13.55 ** 0.94
OPERATOR: Mechanic\$ 17.52 3.33
OPERATOR: Paver (Asphalt, Aggregate, and Concrete)\$ 16.03 ** 0.00
OPERATOR: Roller\$ 12.70 ** 0.00
PAINTER (Brush, Roller, and Spray)\$ 14.45 ** 0.00
PIPEFITTER\$ 25.80 8.55
PLUMBER\$ 25.64 8.16
ROOFER\$ 13.75 ** 0.00
SHEET METAL WORKER (HVAC Duct Installation Only)\$ 22.73 7.52
SHEET METAL WORKER, Excludes

HVAC Duct Installation\$ 21.13 6.53	
TILE FINISHER \$ 11.22 ** 0.00	
TILE SETTER \$ 14.74 ** 0.00	
TRUCK DRIVER: Dump Truck\$ 12.39 **	1.18
TRUCK DRIVER: Flatbed Truck\$ 19.65	8.57
TRUCK DRIVER: Semi-Trailer Truck\$ 12.50 ** 0.00	
TRUCK DRIVER: Water Truck\$ 12.00 **	4.11

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

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A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

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- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

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With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator

U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

\_\_\_\_\_\_

END OF GENERAL DECISION"

#### **SECTION 1E - SPECIAL CONDITIONS**

## 1E11<sub>[5]</sub> INSPECTION

#### **1E11**.1 GENERAL:

The Public work will be subject to inspection and checked by the Owner and Engineer periodically. Should, however, at any time the City Inspectors, on visiting the job, feel that any work is being improperly done by the Contractor and not in accordance with these specifications and they desire corrections, clarifications, or reasons for the deviation, they shall have the right to proceed as follows:

# **1E11**.1.1 Notification:

Notify the representative of Urban Engineering, Consulting Engineers for the Owner, of all conditions complained of and of the corrective conditions desired to be made. Every effort will be made to reach an agreement with the Owner, but if such cannot be arrived at, then the Owner shall have the right to order the representative of Urban Engineering to temporarily delay construction until such time as Urban Engineering can work out a satisfactory agreement with the Owner for the Contractor to proceed.

# **1E11**.1.2 Delay:

If for any reason the conditions as outlined above occur and for any reason a representative of Urban Engineering cannot be reached immediately then, and only then, shall the City Inspectors have the right to temporarily delay construction until they can contact Urban Engineering at their office so that satisfactory adjustment can be made between them and the City for the work to proceed.

# **1E11**.1.3 <u>Negotiations</u>:

All major disagreements, if any, must be adjusted by Urban Engineering, it being understood that except in cases as outlined above, all negotiations of the Contractor with the City or with the City Inspectors or vice-versa will be handled through Urban Engineering, and only they shall have the right to issue instructions to the Contractor.

# **SECTION 1E - SPECIAL CONDITIONS**

# **1E14**[2] WATER FOR CONSTRUCTION

# **1E14**.1 POTABLE WATER:

Where the Contractor desires or is required to use City (potable) water in connection with any construction work, he shall make complete and satisfactory arrangements with the Nueces County Water Control & Improvement District No. 4 and make all necessary connections at his own expense.

#### **SECTION 1E - SPECIAL CONDITIONS**

# 1E19[1] EXISTING OBSTRUCTIONS

#### **1E19**.1 GENERAL:

The drawings show the locations of all known surface and subsurface structures. In the case of underground obstructions such as existing water, sewer, storm sewer, gas or electrical lines that are not shown on the drawings, their location is not guaranteed. The Owner assumes no responsibility for failure to show any or all these structures on the drawings or to show them in their exact location. Failure to show will not be considered sufficient basis for claims for additional compensation for extra work in any manner whatsoever unless the obstruction encountered is such as to necessitate substantial changes in the lines or grades or requires the building of special work for which no provision is made in the drawings and which is not essentially subsidiary to some item of work for which provision is made. It is assumed, that as elsewhere provided, the Contractor has thoroughly inspected the site, is informed as to the correct location of surface structures, and has included the cost of such incidental work in the price bid, and has considered and allowed for all foreseeable incidental work due to variable sub-surface conditions, whether such conditions and such work are fully and properly described on the drawings or not. Minor changes and variations of the work specified and shown on the drawings shall be expected by the Contractor and allowed for as incidental to the satisfactory completion of a whole and functioning work.

#### **1E19.2** ABANDONED LINES:

When a line is uncovered that is <u>not</u> necessary for the proper operation of the plant, the line shall be cut and plugged. No claim for additional compensation for extra work will be considered for this.

# **1E19.3** SERVICE LINES:

When an un-shown line is uncovered that must remain in service for the proper operation of the plant, the line shall be rerouted. In this instance, consideration of a claim for additional compensation for extra work will be handled on an individual basis.

# **1E19.4** TRENCHING AHEAD:

# **1E19**.4.1 General:

The Contractor is required to conduct trenching operations in a manner which will allow conflicts to be anticipated thereby allowing measures to be taken in certain cases to circumvent the conflict. Specifically the Contractor shall do the following:

- a. Trenching shall be performed a minimum of 100 feet (or the total length of the trench) ahead of pipe laying operations. Pilot Trenches may be used at the Contractor's option.
- b. If un-shown buried lines are discovered which may cause conflict, Contractor shall stop pipe-laying operations and notify Engineer of discovery.

# **1E19.4.2** Grade Alignment of Pipes in Pressure Service:

("Pressure Service" is defined as any system subject to a hydrodynamic or hydrostatic head of 1 foot or greater induced by pumping or a reservoir of fluid.) If a conflict can be avoided by adjusting grades by a maximum of two feet up or down and no additional fittings are required, no claim for additional compensation for extra work will be considered. Lines requiring adjustments greater than 2 feet, additional fittings or the soil being trenched is significantly different will be considered for extra compensation on an individual basis.

# **1E19**.4.3 <u>Avoidable Conflicts</u>:

Conflicts occurring because of the Contractor's failure to comply with 1E19.4.1 and which could have been avoided by grade adjustment in accordance with 1E19.4.2 will not be considered for extra compensation.

# **1E19**.5 <u>EXTRA WORK</u>:

No work for which extra compensation is to be received shall be performed until approved by the Engineer and the Owner.

#### **SECTION 1E – SPECIAL CONDITIONS**

#### 1E20[5] STORM WATER POLLUTION PREVENTION

# **1E20**.1 SCOPE:

This specification shall govern for all work under the contract related to storm water pollution prevention. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **1E20**.2 REQUIREMENTS:

#### **1E20**.2.1 General:

The intent of storm water management is to improve water quality by reducing the pollutants in storm water discharges from the site. Storm water means storm water runoff, surface runoff and drainage from the construction site. The Contractor must implement the Best Management Practices (BMP) for the construction activities as outlined in the Storm Water Pollution Prevention Plan (SWP3). Attached to and a part of this specification is the following item:

a. Storm Water Pollution Prevention Plan (SWP3)

# 1E20.2.2 Storm Water Pollution Prevention Plan (SWP3):

a. General:

A SWP3 has been prepared for this project and is included with this specification. The SWP3 identifies potential sources of pollution that may be expected to affect the quality of storm water discharges from the construction site and includes a site description, erosion and sediment controls, storm water management, other controls, maintenance procedures and inspection procedures. The Contractor shall implement, maintain and inspect the control techniques required by the SWP3.

#### b. Inspection and Maintenance:

Inspection and maintenance is required for all areas disturbed by construction activity and for all erosion and sediment controls that are used. Inspection shall be performed at least once a week, and within 24 hours of a storm event of 0.5 inches or greater for as long as a portion of the site is disturbed. The Contractor should select one individual who will be responsible for the inspection and maintenance of the system. The inspector will look at the control measures and determine if they are performing correctly and effectively. A report form is provided in the SWP3 for the inspector to use. Additional information and requirements are detailed in Section 1E20.3.5 - Maintenance/Inspection Procedures of the SWP3.

c. Storm Water Pollution Prevention Plan:

The SWP3 is included on the following pages. After award of the project, copies of the document will be bound in the executed contract documents. Two copies of the contract documents that contain the SWP3 will be provided to the Contractor and one copy must be kept at the site of the construction activities at all times.

# **1E20**.3 STORM WATER POLLUTION PREVENTION PLAN:

#### **1E20**.3.1 <u>Project Description</u>:

a. General

The project consists of <u>timber/FRP boardwalks</u>, <u>upland trial</u>, <u>concrete sidewalk</u>, <u>and observation structures</u>.

b. Project Location:

This project is located in Port Aransas at the end of Port Street on the northwest corner of Charlie's Pasture Nature Preserve.

- c. Owner: The City of Port Aransas
- d. Construction Contractor:
- e. Name of Receiving Waters: Corpus Christi Bay
- f. Site Map: N/A

# **1E20**.3.2 Best Management Practices (BMP)

#### a. Erosion Controls:

The existing vegetation must be preserved to the greatest extent possible. The areas disturbed by construction will be seeded to provide stabilization and prevent erosion. The stabilization measures shall be implemented as soon as practicable in portions of the site where construction activities have ceased. Stabilization practices must be implemented no later than 14 days after the construction activities in any portion of the site have ceased. The above timing for stabilization practices does not apply to the following; areas where construction activity will resume in the area within 21 days, or in arid, semi arid or drought stricken areas. In these areas the stabilization measures will take place as soon as practicable. Other erosion control measures which may be implemented include:

- Temporary Vegetation
- Blankets/Matting
- Mulch
- Sod
- Interceptor Swale
- Diversion Dike
- Erosion Control Compost
- Mulch Filter Berms and Socks
- Compost Filter Berms and Socks

#### b. Sedimentation Controls:

Sedimentation controls will be implemented to retain sediment onsite and minimize offsite transport to the extent practicable. Silt fence will be installed on the slopes to prevent sediment from entering the un-named tributaries of the Nueces River and the Choke Canyon Reservoir during construction. Sediment must be removed no later than the time that the capacity of the control is reduced by 50%. If sediment escapes from the site the accumulations must be removed at a frequency so as to minimize further negative effects and whenever feasible prior to the next rain. Other sedimentation controls which may also be used include:

- Sand Bag Berm
- Rock Berm
- Brush Berms
- Mulch Filter Berms and Socks
- Compost Filter Berms and Socks
- Silt Fence
- Hay Bale Dike
- Triangular Filter Dike
- Stone Outlet Sediment Traps
- Sediment Basins
- Erosion Control Compost

#### c. Post-Construction TSS Control:

A sod filter strip will be placed along the toe of slope of the disturbed areas in the vicinity of the unnamed tributaries of the Nueces River and Choke Canyon Reservoir to reduce the total suspended solids load in the storm water runoff. Other post-construction TSS control measures which may be implemented include:

- Retention/Irrigation
- Constructed Wetlands
- Extended Detention Basin
- Wet Basins
- Vegetative Filter Strips
- Vegetation Lined Drainage Ditches
- Grassy Swales
- Sand Filter Systems
- Erosion Control Compost
- Mulch Filter Berms and Socks
- Compost Filter Berms and Socks

# **1E20**.3.3 Other Controls:

# a. Waste Disposal:

#### (1) Waste Materials:

All waste materials will be collected and stored in a securely lidded metal Dumpster rented from a reputable disposal company licensed for solid waste disposal. The Dumpster will meet all local, State and Federal solid waste management regulations. All trash and construction debris from the site will be disposed in the Dumpster. The Dumpster will be emptied as necessary and the trash hauled to a permitted waste disposal site. No construction waste materials will be buried on site. All personnel will be instructed regarding the correct procedure for waste disposal. The Pollution Prevention Plan will be posted in the office trailer and the Construction Superintendent will be responsible for seeing that these procedures are followed.

#### (2) Hazardous Waste:

All hazardous waste materials will be disposed of in the manner as required by City, State or Federal regulations or by the materials manufacturer. All personnel will be instructed regarding the correct procedure for handling hazardous waste and the Construction Superintendent will be responsible for seeing that these procedures are followed.

#### (3) Sanitary Waste:

All sanitary waste will be collected from portable units as necessary and/or required by governing regulations. Collection will be by a licensed or permitted Disposal Company and the waste properly disposed of.

#### b. Offsite Vehicle Tracking:

Stabilized construction entrances will be provided to help reduce vehicle tracking of sediments. The paved street adjacent to the site entrance will be swept daily to remove any excess mud, dirt or rock tracked from the site.

# c. Sprinkling for Dust Control:

The Contractor shall provide water as needed to sprinkle areas in order to control and minimize the generation of dust.

#### **1E20.**3.4 <u>Demonstration of Compliance with Federal, State and Local Regulations:</u>

This plan follows the outline provided to meet the requirements of State regulations concerning storm water management.

# **1E20**.3.5 <u>Maintenance/Inspection Procedures</u>:

#### a. General:

All erosion, sedimentation, post-construction TSS and other protective measures identified in the SWP3 must be maintained in effective operating condition. If during the regular inspections the permittee notes that the measures are not performing as intended then maintenance must be performed before the next storm event. Any measure that has been rendered ineffective due to construction activity must be replaced or corrected immediately.

# Maintenance and Inspection Practices for Erosion, Sedimentation and Post-Construction TSS Controls:

These are the maintenance and inspection practices that will be used to maintain erosion and sedimentation and post-construction TSS controls.

- (1) Where possible, the site work will be performed in phases leaving certain areas undisturbed as the work progresses.
- (2) All control measures will be inspected at least once each week and within 24 hours of any storm event of 0.5 inches or greater.
- (3) All measures will be maintained in good working order; if a repair is necessary, it will be initiated within 24 hours of report.
- (4) Built up sediment will be removed from silt fence when it has reached one-third the height of the fence.
- (5) Silt fence will be inspected for depth of sediment, tears, to see if the fabric is securely attached to the fence posts, and to see that the fence posts are firmly in the ground.
- (6) Earthen dikes, sediment traps and check dams will be inspected to verify they are functioning as originally constructed.
- (7) Temporary and permanent seeding, planting, mulching, sod stabilization and sod filter strips will be inspected for bare spots, washouts and healthy growth.
- (8) A maintenance inspection report will be made after each inspection. A copy of the report form to be completed by the inspector is shown at the end of this section.
- (9) The Construction Superintendent will select one individual who will be responsible for inspections, maintenance and repair activities, and filling out the inspection and maintenance report.
- (10) Personnel selected for inspection and maintenance responsibilities will receive training from the Construction Superintendent. They will be trained in all the inspection and maintenance practices necessary for keeping the erosion and sediment controls used on site in good working order.
- (11) Inspection report with certification for compliance should be retained for at least three years.

#### **1E20**.3.6 <u>Inventory For Pollution Prevention Plan:</u>

The materials or substances listed below are expected to be present onsite during construction:

- a. Lumber
- b. PVC pipe
- c. Ductile iron Pipe Fittings
- d. Concrete materials and reinforcing steel
- e. Polyethylene pipe and products
- f. Petroleum and asphalt products
- g. Paint
- h. Fertilizer
- i. Herbicides

#### **1E20**.3.7 <u>Management Practice To Prevent Spills:</u>

a. General Material Management Practices:

The following are the material management practices that will be used to reduce the risk of spills or other accidental exposure of materials and substances to storm water runoff. The following good housekeeping practices will be followed onsite during the construction project.

- (1) An effort will be made to store only enough product required to do the job.
- (2) All materials stored onsite will be stored in a neat, orderly manner in their appropriate containers and, if possible, under a roof or other enclosure.
- (3) Products will be kept in their original containers with the original manufacturer's label.
- (4) Substances will not be mixed with one another unless recommended by the manufacturer.
- (5) Whenever possible, all of a product will be used up before disposing of the container.
- (6) Manufacturers' recommendations for proper use and disposal will be followed.

(7) The site superintendent will inspect daily to ensure proper use and disposal of materials onsite.

# b. Hazardous Products Management Practices:

These practices are used to reduce the risks associated with hazardous materials.

- (1) Products will be kept in original containers unless they are not resealable.
- (2) Original labels and material safety data will be retained; they contain important product information.
- (3) If surplus product must be disposed of, manufacturers' or local and State recommended methods for proper disposal would be followed.

### c. Product Specific Practices

The following product specific practices will be followed onsite.

(1) Petroleum Product:

All onsite vehicles will be monitored for leaks and receive regular preventive maintenance to reduce the chance of leakage. Petroleum products will be stored in tightly sealed containers, which are clearly labeled. Any asphalt substances used onsite will be applied according to the manufacturer's recommendations.

(2) Fertilizers:

Fertilizers used will be applied only in the minimum amounts recommended by the manufacturer. Once applied, fertilizer will be worked in the soil to limit exposure to storm water. Storage will be in a covered shed. The contents of any partially used bags of fertilizer will be transferred to a sealable plastic bin to avoid spills.

(3) Herbicides:

Herbicides used will be applied only in the minimum amounts recommended by the manufacturer. Applications shall be accomplished only at times when wind will not cause over spray. Storage will be in a covered shed. Partially used containers of herbicides will be tightly resealed.

(4) Paints:

All containers will be tightly sealed and stored when not required for use. Excess paint will not be discharged to the storm sewer system but will be properly disposed of according to manufacturer's instructions or State and local regulations.

(5) Concrete Trucks:

Concrete trucks will wash out or discharge surplus concrete or drum wash water only in specific areas selected and maintained by the Contractor. The Contractor will remove this waste material at the completion of the project.

### **1E20**.3.8 Spill Prevention And Cleanup:

In addition to the management practices discussed in the previous sections of this plan, the following practices will be followed for spill prevention and cleanup:

- a. Manufacturers' recommended methods for spill cleanup will be clearly posted and site personnel will be made aware of the procedures and location of the information and cleanup supplies.
- b. Materials and equipment necessary for spill cleanup will be kept in the material storage area onsite. Equipment and materials will include but not be limited to brooms, dust pans, mops, rags, gloves, goggles, kitty litter, sand, sawdust, and plastic and metal trash containers specifically for this purpose.
- c. All spills will be cleaned up immediately after discovery.
- d. The spill area will be kept well ventilated and personnel will wear appropriate protective clothing to prevent injury from contact with a hazardous substance.
- e. Spills of toxic or hazardous material will be reported to the appropriate State or local government agency, regardless of the size.
- f. The spill prevention plan will be adjusted to include measures to prevent this type of spill from reoccurring and how to clean up the spill if there is another one. A description of the spill, what caused it, and the cleanup measures will also be included.

g.	The Construction Superintendent responsible for the day-to-day site operations will be the spill prevention and cleanup coordinator. He will designate at least one other site personnel who will receive spill prevention and cleanup training; this individual will become responsible for a particular phase of prevention and cleanup. The names of responsible spill personnel will be posted in the material storage area and in the office trailer onsite.

# STORM WATER POLLUTION PREVENTION PLAN INSPECTION AND MAINTENANCE REPORT

.) LOCATION OF BMP'S THAT NEED TO BE MAINTAINED:		
2.) LOCATION OF BMP'S THAT FAILED TO OPERATE A	S DESIGNED:	
3.) LOCATIONS WHERE ADDITIONAL BMP'S ARE NEED	DED:	
4.) CHANGES REQUIRED TO THE POLLUTION PREVENT	TION PLAN:	
5.) REASONS FOR CHANGES:		
INSPECTORS SIGNATURE:	DATE:	

# STORM WATER POLLUTION PREVENTION PLAN

# INSPECTION AND MAINTENANCE REPORT

# STRUCTURAL CONTROLS

DATE:		INSPECTORS INITIALS	S:
SILT FENCE:			
IS THE BOTTOM OF THE FABRIC STILL BURIED?	IS THE FABRIC TORN OR SAGGING?	ARE THE POSTS TIPPED OVER?	HOW DEEP IS THE SEDIMENT?
MAINTENANCE REQU	JIRED FOR SILT FENCE:		
TO BE PERFORMED B	BY:	ON OR BEFO	RE:

Note: Inspections and reports to be performed at least once each week and following any storm event of  $\frac{1}{2}$  inch or greater.



# SMALL CONSTRUCTION SITE NOTICE: LOW POTENTIAL FOR EROSION

# FOR THE

Texas Commission on Environmental Quality (TCEQ) Stormwater Program

# TPDES GENERAL PERMIT TXR150000

The following information is posted in compliance with **Part II.E.1.** of the TCEQ General Permit Number TXR150000 for discharges of stormwater runoff from small construction sites automatically authorized based on low rainfall erosivity. Additional information regarding the TCEQ stormwater permit program may be found on the internet at:

https://www.tceq.texas.gov/permitting/stormwater/construction

Operator Name:	
Contact Name and Phone Number:	
Project Description:	
Project Description:	
(Physical address or description of the site's location, estimated start date and projected end date, or date that disturbed soils will be stabilized)	
For Small Construction Sites Authorize completed:	ed Under Part II.E.1., the following certification must be
low rainfall erosivity under Part II.E.1. of TPDES permit. Construction activities at this site shall occur for this county, that period beginning on activities continue past this period, all stormwat permit. A copy of this signed notice is supplied to	Typed or Printed Name Person Completing This Certification) certify under the eligibility requirements for claiming an automatic authorization based on S General Permit TXR150000 and agree to comply with the terms of this cur within a time period listed in Appendix A of the TPDES general permit and ending on I understand that if construction the runoff must be authorized under a separate provision of the general the operator of the MS4 if discharges enter an MS4. I am aware there are on or for conducting unauthorized discharges, including the possibility of
Signature and Title	Date
	Date Notice Removed
	MS4 operator notified per Part II.F.3.

#### **Termination for Cause**

The Owner shall submit a written notice to the Contractor and Surety which justifies placement of the Contractor in default if:

- 1. The Work is not begun within the time specified in the Notice to Proceed.
- 2. The Work is performed with insufficient workmen, equipment, or materials to assure prompt completion.
- 3. The Contractor performs unsuitable, neglected, or rejected work, refuses to remove materials.
- 4. The Work is discontinued.
- 5. The Work is not completed within the Contract Time or time extension.
- 6. Work is not resumed within a reasonable time after receiving a notice to continue.
- 7. The contractor becomes insolvent or is declared bankrupt or commits any act of bankruptcy or insolvency.
- 8. The Contractor allows any final judgment to stand unsatisfied for a period of ten (10) days.
- 9. The Contractor makes an assignment for the benefit of creditors.
- 10. The Work is not performed in an acceptable manner.

If the Contractor or Surety does not remedy all conditions cited in the written notice within ten (10) days after receiving such a notice, the Contractor is placed into default, the Owner may obtain the necessary labor, materials, and equipment.

Enter into a new Contract in order to complete the Work. All costs incurred by the Owner for completing the Work under the new Contract will be deducted from the payment due the Contractor. If the expense exceeds the sum payable under the Contract, the Contractor and Surety shall be liable to pay the Owner the difference.

#### **Termination for Convenience**

Owner may, at any time, terminate this Contract or any portion thereof, for Owner's convenience, upon providing written notice to the Contractor. In such case, Contractor shall be paid for all work completed through the date notice was provided (less payments already received) and reasonable demobilization and restocking charges incurred, and reasonable overhead and profit based upon industry standards on the work performed. In no event shall the Contractor be entitled to payment of overhead and profit on work not performed. In the event it is determined that the Contractor was wrongfully terminated for cause, such termination shall be automatically converted to a termination for convenience under and payment made as provided under this Section.

# **Equal Employment Opportunity**

The regulation at 41 C.F.R. § 60-1.4(b) requires, except as otherwise provided or exempted in 41 C.F.R. Part 60, the insertion of the following contract clause: "During the performance of this contract, the contractor agrees as follows:

1. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

- 2. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- 3. The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.
- 4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 5. The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- 6. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- 7. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- 8. The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance:

*Provided,* however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: *Provided*, that if the applicant so participating is a state or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon contractors and subcontractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings."

#### **Contract Work Hours and Safety Standards Act**

- 1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- 2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (b)(1) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (b)(1) of this section, in the sum of \$27 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (b)(1) of this section.

- 3. Withholding for unpaid wages and liquidated damages. The <u>Terrebonne Parish Consolidated Government (TPCG)</u> shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- 4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (b)(1) through (4) of this section."
- 5. The contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.
- 6. Records to be maintained under this provision shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the Department of Homeland Security, the Federal Emergency Management Agency, and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job."

#### Clean Air Act and Federal Water Pollution Control Act

"Clean Air Act" – The contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.

The contractor agrees to report each violation to the <u>Terrebonne Parish Consolidated Government (TPCG)</u> and understands and agrees that the <u>Terrebonne Parish Consolidated Government (TPCG)</u> will, in turn, report each violation as required to assure notification to the Federal Emergency Management Agency (FEMA), and the appropriate <u>Environmental Protection Agency Regional Office</u>.

The contractor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with federal assistance provided by FEMA.

"Federal Water Pollution Control Act" – The contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 et seq.

The contractor agrees to report each violation to the <u>Terrebonne Parish Consolidated Government (TPCG)</u> and understands and agrees that <u>Terrebonne Parish Consolidated Government (TPCG)</u> will, in turn, report each violation as required to assure notification to the Federal Emergency Management Agency (FEMA), and the appropriate <u>Environmental Protection Agency Regional Office</u>.

The contractor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with federal assistance provided by FEMA."

## **Debarment and Suspension**

This contract is a covered transaction for purposes of 2 C.F.R. Part 180 and 2 C.F.R. Part 3000. As such, the contractor is required to verify that none of the contractor's principals (defined at 2 C.F.R. § 180.995) or its affiliates (defined at 2 C.F.R. § 180.905) are excluded (defined at 2 C.F.R. § 180.940) or disqualified (defined at 2 C.F.R. § 180.935).

The contractor must comply with 2 C.F.R. Part 180, subpart C and 2C.F.R. Part 3000, subpart C, and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.

This certification is a material representation of fact relied upon by <u>Terrebonne Parish Consolidated Government</u> (<u>TPCG</u>). If it is later determined that the contractor did not comply with 2 C.F.R. Part 180, subpart C and 2 C.F.R. Part 3000, subpart C, in addition to remedies available to <u>Terrebonne Parish Consolidated Government</u> (<u>TPCG</u>), the federal government may pursue available remedies, including but not limited to suspension and/or debarment.

The bidder or proposer agrees to comply with the requirements of 2 C.F.R. Part 180, subpart C and 2 C.F.R. Part 3000, subpart C while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions."

#### Byrd Anti-Lobbying Amendment, 31 U.S.C. § 1352 (as amended)

If applicable, contractors must sign and submit the following certification to the NFE with each bid or offer exceeding \$100,000. Each tier certifies to the tier above that it will not and has not used federally appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Each tier shall also disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures are forwarded from tier to tier up to the recipient who in turn will forward the certification(s) to the federal awarding agency'.

44 C.F.R. Part 18 – Certification Regarding Lobbying must be signed and included with the contract documents.

# APPENDIX 'A', 44 C.F.R. PART 18 - CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S.C. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure."

"The Contractor,, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 U.S.C. Chap. 38, Administrative			
Remedies for False Claims and Statements, apply to this certific	• • •		
Signature of Contractor's Authorized Official	Date		
Name and Title of Contractor's Authorized Official			

#### **Procurement of Recovered Materials**

"In the performance of this contract, the Contractor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired—

Competitively within a timeframe providing for compliance with the contract performance schedule; Meeting contract performance requirements; or At a reasonable price.

Information about this requirement, along with the list of EPA-designated items, is available at EPA's Comprehensive Procurement Guidelines webpage: https://www.epa.gov/smm/comprehensive-procurement-guideline-cpg-program.

The Contractor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act."

### Prohibition on Contracting for Covered Telecommunications Equipment or Services

- (a) *Definitions*. As used in this clause, the terms backhaul; covered foreign country; covered telecommunications equipment or services; interconnection arrangements; roaming; substantial or essential component; and telecommunications equipment or services have the meaning as defined in FEMA Policy 405-143-1, Prohibitions on Expending FEMA Award Funds for Covered Telecommunications Equipment or Services (Interim), as used in this clause—
- (b) Prohibitions.
  - (1) Section 889(b) of the John S. McCain National Defense Authorization Act for Fiscal Year 2019, Pub. L. No. 115-232, and 2 C.F.R. § 200.216 prohibit the head of an executive agency on or after Aug.13, 2020, from obligating or expending grant, cooperative agreement, loan, or loan guarantee funds on certain telecommunications products or from certain entities for national security reasons.
  - (2) Unless an exception in paragraph (c) of this clause applies, the contractor and its subcontractors may not use grant, cooperative agreement, loan, or loan guarantee funds from the Federal Emergency Management Agency to:
    - Procure or obtain any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology of any system;
    - (ii) Enter into, extend, or renew a contract to procure or obtain any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology of any system;
    - (iii) Enter into, extend, or renew contracts with entities that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system; or
    - (iv) Provide, as part of its performance of this contract, subcontract, or other contractual instrument, any equipment, system, or service that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system.
- (c) Exceptions
  - (1) This clause does not prohibit contractors from providing—
    - (i) A service that connects to the facilities of a third-party, such as backhaul, roamin, or interconnection arrangements; or
    - (ii) Telecommunications equipment that cannot route or redirect user data traffic or permit visibility into any user data or packets that such equipment transmits or otherwise handles.

- (2) By necessary implication and regulation, the prohibitions also do not apply to:
  - (i) Covered telecommunications equipment or services that:
    - i. Are not used as a substantial or essential component of any system; and
    - ii. Are *not used* as critical technology of any system.
  - (ii) Other telecommunications equipment or services that are not considered covered telecommunications equipment or services.
- (d) Reporting requirement.
  - (1) In the event the contractor identifies covered telecommunications equipment or services—used as a substantial or essential component of any system, or as critical technology as part of any system, during contract performance, or the contractor is notified of such by a—subcontractor at any tier or by any other source, the contractor shall report the—information in paragraph (d)(2) of this clause to the recipient or subrecipient, unless—elsewhere in this contract are established procedures for reporting the information.
  - (2) The Contractor shall report the following information pursuant to paragraph (d)(1) of this clause:
    - (i) Within one business day from the date of such identification or notification: The contract number; the order number(s), if applicable; supplier name; supplier unique entity identifier (if known); supplier Commercial and Government Entity (CAGE) code (if known); brand; model number (original equipment manufacturer number, manufacturer part number, or wholesaler number); item description; and any readily available information about mitigation actions undertaken or recommended.
    - (ii) Within 10 business days of submitting the information in paragraph (d)(2)(i) of this clause: Any further available information about mitigation actions undertaken or recommended. In addition, the contractor shall describe the efforts it undertook to prevent use or submission of covered telecommunications equipment or services, and any additional efforts that will be incorporated to prevent future use or submission of covered telecommunications equipment or services.
- (e) *Subcontracts*. The Contractor shall insert the substance of this clause, including this paragraph (e), in all subcontracts and other contractual instruments.

#### **Domestic Preferences for Procurements**

As appropriate, and to the extent consistent with law, the contractor should, to the greatest extent practicable, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States. This includes, but is not limited to iron, aluminum, steel, cement, and other manufactured products.

For purposes of this clause:

*Produced in the United States* means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States.

Manufactured products mean items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber."

#### **Access to Records**

"The Contractor agrees to provide <u>Terrebonne Parish Consolidated Government (TPCG)</u>, the FEMA Administrator, the Comptroller General of the United States, or any of their authorized representatives access to any books, documents, papers, and records of the Contractor which are directly pertinent to this contract for the purposes of making audits, examinations, excerpts, and transcriptions.

The Contractor agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.

The Contractor agrees to provide the FEMA Administrator or his authorized representatives access to construction or other work sites pertaining to the work being completed under the contract.

In compliance with section 1225 of the Disaster Recovery Reform Act of 2018, <u>Terrebonne Parish Consolidated Government (TPCG)</u> and the Contractor acknowledge and agree that no language in this contract is intended to prohibit audits or internal reviews by the FEMA Administrator or the Comptroller General of the United States.

#### Changes

To be allowable under a FEMA grant or cooperative agreement award, the cost of any contract change, modification, amendment, addendum, change order, or constructive change must be necessary, allocable, within the scope of the grant or cooperative agreement, reasonable for the scope of work, and otherwise allowable.

### DHS Seal, Logo, and Flags

The contractor shall not use the DHS seal(s), logos, crests, or reproductions of flags or likenesses of DHS agency officials without specific FEMA pre-approval. The contractor shall include this provision in any subcontracts.

# Compliance with Federal Law, Regulations, and Executive Orders and Acknowledgement of Federal Funding

This is an acknowledgement that FEMA financial assistance will be used to fund all or a portion of the contract. The contractor will comply with all applicable federal law, regulations, executive orders, FEMA policies, procedures, and directives.

#### No Obligation by Federal Government

The federal government is not a party to this contract and is not subject to any obligations or liabilities to the non-federal entity, contractor, or any other party pertaining to any matter resulting from the contract.

# **Program Fraud and False or Fraudulent Statements or Related Acts**

The contractor acknowledges that 31 U.S.C. Chap. 38 (Administrative Remedies for False Claims and Statements) applies to the contractor's actions pertaining to this contract.

#### **Affirmative Socioeconomic Steps**

If subcontracts are to be let, the prime contractor is required to take all necessary steps—identified in 2 C.F.R. § 200.321(b)(1)-(5) to ensure that small and minority businesses, women's business enterprises, and labor surplus area firms are used when possible.

#### **Copyright and Data Rights**

License and Delivery of Works Subject to Copyright and Data Rights – The Contractor grants to the (insert name of the non-federal entity), a paid-up, royalty-free, nonexclusive, irrevocable, worldwide license in data first produced in the performance of this contract to reproduce, publish, or otherwise use, including prepare derivative works, distribute copies to the public, and perform publicly and display publicly such data. For data required by the contract but not first produced in the performance of this contract, the Contractor will identify such data and grant to the <u>Terrebonne Parish Consolidated Government (TPCG)</u> or acquires on its behalf a license of the same scope as for data first produced in the performance of this contract. Data, as used herein, shall include any work subject

to copyright under 17 U.S.C. § 102, for example, any written reports or literary works, software and/or source code, music, choreography, pictures or images, graphics, sculptures, videos, motion pictures or other audiovisual works, sound and/or video recordings, and architectural works. Upon or before the completion of this contract, the Contractor will deliver to the <u>Terrebonne Parish Consolidated Government (TPCG)</u> data first produced in the performance of this contract and data required by the contract but not first produced in the performance of this contract in formats acceptable by the <u>Terrebonne Parish Consolidated Government (TPCG)</u>."If subcontracts are to be let, the prime contractor is required to take all necessary steps identified in 2 C.F.R. § 200.321(b)(1)-(5) to ensure that small and minority businesses, women's business enterprises, and labor surplus area firms are used when possible.

#### **SECTION 2A - CLEARING OF SITE**

# 2A2[1] DEVIATIONS OCCASIONED BY EXISTING OBSTRUCTIONS

#### **2A2**.1 SCOPE:

The drawings show the locations of all known surface and subsurface structures. In the case of underground obstructions such as existing water, sewer, storm sewer, gas or electrical lines that are not shown on the drawings, their location is not guaranteed. The Owner assumes no responsibility for failure to show any or all these structures on the drawings or to show them in their exact location. Failure to show will not be considered sufficient basis for claims for additional compensation for extra work in any manner whatsoever unless the obstruction encountered necessitates substantial changes in the lines or grades or requires the building of special work for which no provision is made in the drawings and which is not essentially subsidiary to some item of work for which provision is made. It is assumed, that as elsewhere provided, the Contractor has thoroughly inspected the site, is informed as to the correct location of surface structures, and has included the cost of such incidental work in the price bid, and has considered and allowed for all foreseeable incidental work due to variable sub-surface conditions, whether such conditions and such work are fully and properly described on the drawings or not. Minor changes and variations of the work specified and shown on the drawings shall be expected by the Contractor and allowed for as incidental to the satisfactory completion of a whole and functioning work.

#### **2A2**.2 ABANDONED LINES:

When a line is uncovered that is not necessary for the proper operation of the plant, the line shall be cut and plugged with concrete. Owner's representative shall be notified prior to authorizing the cutting and plugging. No claim for additional compensation for extra work will be considered for this.

#### 2A2.3 SERVICE LINES

When an unshown line is uncovered that must remain in service for the proper operation of the plant, the line shall be rerouted. In this instance, consideration of a claim for additional compensation for extra work will be handled on an individual basis.

# **2A2**.4 TRENCHING AHEAD:

# **2A2**.4.1 <u>General</u>:

The Contractor is required to conduct trenching operations in a manner which will allow conflicts to be anticipated thereby allowing measures to be taken in certain cases to circumvent the conflict. Specifically the Contractor shall do the following:

- a. Trenching shall be performed a minimum of 100 feet (or the total length of the trench) ahead of pipe laying operations. Pilot Trenches may be used at the Contractor's option.
- b. If unshown buried lines are discovered which may cause conflict, Contractor shall stop pipe laying operations and notify Engineer of discovery.

#### **2A2**.4.2 Grade Alignment:

If conflict can be avoided by adjusting grades by a maximum of two feet up or down and no additional fittings are required, no claim for additional compensation for extra work will be considered. Lines requiring adjustments greater than 2 feet, additional fittings or the soil being trenched significantly different will be considered for extra compensation on an individual basis.

#### **2A2**.4.3 Avoidable Conflicts:

Conflicts occurring because of the Contractor's failure to comply with 2A2.4.1 and which could have been avoided by grade adjustment in accordance with 2A2.4.2 will not be considered for extra compensation.

# **2A2**.5 <u>EXTRA WORK</u>

No work for which extra compensation is to be received shall be performed until approved by the Engineer and the Owner.

#### **SECTION 2B - EARTHWORK**

# 2B1[1] SITE GRADING

#### **2B1**.1 SCOPE:

This specification shall govern for all work necessary to accomplish shaping and grading indicated on the drawings and specified herein and shall include maintaining surface drainage during construction, finish grading and all phases of the cleanup operation. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **2B1**.2 GENERAL:

The Contractor shall uniformly grade the entire project site to provide a pleasing appearance.

#### **2B1**.3 CONSTRUCTION METHODS:

# **2B1**.3.1 <u>Finishing Slopes and Surfaces:</u>

The Contractor shall shape and grade the project site to conform to the proposed grade and/or sections shown on the drawings, and as directed by the Engineer. In any case, the Contractor shall grade the site to provide positive drainage away from buildings and towards roads and drainage facilities. The finished appearance shall be reasonably smooth and even (abrupt changes in slope shall not be used). The degree of finish for grading slopes shall be that ordinarily obtainable from either blade-grader operations, or by hand-shovel operations, as the Contractor may elect, subject to the approval of the Engineer.

#### **2B1**.3.2 Clean-Up:

The Contractor shall keep the site and structures free from accumulations of waste materials, debris, etc. caused by the work or his employees. All material, debris, rocks, concrete spoil, etc. exposed at grade or lying on top of the ground shall be picked up and disposed of by the Contractor. Upon completion of the project and before requesting final inspection, the site and his work shall be "broom clean" or its equivalent.

#### **SECTION 2B - EARTHWORK**

# 2B2[1] STRUCTURAL EXCAVATION AND BACKFILL

#### **2B2**.1 SCOPE:

This specification shall govern for all work necessary to accomplish the structural excavation required to complete the project. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art.SC-1 Definitions.

# **2B2**.2 <u>MATERIAL</u>:

Structural excavation shall include all material encountered including earth, asphalt, base material, concrete, masonry, rock, trees, stumps, and roots.

#### **2B2.3** STRUCTURAL EXCAVATION EXCEEDING 5 FEET IN DEPTH:

#### **2B2**.3.1 Excavation Safety:

The Contractor's excavation safety procedures shall, in all respects, meet the current standards established by the U. S. Department of Labor, Occupational Safety and Health Administration (OSHA) on excavation, trenching and shoring.

#### **2B2**.3.2 Responsibility:

Contractor has the sole and exclusive responsibility for the sufficiency of the trench excavation safety systems utilized. The Contractor shall specifically agree that neither the Owner nor the Engineer has such responsibility, and Contractor will not rely on the Owner or the Engineer or any of their representatives for inspection, design, supervision, construction or any other aspect of trench excavation safety protection. Contractor shall fully indemnify, safe and hold harmless Owner and Engineer, their employees and agents (hereinafter the Indemnities) against any and all liability, damage, loss, claims, demands and actions of any nature whatsoever on account of personal injuries (including, without limitation on the foregoing, workers' compensation and death claims), or property loss or damage of any kind whatsoever, which arise out of or are in any manner connected with, or are claimed to arise out of or be in any way connected with, the negligence of the Contractor in the inspection, design, engineering, supervision, construction, safety devices or other activity connected with the trench excavation safety protection under this Agreement. Contractor shall, at his own expense, investigate all such claims and demands, attend to their settlement or other disposition, defend all actions based thereon and pay all charges of attorneys and all other costs and expenses of any kind arising from any such liability, damage, loss, claims, demands, and actions.

#### **2B2.4** STRUCTURAL EXCAVATION 5 FEET OR LESS IN DEPTH:

# **2B2**.4.1 <u>General</u>:

The limit of excavation shall be such to allow for placing and removing forms, installing sheeting, shoring, bracing, etc. The Contractor shall pile excavated material in a manner that will not endanger the work and will avoid obstructing sidewalks and driveways. Gutters shall be kept clear.

#### **2B2**.4.2 <u>Vertical Sides</u>:

When necessary to protect existing or proposed structures or other improvements, the Contractor shall maintain vertical sides of the excavation. The limit shall not exceed three feet outside the footing on a vertical plane parallel to the footing except where specifically approved otherwise by the Engineer. The Contractor shall provide and install any sheeting, shoring and bracing as necessary to provide a safe work area as required to protect workmen, structures, equipment, trees, etc. The Contractor shall be responsible for the design and adequacy of all sheeting, shoring, and bracing. The sheeting, shoring, and bracing shall be removed as the excavation is backfilled in such a manner as to prevent injurious caving.

#### **2B2**.4.3 Sloping Sides:

Where sufficient space is available, the Contractor shall be allowed to back slope the sides of the excavation. The back slope shall be such that the excavation shall be safe from caving. The type of material being excavated shall govern the back slope used. The Contractor shall be responsible for determining the back slope used, but in any case the back slope shall be no steeper than 1 foot horizontal to 1 foot vertical.

# **2B2.**5 <u>CONSTRUCTION METHODS</u>:

### **2B2**.5.1 <u>Dewatering</u>:

The Contractor shall keep the excavation free from water by use of cofferdams, bailing, pumping, well point, or any combination as the particular situation may warrant. All dewatering devices shall be installed in such a manner as to provide clearance for construction, removal of forms, and inspection of exterior of form work. It is the intent of these specifications that the foundation be placed on a firm dry bed. The foundation bed shall be kept in a dewatered condition a sufficient period of time to insure the safety of the structure, but in no case shall dewatering be terminated sooner than 7 days after placing concrete. All dewatering methods and procedures are subject to the approval of the Engineer. The excavation shall be protected from excessive rainfall and drying. The excavation shall be inspected and approved by the Engineer before work on the structure is started. It is the intent of these specifications that the Contractor provide a relatively smooth, firm foundation bed for footings and slabs that bear directly on the undisturbed earth without additional cost to the Owner, regardless of the soil conditions encountered. The Engineer will be the judge as to whether these conditions have been met. The Contractor shall pile excavated material in a manner that will not endanger the work.

#### **2B2**.5.2 <u>Unauthorized Overexcavation</u>:

Excavation for slabs, footings, etc., that bear on earth shall not be carried below the elevation shown on the drawings. In the event the excavation is carried on below the indicated elevation, the Contractor shall bring the slab, footing, etc., to the required grade by filling with concrete having a minimum compressive strength of at least 3000 p.s.i. at 28 days (See Subsection 3C1).

## **2B2**.5.3 <u>Backfill Material</u>:

#### a. General:

Suitable material chosen from the excavation shall be used for backfill. The material chosen shall be free of large lumps or clods, which will not readily break down under compaction. This material will be subject to approval by the Engineer. Backfill material shall be free of vegetation or other extraneous material. Excavated materials which are to be used for fill or backfill may be stockpiled on the site. Location of stockpiles shall be approved by the Engineer. Top soil should be stockpiled separately and used for finish grading around structure.

### b. Fiberglass Manholes and Wet Wells:

Sand shall be used for backfill around manholes and/or wet wells for a distance of 2 feet from the outside surface and extending from the bottom of the excavation to the bottom of the top slab. Suitable material (as set out above) chosen from the excavation may be used for the remainder of the backfill. Location of stockpiles shall be approved by the Engineer.

# **2B2**.5.4 <u>Schedule Of Backfilling</u>:

# a. Concrete and Masonry Structures:

The Contractor shall begin backfilling of concrete structures no sooner than 7 days but no later than 14 days, after they are cast. The Contractor shall backfill brick and mortar structures after they have been in place at least 3 days.

#### b. Fiberglass Manholes and Wet Wells:

The Contractor may begin backfilling of manholes and/or wet wells as soon as the concrete has been allowed to cure and any forms are removed.

# **2B2**.5.5 <u>Backfill</u>:

#### a. General:

Backfill shall be placed in layers of not more than 9 inches (loose measure) and mechanically tamped to at least 95% Standard Proctor Density - A.S.T.M. Specification D-698. Flooding will not be permitted. Backfill shall be placed in such a manner as to prevent any wedging action against the structure.

# b. Fiberglass Manholes and Wet Wells:

Backfill shall be placed in layers of not more than 6 inches (loose measure) and mechanically tamped to at least 95% Standard Proctor Density. Flooding will <u>not</u> be permitted. Backfill shall be placed in such a manner as to prevent any wedging action against the structure.

# **2B2**.5.6 Excess and Unsuitable Material:

All excess and/or unsuitable excavated material shall be loaded and hauled from the project site by the Contractor.

#### **SECTION 2H - ROADS AND WALKS**

# 2H28[1] FLEXIBLE BASE (CRUSHED CONCRETE GRADE 1)

#### **2H28**.1 SCOPE:

This specification shall govern for all work necessary to provide the base course (Crushed Concrete) on the previously prepared subgrade (or subbase) required to complete the project. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **2H28**.2 MATERIALS:

The materials shall be obtained from approved sources and shall consist of durable particles of Crushed Concrete mixed with approved binding materials. The soil binder shall meet the following requirements:

- a. The liquid limit shall not exceed 40 when tested in accordance with ASTM Designation D4318.
- b. The plasticity index shall be determined by testing in accordance with ASTM Designation D4318 and it shall not exceed 10 nor be less than 4.

The processed binder material, when properly slaked and tested by standard laboratory methods, shall meet the following requirements of the Texas Department of Transportation Item 247, Type "D", Grade 1.

1)	Retained 1 3/4 inch sieve	0% - 10%
2)	Retained 7/8" sieve	10% - 35%
3)	Retained 3/8" sieve	30% - 65%
4)	Retained No. 4	45% - 75%
5)	Retained No. 40	65% - 90%

The material shall be rejected upon visual inspection, should it contain an excessive amount of clay balls or roots. If an abundance of rocks larger than 2 inches are present in the material, they shall be removed by hand and disposed of. Crushed Concrete which fails to meet the requirements of these specifications may be rejected by the Engineer. Such rejection shall incur no cost to the Owner.

## **2H28**.3 CONSTRUCTION METHODS:

#### **2H28**.3.1 General:

The Engineer shall approve the previously completed subgrade or subbase before the Contractor places the base material. The subgrade shall be to grade within 1/2", but highs and lows must approximately balance. The proper amount of base to construct the base thickness as specified shall be delivered and spread. Base dumped one day shall be spread the same day if at all possible, if not, it shall be spread on the next working day. In no case shall it remain over a weekend or holiday without being spread.

#### 2H28.3.2 Placing and Mixing:

The flexible base material shall be placed on the approved subgrade in courses not to exceed 6 inches compacted depth. It shall be the responsibility of the contractor that the required amount of materials be delivered and uniformly spread and shaped. All materials shall be moved from the place where it is dumped by cutting into windrows, it shall be sprinkled, spread, shaped and rolled in proper sequence to prevent segregation and as necessary for required compaction.

2H28.3.3 Shaping: The surface upon completion shall be smooth and in conformity with typical sections and to the established lines and grades. Any deviation in excess of 1/4 inch in cross section and in length of 16 feet measured longitudinally shall be corrected. All irregularities, depressions, or weak spots which develop shall be corrected by scarifying the areas affected, adding suitable material where required, reshaping and recompacting by sprinkling and rolling.

# **2H28**.3.4 ALLOWABLE TOLERANCES:

# **2H28**.3.4.1 First Course:

1" by 16' template or straight edge. Check both longitudinally and transversally on 10' centers.

# **2H28**.3.4.2 <u>Final Course:</u>

1/2" by 16' template or straight edge. Check both longitudinally and transversally on 10' centers.

#### **DIVISION 3 - CONCRETE**

#### **SECTION 3A - CONCRETE FORM WORK**

# 3A1[1] SCOPE

#### **3A1**.1 SCOPE:

This specification shall govern for all work necessary for designing, providing and installing concrete forms for any concrete structure (including curb and gutter, inlets, sidewalk and driveways) required to complete the project. With the exception of slabs, flatwork, and curb and gutter, concrete form work systems shall be designed for a minimum rate of concrete placement in the forms of ten (10) vertical feet per hour. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### 3A2 MATERIAL

#### **3A2**.1 WOOD FORMS:

Form lumber shall be seasoned, of good quality, free from loose or unsound knots, knot holes, twists, shakes, decay or other imperfections which would affect its strength or impair the finished surface of the concrete. Lumber used for facing or sheathing shall be surfaced on at least one side and two edges. All exposed concrete edges shall be chamfered. Molding used for chamfer strips shall be of redwood, cypress or pine of quality that will not split when nailed and which can be maintained to true lines. Chamfer strips to predetermined elevations just prior to placing final lift.

#### **3A2**.2 <u>STEEL FORMS</u>:

Metal forms shall provide a smooth straight surface and shall line up properly. Rivets and bolt heads in contact with concrete will be countersunk, level with surrounding surface. Metal surfaces in contact with concrete will be free from rust, paint or other foreign material that will disfigure or discolor concrete. Mount chamfer strip by Engineer approved methods and maintain as to grade and alignment.

#### **3A2**.3 FORM LINING:

Surfaces to be given a rubbed finish are to have form surfaces or form lining surfaces free of irregularities. Lining is to be of plywood made with waterproof adhesive, of 1/4 inch minimum thickness, preferably oiled at the mill and then re-oiled or lacquered on the job before using. An alternate to the plywood lining is tempered Masonite concrete form presswood having a minimum 3/16 inch thickness. Keep presswood moist at least 12 hours before applying to sheathing. Use smooth hard face as concrete contact surface. Facing may be constructed of 3/4 inch plywood made with waterproof adhesive, backed by adequate studs and wales; and, in this case, form lining will not be required. Carefully align edges and faces of adjacent panels.

#### **3A2.4** FORM TIES:

Form ties shall be threaded rod or coil tie type designed and of such length to provide a cone shaped formed "setback" of 3/4" on each wall face. Wire type form ties with breakback and cone may also be used (such as Symons S-Panel with washer). After removal of forms, the cone shaped void shall be grouted. All form ties shall incorporate a waterstop manufactured as an integral feature of the tie. Form ties shall be part of the form system design and shall be adequate for all aspects of said system including a minimum rate of concrete placement in the forms of ten (10) vertical feet per hour. The use of "snap ties" or similar products will not be permitted, except that Engineer will consider Contractor proposals to utilize such products on a case by case basis. Temporary form spreaders will be removed as concrete is placed. Engineer will consider details of permanent form spreaders that Contractor may propose to use.

#### A. Void Forms:

Moisture resistant treated paper faces, biodegradable, structurally sufficient to support weight of wet concrete mix until initial set, 6 inches thick and void form cover sheets.

#### 3A3 CONSTRUCTION METHODS

#### **3A3**.1 FALSEWORK:

Falsework shall be of rigid construction to prevent excessive settlement or deformation under imposed loading and to insure the safety of the workmen and the structure. Only sound timber shall be used for falsework. Falsework shall be designed using 150 pounds per square foot of horizontal surface of form.

# **3A3**.2 <u>FORMS - GENERAL</u>:

Forms are to be constructed and placed in such a manner as to insure mortar tightness, rigidity to prevent excessive settlement or deformation under imposed loading and to insure the safety of the workmen and the structure. Forms shall be constructed in such a manner as to allow cleanout before placing of concrete; adequate access by tremies and vibrators; and removal without damage to concrete. Adequate cleanout openings shall be provided as directed by the Engineer. If excessive settlement or deformation occur, remove the concrete and steel, reset forms, replace the steel and pour fresh concrete. If existing steel is to be reused, Section 3B2.6 must be met.

# **3A3**.3 FORMS - CURB AND GUTTER, SIDEWALKS AND DRIVEWAYS:

Form shall be straight durable and have a depth equal to the required concrete depth; they shall be securely staked to line and grade in such manner that there will be no movement when the concrete is placed.

### **3A3**.4 FORMS - DESIGN:

Forms shall be designed for a fluid pressure of 150 pounds per cubic foot and a live load of 50 pounds per square foot on horizontal surfaces with maximum unit stress of 125% of allowable stresses.

#### **3A3**.5 OILING FORMS:

All surfaces of forms that will be in contact with concrete will be treated with an approved form oil before concrete is placed. The Contractor shall apply form oil in such a manner so as to insure that no excess oil accumulates on the reinforcing or previously placed concrete. Immediately prior to placing concrete, the Contractor shall wet forms which will come in contact with concrete.

#### **3A3**.6 REMOVAL OF FORMS FROM SURFACES TO BE RUBBED:

Forms shall be removed when concrete has attained adequate strength to prevent damage and only as rapidly as rubbing operation progresses. Forms left in place longer than 24 hours will be rewet to keep moist.

# **3A3**.7 REMOVAL OF FORMS AND FALSEWORK FROM SURFACES NOT TO BE RUBBED:

Forms and falsework shall be removed after concrete has aged the following number of curing days.

- a. Slabs, Beams, or Girders 7 curing days.
- b. Walls, Columns and Piers 2 curing days.

# **3A3.8** SETTING FORMS OR FALSEWORK ON SUBSTRUCTURES:

Forms or falsework shall not be erected on a concrete structure until the concrete in the substructure has cured at least four curing days.

#### **3A3**.9 SETTING FORMS OR FALSEWORK ON FOOTINGS:

Forms or falsework shall not be erected on a concrete footing until the concrete in the footing has cured at least 3 curing days.

### **3A3**.10 CURING DAY:

A curing day is any calendar day on which the temperature near the structure is above 50°F for at least 19 hours.

#### **DIVISION 3 - CONCRETE**

#### **SECTION 3C - CAST-IN-PLACE CONCRETE**

# 3C1[1] NORMAL WEIGHT AGGREGATE CONCRETE

#### **3C1**.1 SCOPE:

This specification shall govern for all work necessary for providing all Portland Cement Concrete with normal weight coarse aggregate required to complete the project. This specification is a performance specification, as defined in Section ID General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **3C1.2** MATERIAL:

# **3C1**.2.1 Portland Cement:

Portland Cement shall conform to ASTM C-150 and shall be Type I. Other types of cement shall be used only when approved by the Engineer.

#### **3C1**.2.2 Water:

Water shall be reasonably clean and free from injurious amounts of oils, acid, salt, alkali, organic matter or other deleterious substances. Questionable water shall be tested by a testing laboratory in accordance with ASTM C-94. The cost of testing will be borne by the Contractor. Potable water need not be tested.

#### **3C1**.2.3 <u>Fine Aggregate</u>:

Fine Aggregate shall consist of natural sand, or sand prepared from product obtained by crushing stone or gravel. Sampling of fine aggregate shall be in conformance with ASTM D-75. Sieve analysis shall be in accordance with ASTM C-136.

Fine aggregate shall conform to the following grading requirements:

Retained on 3/8" screen	0.0%
Retained on 1/4" screen	0 to 5.0%
Retained on 20 mesh sieve	15 to 50.0%
Retained on 100 mesh sieve	85 to 100.0%
Deleterious substances shall not be present in excess of following percentage	e by weight.

Beleverious substances shan not be present in excess of fone wing percentage	· • • • • • • • • • • • • • • • • • • •
Material removed by decantation	3.0%
Clay Lumps	0.5%
Other substances such as coal, shale and friable particles	2.0%

Fine aggregate shall be of such quality that when made into mortar and tested in accordance with ASTM C-87 the mortar shall develop a compressive strength at 7 days and 28 days of not less than 95 percent of that developed by the mortar specified as the basis for comparison. Sand shall not contain organic impurities in amounts that, when the sand is tested in accordance with ASTM C-40, would cause it to show a color darker than the standard color. Fine aggregate shall have a fineness modulus conforming to the following:

All strength concrete	not less than 2.0
2,000 psi concrete and less	
2,500 psi concrete and greater	not more than 3.50

The fineness modulus shall be determined by adding total percentages retained on the following U.S. Standard sieves and dividing by 100:

3 in., 1-1/2 in., No. 4, No. 8, No. 16, No. 30, No. 50 and No. 100.

### 3C1.2.4 Normal Weight Coarse Aggregate:

Coarse aggregate shall consist of crushed stone or gravel. Sampling of coarse aggregate shall be in conformance with ASTM D-75. Sieve analysis shall be in accordance with ASTM C-136. Coarse aggregate shall conform to the following grading requirements:

Retained on 2" screen	0.0%	
Retained on 1-1/2" screen	0 to 5.0%	
Retained on 3/4" screen	25 to 60.0%	
Retained on 1/4" screen	95 to 100.0%	
Deleterious substances shall not be present in excess of following percentages by weight:		
Material removed by decantation	1.00%	
Shale or slate	1.00%	
Clay lumps	0.25%	
Soft fragments	3.00%	

Sum of all deleterious ingredients, exclusive of material removed by decantation, shall not exceed 4% by weight.

Coarse aggregate shall not exceed the following:

Coarse aggregate shall not have a wear equivalent of more than 40 when tested for abrasion in conformance with ASTM C-131.

#### **3C1**.2.5 Retarder-Densifier:

When a retarder-densifier is required it shall be Sika's "Plastiment XR", Sonneborn's "Sonotar" or an approved equal. Mixing shall be done in strict conformance with manufacturer's recommendations.

#### **3C1**.2.6 Air-Entrainment Agent:

The use of air-entrainment admixture is required for all concrete. Air-entrainment shall be at least 3% but shall not exceed 5% of the volume of concrete. Mixing shall be done in strict conformance with manufacturer's recommendation. ASTM C-138, C-173 or C-231 shall govern.

# **3C1**.2.7 Water Reducing Admixture:

A high range water reducing admixture shall be used on all vertical concrete pours (such as walls) and a mid-range reducing admixture shall be used for all horizontal flatwork. The high range water reducing admixture shall meet the requirements of ASTM C494, Type G – Water Reducing, High Range & Retarding. Water reducing dosage rates shall be in accordance with manufacturers recommendations. Maximum slump prior to addition o the high range water reducer shall be 2". Slump after addition of the high range water reducer will be 4" minimum to 8" maximum. Approved high range water reducing admixtures include Daracem 100 manufactured by W. R. Grace or Rheobuild 1000 manufactured by Masterbuilders. Mid-range water reducing admixtures shall also be manufactured by W. R. Grace, Sika SikaPplast 300 GP, Masterbuilders or approved equivalent.

# **3C1**.2.8 <u>Fly Ash</u>:

Fly ash may be used if the following criteria are met:

- a. Meets the requirements of ASTM C618, Class F.
- b. Provide a Certificate of Compliance for the fly ash.
- c. Mix design shall not contain more than 20% by mass of the cementitious material.

#### **3C1**.3 PROPORTIONING OF CONCRETE:

It is the intent of this specification to obtain concrete of a homogeneous structure that will be of such consistency and composition that it can be worked readily into corners and angles of forms and around the reinforcement without permitting materials to segregate or free water to collect on the surface. The concrete when it hardens will have a resistance to weathering and the required compressive strength. The general requirements for different compressive strength concrete are as follows:

Min. 28-day Compressive <u>Strength</u>	Max. Allowable Water-Cement Content Gal. per sack <u>of Cement</u>	Min. Cement Content-Sacks per <u>Cubic Yard</u>	Slump <u>Range</u>
1500 psi (Class E)	10.5	3.0	2"-6"
Seal Slab (Class D)		4.0	6"-8"
2000 psi (Class C)	7.5	4.0	2"-5"
2500 psi (Class B)	6.75	4.5	2"-5"
3000 psi (Class A)	6.25	5.25	2"-5"
4000 psi (Class 2-A)	5.0	6.5	2"-5"
5000 psi (Class 3-A)	4.0	7.0	2"-5"

Maximum water/cement ratio for watertight structures shall be less than or equal to 0.35. For non-watertight structures, the maximum water/cement ratio shall be less than or equal to 0.45. Maximum allowable net water content will be the amount added at the mixer, plus free water in the aggregate and minus absorption of the aggregate based on the thirty minute absorption period. No allowances will be made for evaporation of water after batching.

#### **3C1**.4 MIX DESIGN:

#### **3C1**.4.1 <u>General</u>:

It is the intent of these specifications that the Contractor is responsible for providing a mix design that will produce a concrete meeting the requirements of this specification.

# **3C1**.4.2 Mix Design Report:

The Contractor shall submit to the Engineer for approval six (6) copies of a mix design prepared by a reputable testing laboratory. The cost of the mix design shall be as set out in 1E7 - Testing. The mix design shall include mix proportions, water cement ratio, slump and workability characteristics required to produce the specified compressive strength concrete. The mix design shall be established by making, curing and testing a minimum of 5 standard size test cylinders for each strength concrete. Cylinders shall be made, cured and tested in conformance with ASTM C-192 and C-39. The mix design does not have to be prepared especially for this project, but it must apply to the materials being furnished. The mix design must be delivered to the Engineer a minimum of four (4) days prior to the first pour. The Contractor shall have written notice from the Engineer approving the mix design before placing any concrete. If, during progress of the work, it is found impossible to secure concrete of required workability and strength with material being furnished by Contractor, the Engineer may order such changes as may be necessary to secure desired properties, subject to limiting requirements shown in Paragraph 3C1.3. Any changes so ordered shall be made at the Contractor's expense, and no extra compensation will be allowed by reason of such change.

#### **3C1**.5 CONSISTENCY:

### **3C1**.5.1 <u>General</u>:

The quantity of water to be used shall be determined by the Engineer and shall be such as to give a mixture containing the minimum of water consistent with the required workability. The quantity of water shall be varied only by the Engineer. The Contractor shall provide a concrete that has a consistency that conforms to the following:

- a. The mortar will cling to the coarse aggregate.
- b. The concrete is not sufficiently fluid to segregate to the place of deposit.

- c. The concrete, when dropped directly from the discharge chute of the mixer, will flatten out at the center of the pile, but the edged of the pile will stand up and not flow.
- d. The mortar will show no free water when removed from the mixer.
- e. The concrete will settle into place when deposited in the forms; and when transported in metal chutes at an angle of 30 degrees with the horizontal, it will slide and not flow into place.
- f. The surface of the finished concrete will be free from laitance or a surface film of free water.

#### 3C1.5.2 Concrete Failing To Meet Consistency Requirements:

Any concrete mix failing to meet the above outlined consistency requirements, although meeting the slump requirements, will be considered unsatisfactory; and the mix shall be changed to correct such unsatisfactory conditions. The slump test will be made by the Engineer in accordance with the methods outlined in ASTM C-143.

#### **3C1**.6 MIXING:

#### 3C1.6.1 General:

The Contractor shall procure concrete from a "transit-mixed" concrete plant. Aggregates shall be proportioned by weight unless a satisfactory volumetric method of measurement is approved by the Engineer. The use of fractional sacks of cement will not be permitted unless the cement is proportioned by weight. Water shall be measured by an accurate measuring device which can be adjusted to compensate for variations in the free moisture content of the aggregate. The concrete shall be mixed in quantities required for immediate use, and any concrete which is not in place within one hour after start to mixing shall not be used unless otherwise authorized by the Engineer. In threatening weather, which in the opinion of the Engineer may result in conditions that will adversely affect the quality of the concrete to be placed, the Engineer may order postponement of the work. Where work has been started and changes in weather conditions require protective measures to be used, the Contractor shall furnish adequate shelter to protect the concrete against damage from rainfall or damage due to freezing temperatures. In case it is necessary to continue mixing operations during rainfall, the Contractor shall provide protective covering for the material stockpiles as well as for the concrete being placed. The covering for aggregate stockpiles will be required only to the extent as may be necessary to control the moisture conditions in the aggregate so that adequate control of the consistency of the concrete mix may be maintained. No concrete shall be mixed without the approval of the Engineer when the air temperature is at or below 40°F. (taken in the shade away from artificial heat) and falling. If authorized for concrete placement during cold weather, the concrete will be placed in accordance with the PCA "Design and Control of Concrete Mixtures". The maximum temperature of cast-in-place concrete (Type I, Portland Cement and Type K, Shrinkage Compensating Cement) shall not exceed 98°F. If adjustments of the mixture for temperature control are required, then the procedure for hot-weather mixing, placing and curing shall be in accordance with ACI 305 Recommended Practice for Hot Weather Concreting.

## **3C1**.6.2 <u>"Transit-Mixed" Concrete</u>:

The mixing and the transporting operations shall conform with ASTM C-94. Mixing water shall not be added after a truck has left the plant except by permission of the Engineer or his representative. No concrete shall be used in the work which has been held longer than 1 hour in a mixer truck, unless approved by the Engineer. If dry batched to the job site, the batching plant operations shall conform with ASTM C-94. Transportation of the dry materials shall be performed in such a manner as to prevent loss, segregation or contamination of ingredients.

#### **3C1.7** LABORATORY TESTING OF CONCRETE:

Moisture content check will be made at sufficient intervals to maintain accurate batching and proportioning. All sampling will be done in accordance with ASTM sampling and testing procedures. See Section 1E7 Testing for test cylinder requirements. A set of test cylinders shall consist of 3 test cylinders. One cylinder shall be tested for strength at the age of 7 days, one cylinder at the age of 28 days, and one cylinder shall be held in reserve to be tested for strength when directed by the Engineer. The cylinders shall be made and cured in conformance with ASTM C-192. Curing facilities shall be provided in accordance with ASTM C-31. Cylinders shall be tested in conformance with ASTM C-39. Air content shall be tested in accordance with ASTM C173. Slump shall be tested in accordance with ASTM C143.

# **3C1**.8 <u>FAILURES TO MEET STRENGTH REQUIREMENTS</u>:

Should the strength shown by the test specimens made and tested fall below the values required, the Engineer shall have the right to require changes in proportions, or to require additional curing on those portions of the structure represented by the test specimens which failed. If additional curing does not give the strength required, the Contractor will be responsible for removal and replacement of those portions which fail to develop required strength. Specimens will be considered to have failed when average strength for any period of placing is less than values indicated in the following table:

No. Days Consec Placing of Any On Of Concrete	e Class	Percent of Strength <u>Specified</u>
1		85
2		95
3		95
5 or more		100

When additional curing of portions of the structure is ordered by the Engineer, it shall be done at Contractor's expense and no claim for extra compensation for such additional curing shall be allowed. In no case shall the Contractor be required to provide such additional curing beyond a total of 21 days, except where average strengths of specimens, representing concrete placed on any three consecutive days, fall below 80% of the value specified in Paragraph 3C1.3. In this case, curing shall be maintained until cores drilled from portions of the structure involved show an average strength equal to that specified in Paragraph 3C1.3. Cores shall have diameter of approximately three times the maximum size of aggregate and shall be tested in accordance with ASTM C-42.

# **3C1**.9 <u>STORAGE OF MATERIALS</u>:

Cement shall be stored off the ground in a well-ventilated, weatherproof building. Aggregate shall be stored in a manner that will prevent the mixing of foreign materials and in a manner to prevent segregation of the aggregate.

#### **3C1**.10 MEASUREMENT OF MATERIALS:

The measurement of materials, except water, used in the batches of concrete shall be by weight. The different grades of aggregate shall be weighed separately. Cement may be measured by the bag. Water may be measured by volume. Allowance will be made for water content where moist aggregates are used.

#### **DIVISION 3 - CONCRETE**

#### **SECTION 3C - CAST-IN-PLACE CONCRETE**

# 3C4[1] CONCRETE STRUCTURES

#### **3C4**.1 SCOPE:

This specification shall govern for all work necessary to construct all structures required to complete the project. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **3C4**.2 MATERIAL:

# 3C4.2.1 Concrete:

Concrete shall have a minimum compressive strength of 3000 psi at 28 days (unless specifically specified otherwise) and shall be in accordance with Section 3C1 "Normal Weight Aggregate Concrete".

#### **3C4**.2.2 Reinforcing Steel: See Section 3B "Concrete Reinforcement".

#### **3C4**.2.3 Premolded Expansion Joint Filler:

Premolded expansion joint filler shall conform to ASTM Specification D-544, Type I.

# 3C4.2.4 Non-Shrinkage Grout:

Shall be "Embeco 636" or "Masterflow 713" as manufactured by Master Builders Company, or "Ferrolith G" as manufactured by Sonneborn-Contech or approved equal.

#### **3C4**.2.5 Waterstops:

Waterstops shall be premolded polyvinyl chloride being "Durajoint" No. 5 as manufactured by Electrovert, Inc., or "Sealtight" No. 6380 as manufactured by W.R. Meadows, Inc., or "Synko-flex" plastic (Bitumen) continuous waterstop or approved equal unless otherwise designated on plans. The "Synko-flex" type water stop shall be used only in <a href="https://doi.org/10.1001/joints">horizontal</a> construction joints in slabs. The premolded PVC type water stop shall be used in vertical construction joints (walls) and may be used in horizontal construction joints (Contractor's option). Provide primer per manuf.'s requirements for "Synko-flex" type waterstops.

# 3C4.2.6 <u>Membrane Curing Compound</u>:

Membrane curing compound shall be a resin base compound in accordance with ASTM Specification C-309, Type I, with light red tint of fugitive dye.

### **3C4**.2.7 Cotton Mats for Curing:

Cotton mats for curing shall be mats which uniformly contain a minimum of 3/4 pound of cotton per square yard, with Osnaburg covering cloth being a weight of not less than 6 ounces per square yard. The mats shall be a size which may be easily handled and having 6 inch wide flap for overlaps.

#### **3C4**.2.8 Floor Hardener:

Where drawings specify a floor hardener, liquid floor hardener shall be "Lapidoloth" as manufactured by Sonneborn-Contech, or "Kemplate" metallic surface hardener as manufactured by Chem-Masters Corp., or an approved equal.

# 3C4.2.9 <u>Integral Concrete Coloring</u>:

Where drawings call for integral concrete coloring, "Sonobrite" as manufactured by Sonneborn-Contech, or "Staybrite" as manufactured by A.C. Horn Company, or an approved equal shall be used.

#### **3C4**.3 <u>CONSTRUCTION METHODS</u>:

#### **3C4**.3.1. Required Approval:

Prior to starting work, the Engineer may require the Contractor to furnish for approval the following:

- a. Methods of construction.
- b. Drawings for all form and falsework.
- c. Amount and type of equipment to be used on the project.
- d. The concrete placing schedule which take into account concrete shrinkage.
- e. A schedule showing all surfaces to receive a rubbed finish.

The Engineer's approval of the above listed items does not relieve the Contractor of any responsibility for safety or correctness of methods, adequacy of equipment, or for carrying out work in accordance with his contractual obligations.

#### **3C4**.3.2 Time Sequence of Operation:

- a. All substructure concrete work shall be cured for a minimum of four days before erecting forms or placing structural steel thereon.
- b. All substructure concrete work shall cure for a minimum of 7 days before pouring superstructure concrete thereon.
- c. All wall footings shall cure for a minimum of 3 days before placing wall forms thereon.
- d. All superstructure shall cure for a minimum of 10 days before being used.

### **3C4**.3.3 Expansion Joints:

The Contractor shall remove forms as soon as possible to permit free expansion of concrete. Premolded expansion joint fillers shall be anchored to concrete on side of joint by means of copper wire No. 12 B and C gauge or heavier or copper nails of approved size. Concrete sections are to be completely separated by open joint or by joint material.

The maximum distance between vertical expansion joints in concrete walls shall be 50 feet, unless shown differently on the plans.

## **3C4**.3.4 Construction Joints:

#### a. General:

"Construction Joint" is defined as a contact surface between plastic concrete and concrete that has attained initial set. "Monolithic" means concrete placed without construction joints. Waterstops shall be provided in all construction joints in structures containing liquids up to a point one foot above the maximum water surface elevation and in all construction joints in structures with walls adjacent to soil, below a point one foot above the finished grade. The Contractor shall obtain written authorization of the Engineer to permit construction joints other than those indicated. Where such authorization is obtained, make additional construction joints with details and waterstops equivalent to those shown for similar joints.

#### b. Construction:

The Contractor shall leave surfaces rough with aggregate surface prior to placing of new concrete. Immediately prior to placing concrete on horizontal joint surfaces, slush surface with mortar coating. Mortar is to consist of regular concrete mix less coarse aggregate. On vertical surface, mortar is to be brushed on and worked into irregularities on surface. Keyways are to be formed so as to permit easy removal of forms without damaging the concrete. Waterstops are to extend into both old and new pour an equal distance, or according to manufacturer's recommendations as approved by the Engineer.

### 3C4.3.5 Concrete Form Work: See Section 3A - "Concrete Form Work".

#### **3C4**.3.6 Placing Reinforcement: See Section 3B - "Concrete Reinforcement".

#### 3C4.3.7 Seal Slabs:

Seal slabs shall be placed in all excavations for structures which require reinforcing steel in base slab. Excavate below bottom of structural slab to the thickness shown on the drawings (min. 2 inches) and pour seal slab concrete to structural slab bottom elevation. Rough float finish seal slab. No direct payment will be made for seal slab concrete. Seal slab is not required for building on grade slab and grade beams unless shown otherwise on the drawings.

### **3C4**.3.8 Authorization to Place Concrete:

The Contractor shall notify the Engineer at least 48 hours in advance of a scheduled concrete placement. The Contractor shall not begin mixing concrete (or place an order for concrete) until the Engineer has inspected the forms, reinforcing steel, and given his approval. Before concrete is placed, all embedded items shall be accurately and securely fastened in place. The Contractor shall not place any concrete until he has at least three (3) mechanical vibrators, of an approved type, on the project site that are in good operating order.

#### **3C4**.3.9 Scheduling of Concrete Placement:

The Contractor shall schedule the concrete placement so as to insure completion during the hours of daylight. If it is necessary to continue pouring during hours of darkness, light the site in such a manner as to insure competent and safe operation. The Engineer can order postponement of placing operations when impending weather conditions threaten to impair the quality of the finished work. Should rainfall occur after placing operations have started, provide covering to protect work. If conditions occur which would be detrimental to placement and setting of concrete, such as pile driving or other vibration, stop the cause of such condition when concrete is being placed and until concrete has aged 12 hours.

#### **3C4**.3.10 <u>Handling and Transporting Concrete</u>:

The Contractor shall use metal or metal lined chutes, troughs, and/or pipes in placing concrete to prevent separation of concrete ingredients. When pouring down steep slopes, chutes shall be equipped with baffles to reverse lateral direction of movement. Downpipe shall be provided at end of chute. A maximum slope of one vertical to two horizontals shall be used. Chutes and troughs shall be kept free from coatings of hardened concrete or other harmful material. Chutes in excess of 35 feet in length may be used by authorization of the Engineer only. Pumping of concrete may be done by authorization of Engineer only.

# **3C4**.3.11 Placing Concrete:

Free fall of concrete shall be limited to a maximum of 4 feet. The Contractor shall place concrete in walls and other inaccessible places by use of tremies. Concrete shall be placed as close as possible to its final location. Vibrators shall not be used to work concrete along the forms. Concrete, reinforcing steel or forms shall not be jarred, moved, or otherwise disturbed after concrete has taken initial set. Concrete shall be placed in continuous horizontal layers approximately 12 inches thick. Each successive layer shall be placed while the layer below is still plastic. If excessive water forms on the surface of the concrete, use concrete to a point approximately 1 foot below finish elevation and allow to settle. To avoid cold joint, resume placement of concrete after partial stiffening. Retempering of concrete or mortar which has partially hardened will not be permitted.

# **3C4**.3.12 <u>Consolidating Concrete</u>:

Consolidation of concrete shall be done by means of spading implements and mechanical vibrators of approved type. Use of vibrators of the type which operate by attachment to forms shall be by authorization of the Engineer only. Vibration of concrete shall begin immediately after placement and shall go completely through to next layer below to insure mixture of both layers. Vibration shall not be used for flowing concrete laterally.

#### **3C4**.3.13 Placing Concrete on The Ground:

The Contractor shall prepare the subgrade in accordance with the applicable earthwork specifications. Apply membrane waterproofing if called for on the drawings and/or specified elsewhere. If membrane waterproofing is not required, moisten subgrade just prior to placing concrete, to decrease absorption of moisture from the concrete. If necessary, pump or bail during placing operations from suitable sump located outside of forms. Pumping will be continued until concrete has attained initial set. Side forms may be omitted when authorized by the Engineer.

## **3C4**.3.14 <u>Placing Concrete in Water:</u>

The Contractor shall place concrete in water only by specific authorization of the Engineer. Concrete placed in or under water will contain a minimum of 6-1/2 sacks of cement per cubic yard of concrete. The Contractor shall insure that there is no movement or flow of water in which concrete is being placed for at least 36 hours after placement. Do not disturb concrete after placement and maintain

approximately horizontal surfaces at all times. Placement will be by use of watertight tremies of a maximum of 10 inches in diameter. When concrete is placed in tremies, raise tremie slightly, but not out of concrete until batch discharges to bottom of hopper. Stop flow by lowering tremie. Placement will be continuous.

# **3C4**.3.15 Curing Concrete:

#### a. General:

The Contractor shall have the option of using curing compound or cotton mats with the exception of the following: Membrane curing compound shall not be used on surfaces to be rubbed, painted or to which waterproofing material or liquid floor hardener is to be applied. Membrane curing compound shall not be used on concrete which will have additional concrete placed on it later. Membrane curing compound shall be used for curing surfaces which cannot be satisfactorily cured with mats. Curing mats shall be kept moist and in contact with concrete for 7 consecutive days. High early strength concrete shall be cured for 3 consecutive curing days.

# b. Use of Membrane Curing Compound:

Membrane curing compound shall be delivered on job site in original containers, labeled to show name of compound, manufacturer, and batch number. Compound shall be kept thoroughly mixed and sprayed on the structure using pressure-tank type spraying equipment. The Contractor shall apply curing compound to the concrete immediately upon removing forms at a rate of one gallon per 200 square feet. Apply compound to slabs or other exposed surfaces immediately after finishing or after excess moisture has disappeared. Membrane shall be kept intact and protected from abrasive action for 14 days to obtain equivalent to 7-day moist curing. Protect against traffic and apply protective coating no sooner than 24 hours after application of membrane. Damage to membrane during 14-day period shall be repaired immediately.

## **3C4**.3.16 <u>Removal of Forms and Falsework</u>: See Section 3A Concrete Form Work.

#### **3C4**.3.17 <u>Defective Work</u>:

All work which is deemed by the Engineer to be defective will be repaired immediately by the Contractor in accordance with the Engineer's instructions.

# **3C4**.3.18 Monolithic Slab Finish:

Unless otherwise specified, slabs, platforms, and steps shall be finished monolithically. Unless otherwise specified, slabs shall be level. The Contractor shall place screeds accurately and rigidly prior to placement of concrete. Concrete shall be tamped to force coarse aggregate away from surface; then float finish and steel trowel to finish building floors. "Dusting" of floor surfaces with dry materials shall not be permitted. Edges of all expansion joints shall be rounded at all expansion joints with suitable jointing or edging tool.

#### **3C4**.3.19 Concrete Floor Topping and Finish:

Where specified, concrete floor topping shall be applied by the Contractor to structural slabs after equipment has been set. Topping may be placed without Engineer's authorization. Structural slab will be broomed to expose aggregate when concrete is green. Structural slab will be cleaned and kept moist 12 hours prior to placing topping. Immediately before placing concrete topping, broom in slush coat of cement and water mixed to consistency of thick paint. Use 1 part Portland Cement, 1 part sand, and 1-1/2 parts pea gravel for concrete topping. Use no more than 5 gallons of water per sack of cement. Add 5 pounds of non-shrinking grout aggregate per sack of cement in mix. Steel trowel finish will be provided. If specified, the Contractor shall apply liquid floor hardener in accordance with manufacturer's recommendations. If specified, the Contractor shall apply integral concrete coloring in accordance with manufacturer's recommendations.

#### **3C4**.3.20 Filling for Tie and Bolt Holes:

The Contractor shall fill holes solid with cement mortar. Add white cement to mortar so that patches will not appear darker than adjacent concrete surface. Mortar shall be placed into holes as dry as possible. Holes passing entirely through concrete shall be filled from inside of structure with pressure gun or other device that will force mortar through to outside face. Strike off excess mortar flush with surface and finish to make hole as inconspicuous as possible.

#### **3C4**.3.21 Patching:

Slight honey-comb and other minor defects in concrete surfaces shall be patched with cement mortar mixed 1 part cement to 2 parts fine aggregate. The Contractor shall repair by cutting out unsatisfactory material and replacing it with new concrete, securely keyed and bonded to old concrete and finish so as to make joints as inconspicuous as possible. Mixture shall be as stiff and dry as possible. For hydraulic structures, repair areas in which honeycomb occurs to prohibit leakage through concrete, using mortar to which non-shrinking grout aggregate has been added at the rate of 5 pounds per sack of cement.

#### **3C4**.3.22 Rub-Finish Surfaces:

#### a. Extent Required:

Exposed vertical and battered surfaces shall be rub-finished from 6 inches below surface or from below water level to the top, except for small structures which extend 12 inches or less above finished grade.

#### b. Procedure:

The Contractor shall start the rubbing operations immediately after form removal. Do necessary pointing as forms are removed. Remove forms only as rubbing progresses in No. 16 Carborundum Stone or equal. Rub sufficiently to bring to surface paste and to produce smooth dense surface without irregularities. Add no cement to form surface paste. Spread or brush material which has been ground to paste uniformly over surface and allow to take reset. Do not rub chamfered corners in first surface rubbing. First rubbing shall be completed within 36 hours after completion of concrete placement. In preparation for final finish, rub with No. 30 Carborundum Stone or equal. After rubbing, strip surface with brush and allow mortar on surface to take reset; then wash surface with clean water. Leave structure with clean, neat, and uniform appearing finish.

#### **3C4**.3.23 Rough Finish:

For concrete having no special finish indicated, remove ties, fill holes, and remove fins and rough edges.

#### **3C4**.3.24 Waterstops:

Waterstop material will be completely embedded in concrete and shall extend an equal distance into both the old and the new concrete. Waterstops shall be continuous. Splices will be made in accordance with manufacturer's recommendations and approved by the Engineer.

# 3C4.3.25 Grouting:

- a. Mixture: The Contractor shall mix grout (proportion by weight) as follows:
  - (1) For Setting New Equipment: Where clearance is 1 inch or less in thickness, the Contractor shall use 1 part Portland Cement, 1 part clean sharp sand, 7/10 part non-shrinking grout aggregate. No more than 5-1/2 gallons water per sack of cement. Where clearance is over 1 inch in thickness, the Contractor shall use 1 part Portland Cement, 1 part clean sharp sand, and 1-1/2 parts 1/4 inch pea gravel, 7/10 part non-shrinking grout aggregate. No more than 6 gallons of water per sack of cement.
  - (2) Other: For general purpose grouting, the Contractor shall use 1 part Portland Cement and 2 parts sand. When space to be grouted is less than 1 inch, and it is impossible to tamp grout, use 1 to 1 mixture. Use stiff mixture for grout to be tamped. To obtain stiff grout mix mortar using amount of water required to thoroughly mix ingredients, then continue mixing without additional water until grout is stiff enough to be compacted by tamping when placed. For grouting blockouts for embedded pipes and similar items, use grout to which 5 pounds of non-shrinking grout aggregate per sack of cement has been added.

### b. Procedure for Grouting Equipment:

The surfaces of foundations that are to receive grout shall be free of all laitance, grease, oil, organic matter and loose particles. Bolt holes shall be cleaned of all extraneous matter. Concrete shall be chipped in order to obtain a firmer bond as directed by the Engineer. Forms for the grout shall be set true, level, and tight, and shall be well braced. All equipment to be grouted shall be assembled at the grouting site before grouting operations begin. Base plates and items to be embedded shall be cleaned and set in their final positions prior to the start of grouting operations. All equipment shall be so shimmed as to facilitate the removal of the shims. Shims shall be removed only after the grout has attained its full strength. The areas to receive grout shall be kept wet for a minimum of 12 hours

prior to grouting. Neat cement mortar slush coat shall applied with a stiff brush, and shall be scrubbed into the concrete foundation and applied to the sides and bottom of the base plate or other item to be set. The mortar shall be thoroughly mixed and an excess of water in the mixture shall be avoided. The grout shall be continuously worked and rodded while it is being placed in the forms. All grout destroyed in the removal of shims shall be replaced with grout of the exact same composition and consistency. All grout containing non-shrinkage grout aggregate shall be cut off vertically below the outside edge of the base plate or the base of the embedded equipment, and normal cement mortar shall be used to cover the edge of the grout. All exposed surfaces of the grout shall be steel troweled. All exposed areas shall be protected against rapid drying out. Items embedded in grout shall not be stressed. The machinery embedded in the grout shall not be operated for 36 hours.

#### **DIVISION 6 - CARPENTRY**

## **SECTION 6A - ROUGH CARPENTRY**

## 6A1[1] FRAMING AND SHEATHING

#### **6A1**.1 SCOPE:

This specification shall govern for all work necessary for providing the framing and sheathing required to complete the Project. This specification is a design specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

## **6A1**.2 <u>MATERIAL</u>:

# **6A1**.2.1 Quality of Materials:

All materials shall be as specified herein. Where no specific grade or size of material is specified for certain miscellaneous items of framing, the Contractor shall furnish a grade suitable for and consistent with the use thereof, and of sufficient size to support safely any loads which it may be called upon to support.

## **6A1**.2.2 <u>Framing Lumber</u>:

Framing lumber shall be S4S No. 1 Southern Yellow Pine.

#### **6A1**.2.3 <u>Hurricane Ties</u>: Hurricane ties shall be:

- a. Strong-Tie Connectors, Model H2.5A, 18 gauge 316 stainless steel metal as manufactured by the Simpson Company
- b. or an approved equal.

#### **6A1**.2.4 Anchor Bolts:

Anchor bolts shall be 316 stainless steel.

**6A1**.2.5 Screws: All screws shall be 316 stainless steel box screws of the required size.

## **6A1.3** CONSTRUCTION METHODS:

# **6A1**.3.1 General:

The Contractor shall verify all measurements on the drawings. He shall be held responsible to have checked the measurements on the drawings to ascertain if the various items on the job coordinate. Should any discrepancies in figured dimensions be found, the Contractor shall notify the Engineer and shall not begin any of the work until remedial instructions have been received.

## **6A1**.3.2 Roof Joists:

Roof joists shall be secured to the beam with hurricane ties. Any location that the joists cross a beam install hurricane ties using fasteners recommended by hurricane tie manufacturer (316 s.s).

# **6A1**.3.3 <u>Roof Sheathing</u>:

Roof sheathing shall be fastened with 316 stainless steel fasteners at a spacing not to exceed 12".

## **DIVISION 6 - CARPENTRY**

#### **SECTION 6A - ROUGH CARPENTRY**

## 6A3[1] WOOD DOCKS AND PIERS

#### **6A3**.1 SCOPE:

This specification shall govern for all work necessary for providing the materials and labor for construction of wood docks or piers required to complete the Project. This specification is a design specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

# **6A3**.2 <u>MATERIAL</u>:

#### **6A3**.2.1 Quality of Materials:

All materials shall be as specified herein. Where no specific grade or size of material is specified for certain miscellaneous items of framing, the Contractor shall furnish a grade suitable for and consistent with the use thereof, and of sufficient size to support safely any loads which it may be called upon to support.

#### **6A3**.2.2 Piling and framing Lumber:

Lumber shall be of the size and length shown on the drawings and shall be #1 or better rough cut southern yellow pine. Lumber shall be clean or steam dried before treatment. For timbers in frequent or constant contact with salt water the lumber shall be treated in accordance with AWPA C3 with a level of 2.5 CCA solution. All lumber shall be marked with the AWPA treatment level and are subject to plant verification.

#### **6A3**.2.3 Handrails, and Deck Lumber:

All dimensional lumber shall be S45 southern yellow pine grade #1 treated to a AWPA C3 treatment level of 0.31 CA. All handrails, and decks shall be #1 grade. Lumber shall be marked with the AWPA treatment level and are subject to plant verification.

## **6A3**.2.4 Hurricane Ties: Hurricane ties shall be:

- a. Strong-Tie Connectors, Model H-2.5A, 18 gauge, 316 stainless steel as manufactured by the Simpson Company, or
- b. or an approved equal.

#### **6A3**.2.5 Bolts:

Anchor bolts shall be 316 stainless steel.

## **6A3**.2.6 Nails:

All nails shall be 316 stainless steel of the required size.

## **6A3**.2.7 <u>Screws</u>:

All screws shall be 316 stainless steel screws of the required size.

## **6A3**.3 <u>CONSTRUCTION METHODS</u>:

#### **6A3**.3.1 General:

The Contractor shall verify all measurements on the drawings.

## **DIVISION 10 - SPECIALTIES**

#### **SECTION 10C - FIBERGLASS GRATING**

## 10C1[3] FIBERGLASS REINFORCED POLYPROPYLENE GRATING

#### **10C1**.1 SCOPE:

This specification shall govern for all work necessary to furnish and install all the fiberglass grating required to complete the project. This specification is a performance specification as defined in Section 1D General Conditions, Subsection "Supplemental General Conditions", Art. SC-1 Definitions.

#### **10C1**.2 INTENT:

It is the intent of these specifications that all safety grating meet the requirements of the Occupational Safety and Health Act of 1970 (O.S.H.A.). The grating shall be Thru Flow Surge 50.

#### **10C1**.3 DESIGN:

The walkway grating and steps, when installed, shall be capable of safely withstanding a peak concentrated static load of 715 pounds.

## **10C1.4** SAFETY SURFACE:

The fiberglass grating shall have a non-skid surface subject to the approval of the Engineer.

## **10C1**.5 MATERIAL:

## **10C1**.5.1 <u>General</u>:

Grating shall be a minimum 1.2" thick rectangular mesh. Technical specifications shall include the following:

- a. Weight: 4.4 lbs/sq.ft. minimum
- b. Support Span: 18"
- c. Color: Light grey
- d. Sunlight Protection: The resin used in making the grating shall contain an ultraviolet inhibitor so that the grating will be capable of withstanding daily exposure to direct sunlight without appreciable deterioration. Alternate provisions for sunlight protection are subject to the approval of the Engineer.

#### **10C1.5.2** Strength and Deflection:

- a. Flexural Strength: 36,000 PSI minimum per ASTM D-790 tested on full thickness of grating.
- b. Maximum Deflection: The grating, when installed, shall meet International Building Code requirements for commercial structures. The structure shall not deflect more than .25 inches at mid span.

## **10C1.6** FASTENERS AND ANCHOR BOLTS:

# **10C1**.6.1 <u>Fasteners</u>:

All fasteners shall be 316 stainless steel.

## **10C1.7** CONSTRUCTION METHODS:

# **10C1**.7.1 Workmanship:

All fabrication and erection shall be first class and done in accordance with standard practice to provide a neat, structurally sound installation. All cut edges shall be sealed with resin to prevent wicking and chemical corrosion.

## 10C1.7.2 Connections:

- a. Clips: 316 stainless steel clips shall be installed in accordance with the manufacturer's recommendations.
- b. Screws: 316 stainless steel screws shall be neatly installed in the proper location on the grating.



#### **DEPARTMENT OF THE ARMY**

U.S. ARMY CORPS OF ENGINEERS, GALVESTON DISTRICT 5151 FLYNN PARKWAY, SUITE 306 CORPUS CHRISTI, TEXAS 78411

February 23, 2024

Corpus Christi Regulatory Field Office

SUBJECT: Permit No. SWG-2008-01000; Nationwide Permit Verification

The City of Port Aransas Attn: Mr. David Parsons 710 West Avenue A Port Aransas, Texas 78373

Dear Mr. Parsons:

This is in reference to your request, dated January 24, 2024, submitted on your behalf by Josh Melnar with Perennial Environmental Services, to temporarily place approximately 2.92-acres of fill into palustrine wetlands, estuarine wetlands, and open water for the construction of boardwalk. The project site is located adjacent to the Corpus Christi Ship Channel, at Charlies Pasture, in Port Aransas, Nueces County, Texas.

This request is verified by Nationwide Permit (NWP) 14 pursuant to Section 404 of the Clean Water Act and/or Section 10 of the Rivers and Harbors Act of 1899. This NWP verification is valid provided the activity is compliant with the enclosed plans, in 16 sheets. In addition, the activity must be in compliance with the NWP General/Regional Conditions, Section 401 Water Quality Certification, and the Coastal Management Program, which can be found at:

https://www.swg.usace.army.mil/Missions/Regulatory/Permits/Nationwide-General-Permits/. A hard copy can be provided to you upon request.

NWP 14. Linear Transportation Projects: Authorizes activities required for crossings of waters of the United States associated with the construction, expansion, modification, or improvement of linear transportation projects.

The NWP verification is valid until the NWP is modified, reissued, or revoked. The subject NWPs authorized in 2021 are scheduled to be modified, reissued, or revoked prior to March 15, 2026. It is incumbent upon you to remain informed of changes to the NWPs. We will issue a public notice when the NWPs are reissued. Furthermore, if you commence or are under contract to commence this activity before the date that the relevant NWP is modified or revoked, you will have 12 months from the date of the modification or revocation of the NWP to complete the activity under the present terms and conditions of this NWP.

The following special conditions have been added to your authorization:

1. This permit does not authorize the take of federally listed species, in particular whooping crane, eastern black rail, piping plover, northern aplomado falcon, or red knot. In order to legally take a listed species, you must have separate authorization under Section 7 of the Endangered Species Act (ESA). Your authorization under this Corps permit is conditional upon your implementation of the conservation measures described in the U.S. Fish and Wildlife Service (FWS) Concurrence Letter, dated December 20, 2023, as enclosed. Failure to comply with these measures will constitute non-compliance with your permit. The FWS is the appropriate authority to determine compliance with the listed conservation measures and with the ESA.

This verification does not address nor include any consideration for geographic jurisdiction on aquatic resources and shall not be interpreted as such. If you have any questions, please contact Ashley Bogrand by electronic mail (email) Ashley.L.Bogrand@USACE.Army.Mil or by telephone at 361-814-5847 ext. 1007.

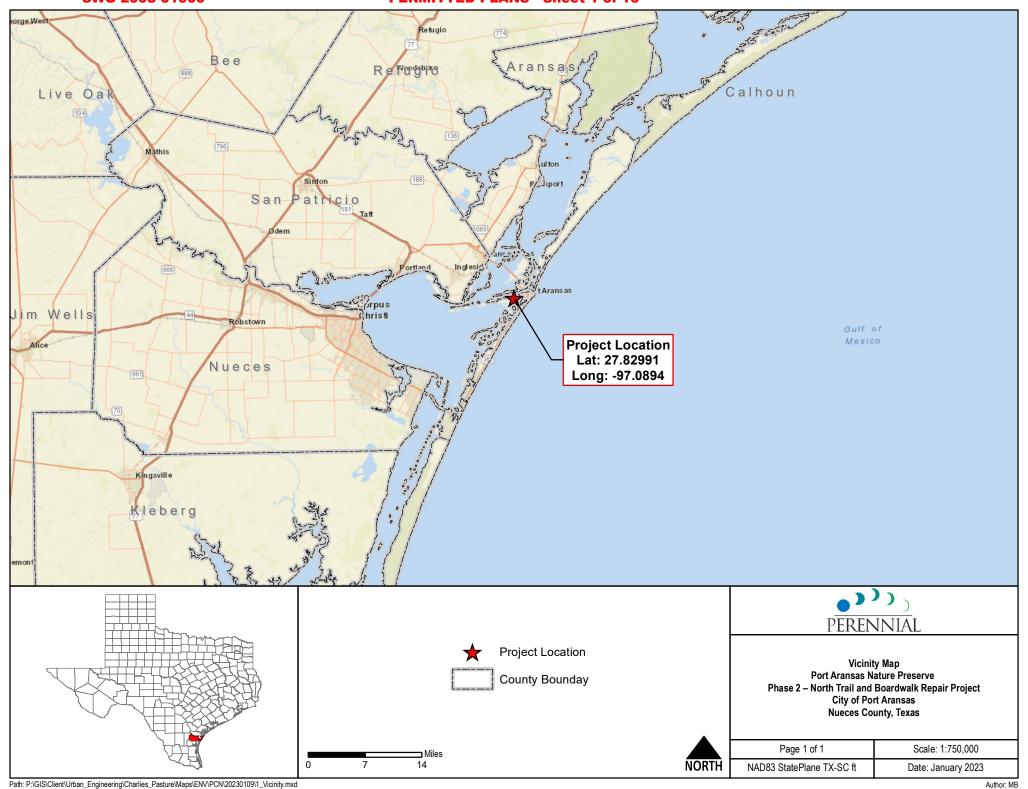
Please notify the Galveston District Regulatory Division Corpus Christi Field Office in writing by email at <a href="mailto:CESWGRegulatoryInbox@USACE.Army.Mil">CESWGRegulatoryInbox@USACE.Army.Mil</a> upon completion of the authorized project.

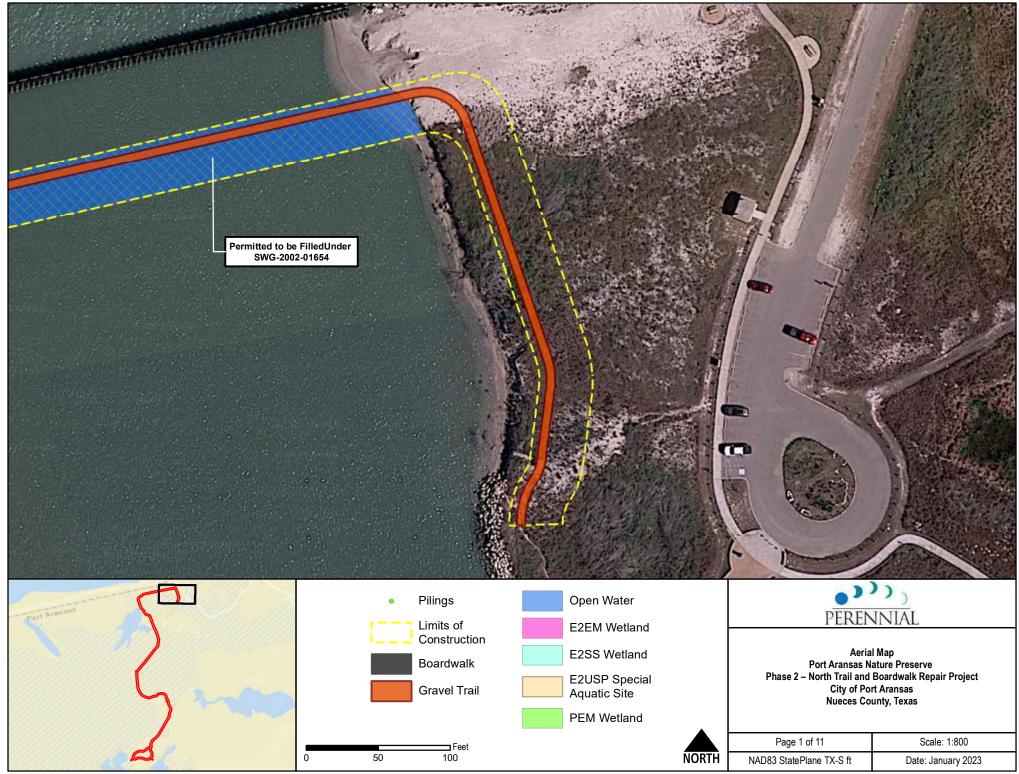
FOR THE DISTRICT COMMANDER:

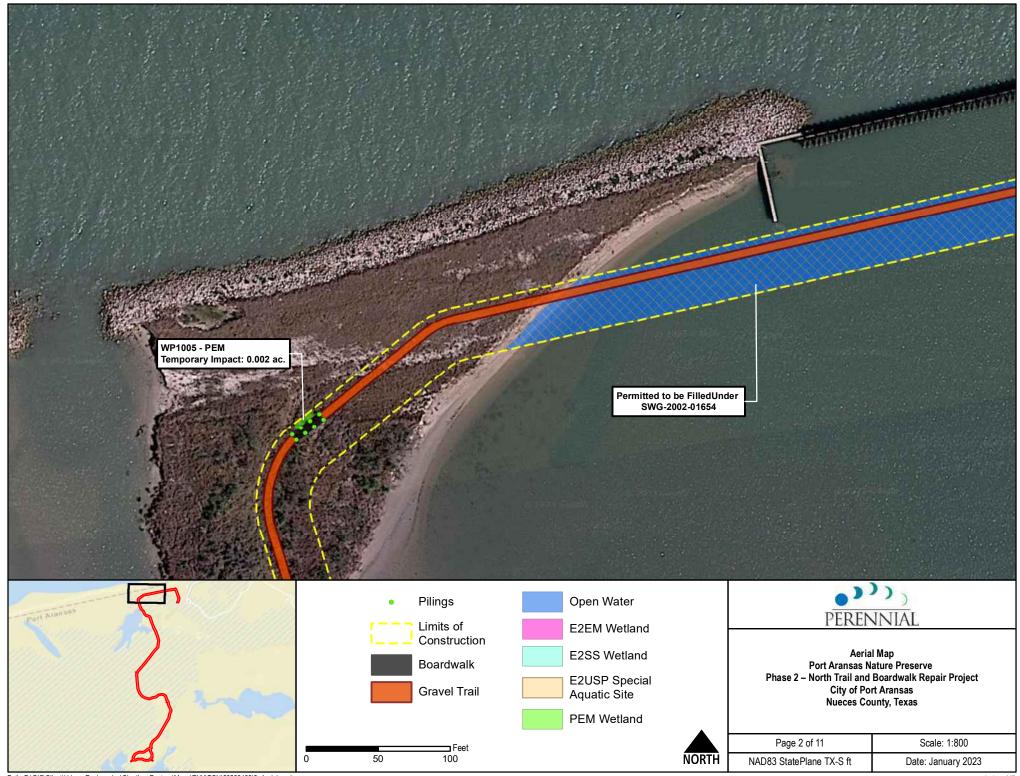
Kristie A. Wood Supervisor Corpus Christi Regulatory Field Office

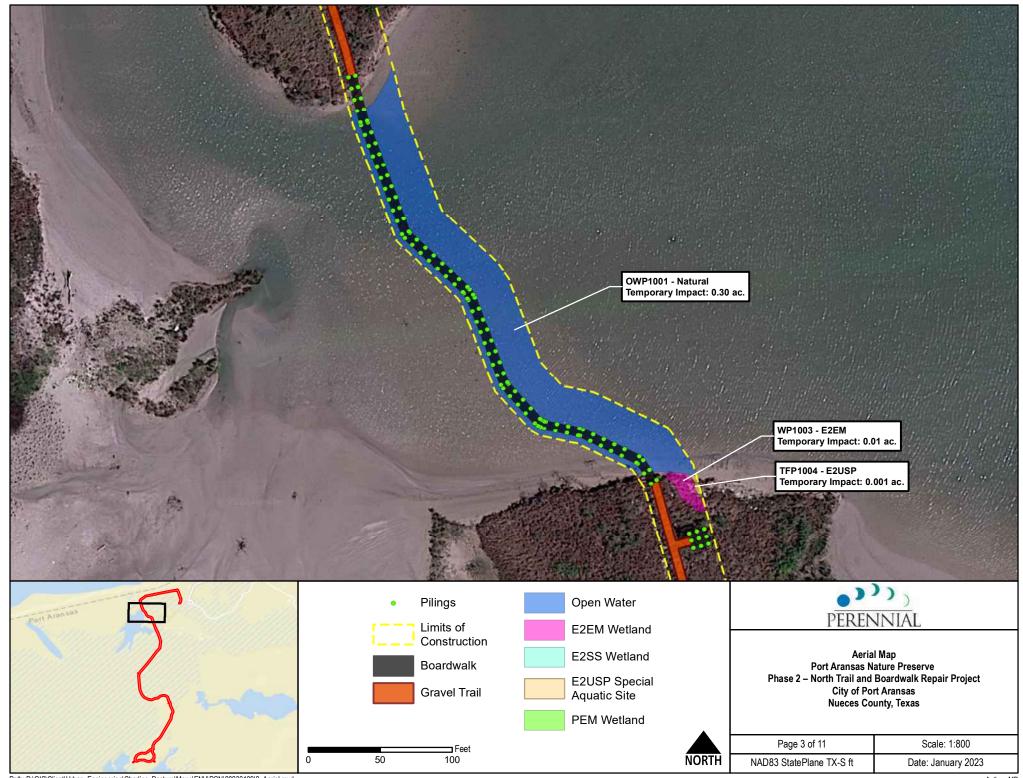
cc w/Encl.
Josh Melnar – Perennial Environmental Services
Eighth Coast Guard District, New Orleans, LA
National Oceanic and Atmospheric Administration (NOAA), National Ocean Service
(NOS), Coast & Geodetic Survey, Silver Spring, MD
Texas Commission on Environmental Quality
Texas General Land Office

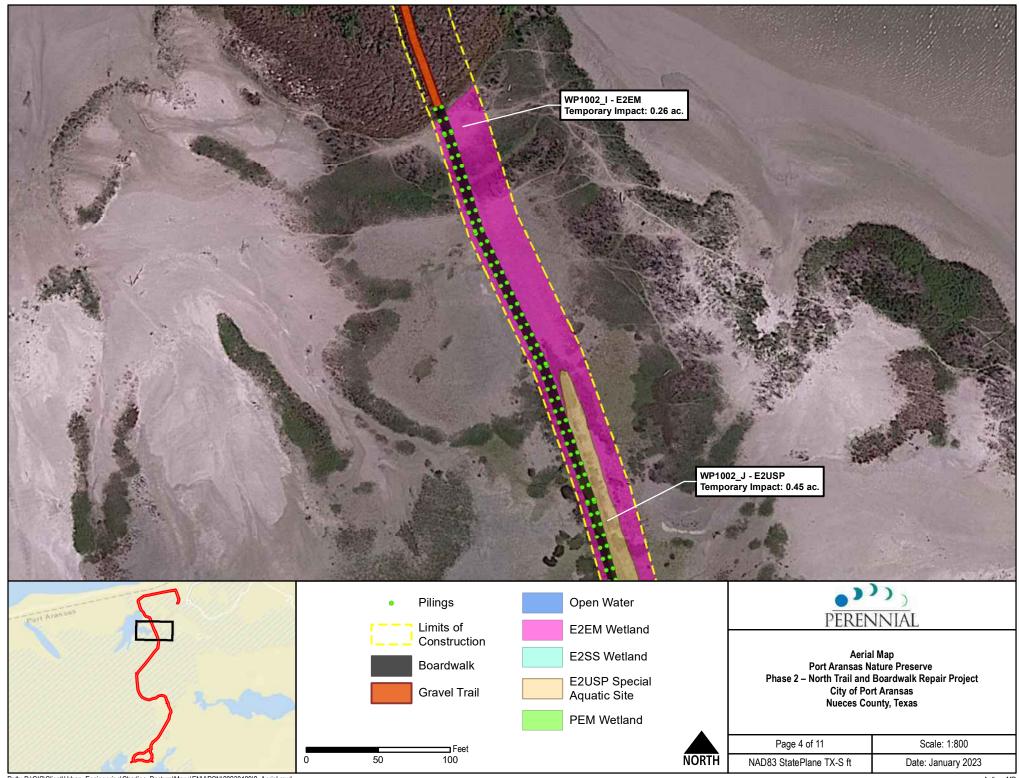
## **PERMITTED PLANS - Sheet 1 of 16**

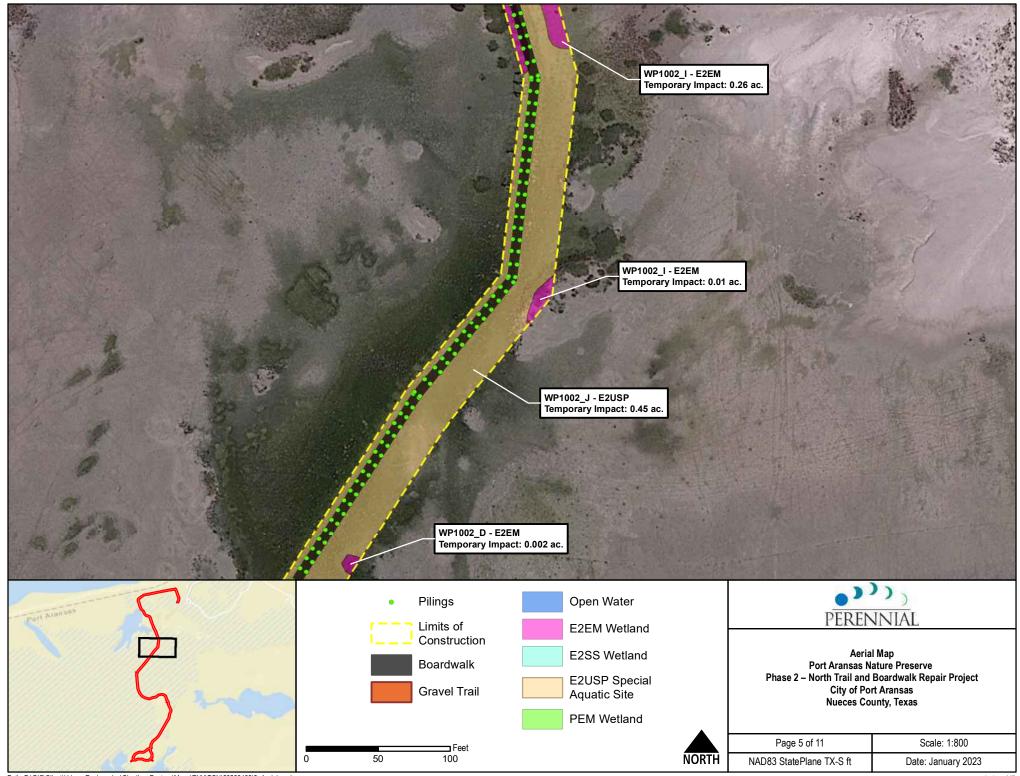


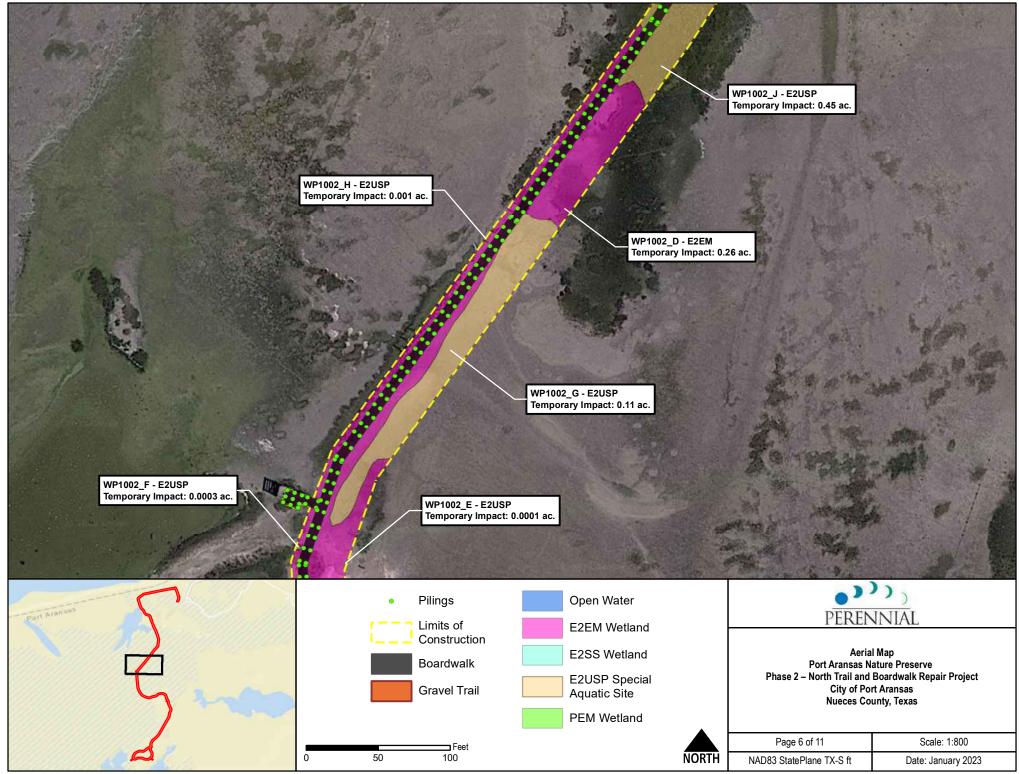


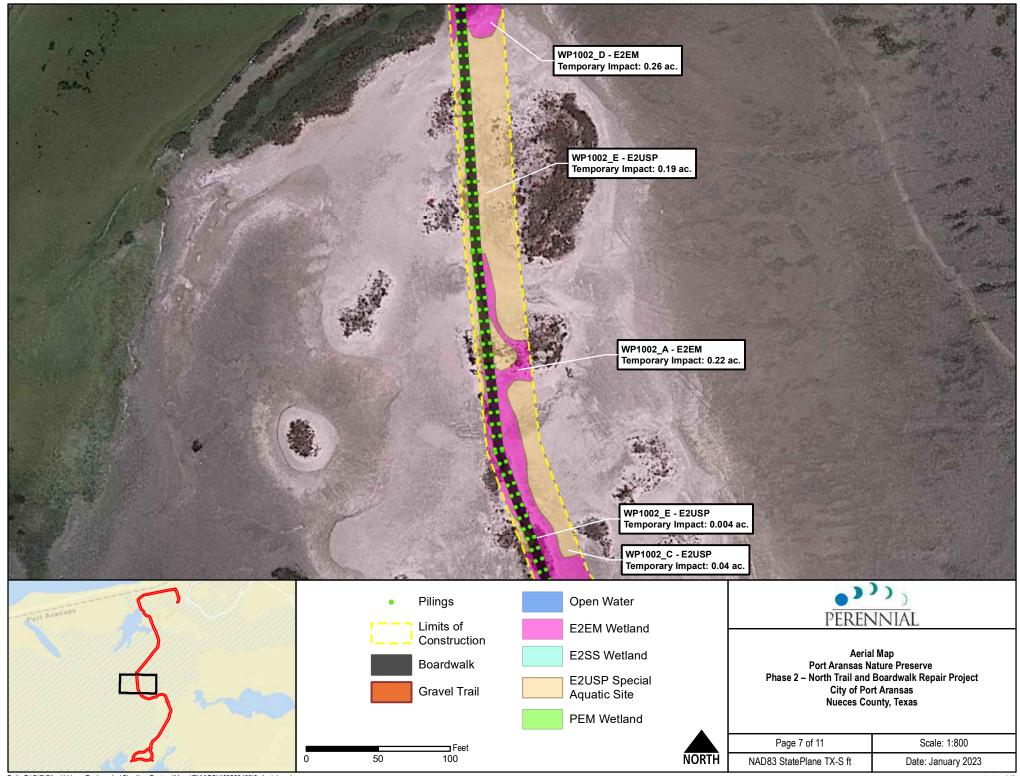


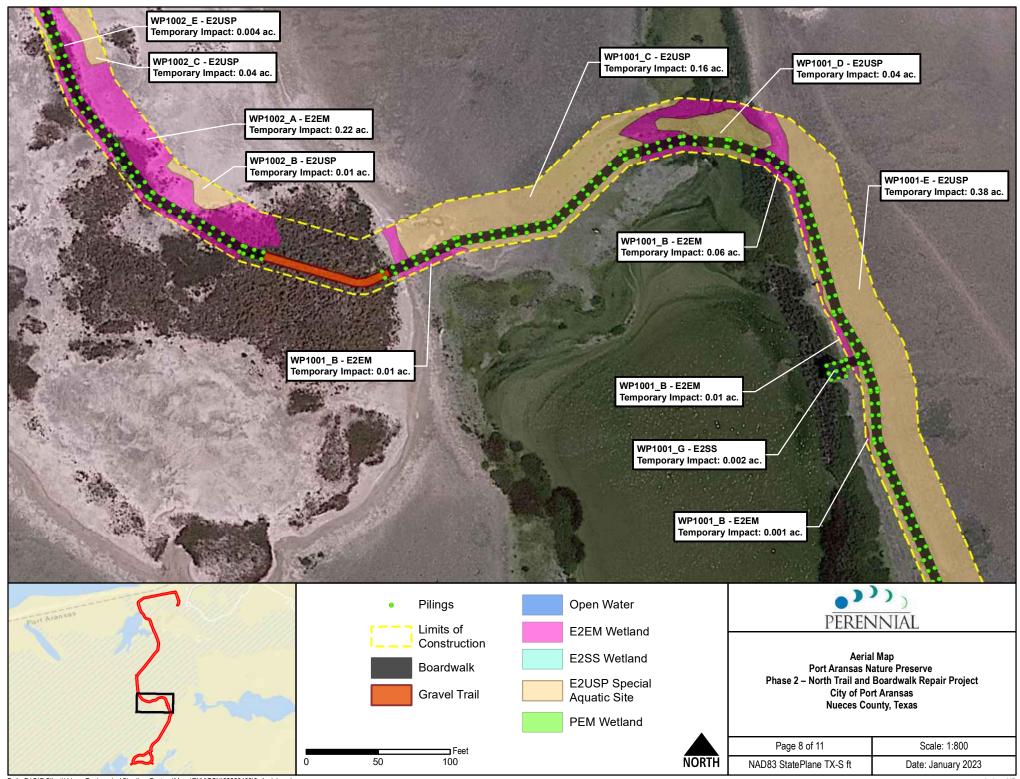


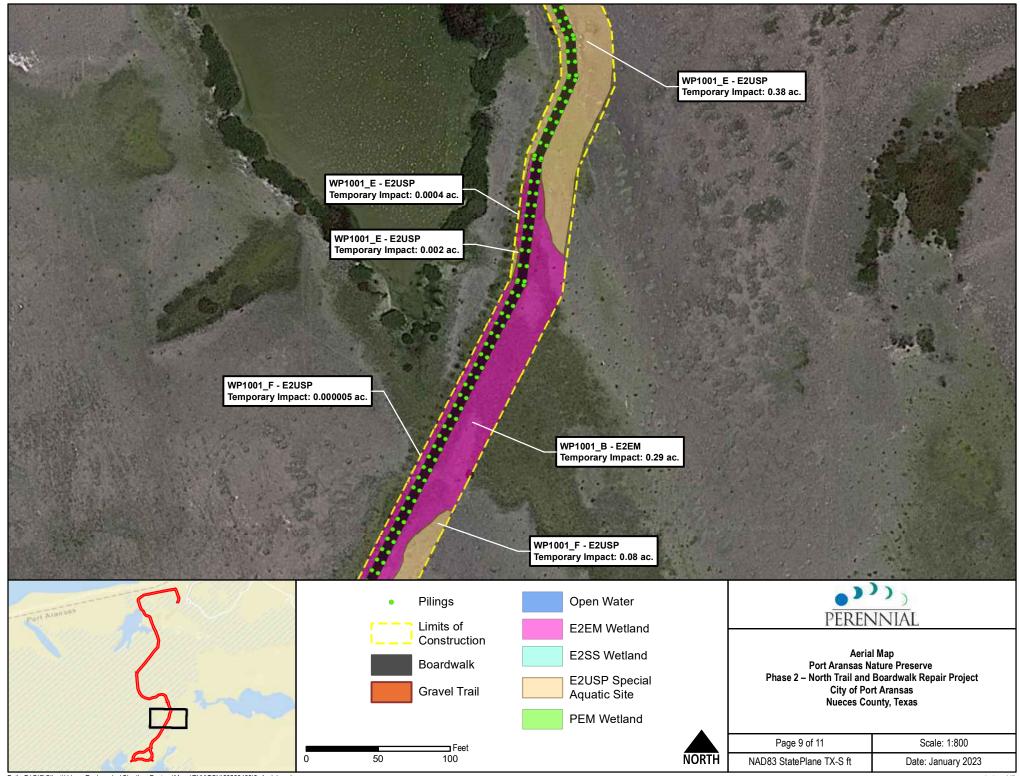


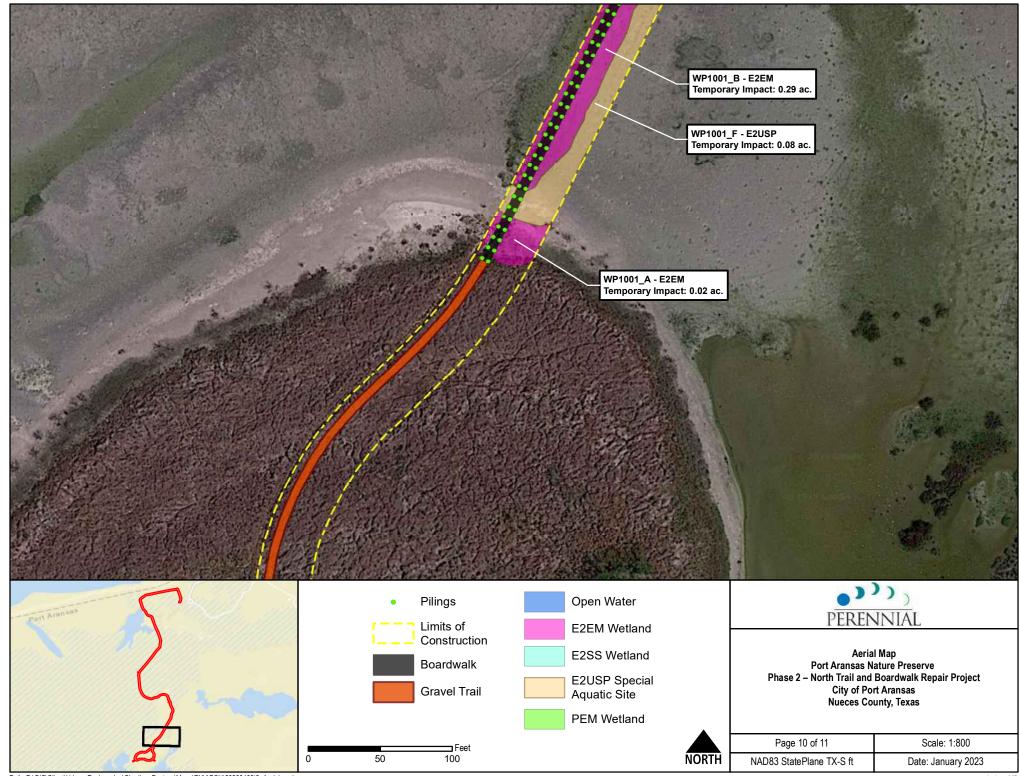


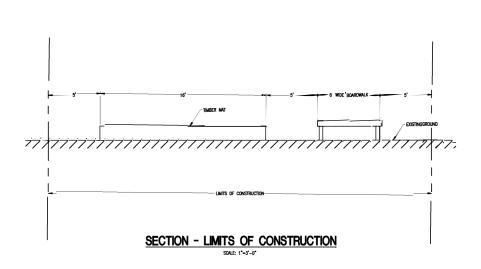












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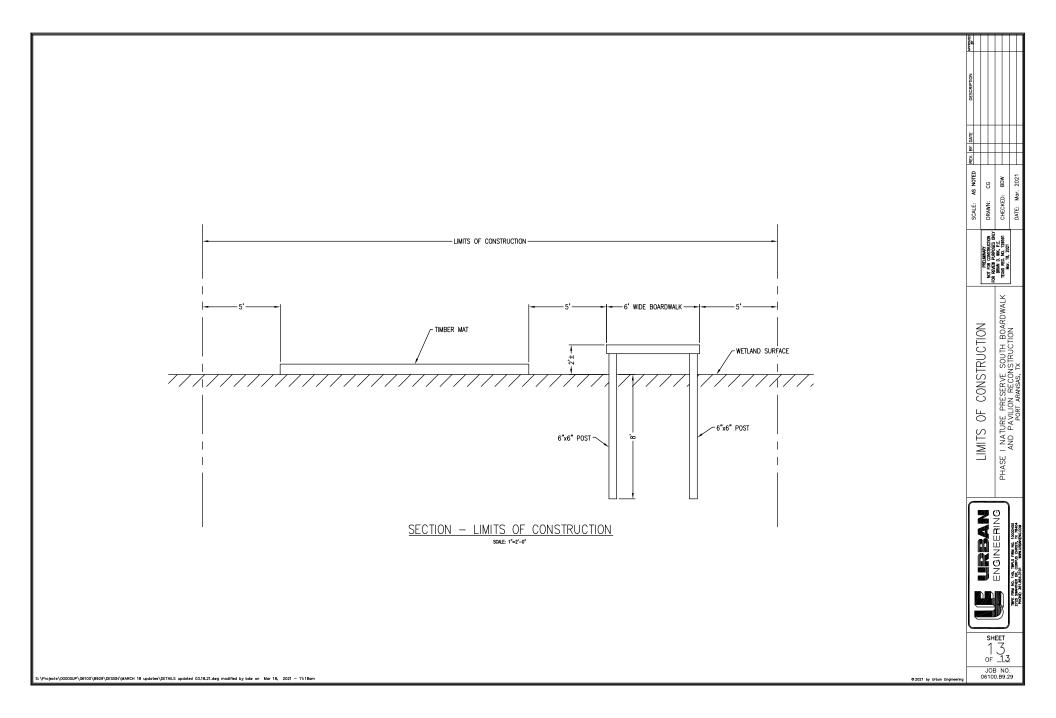
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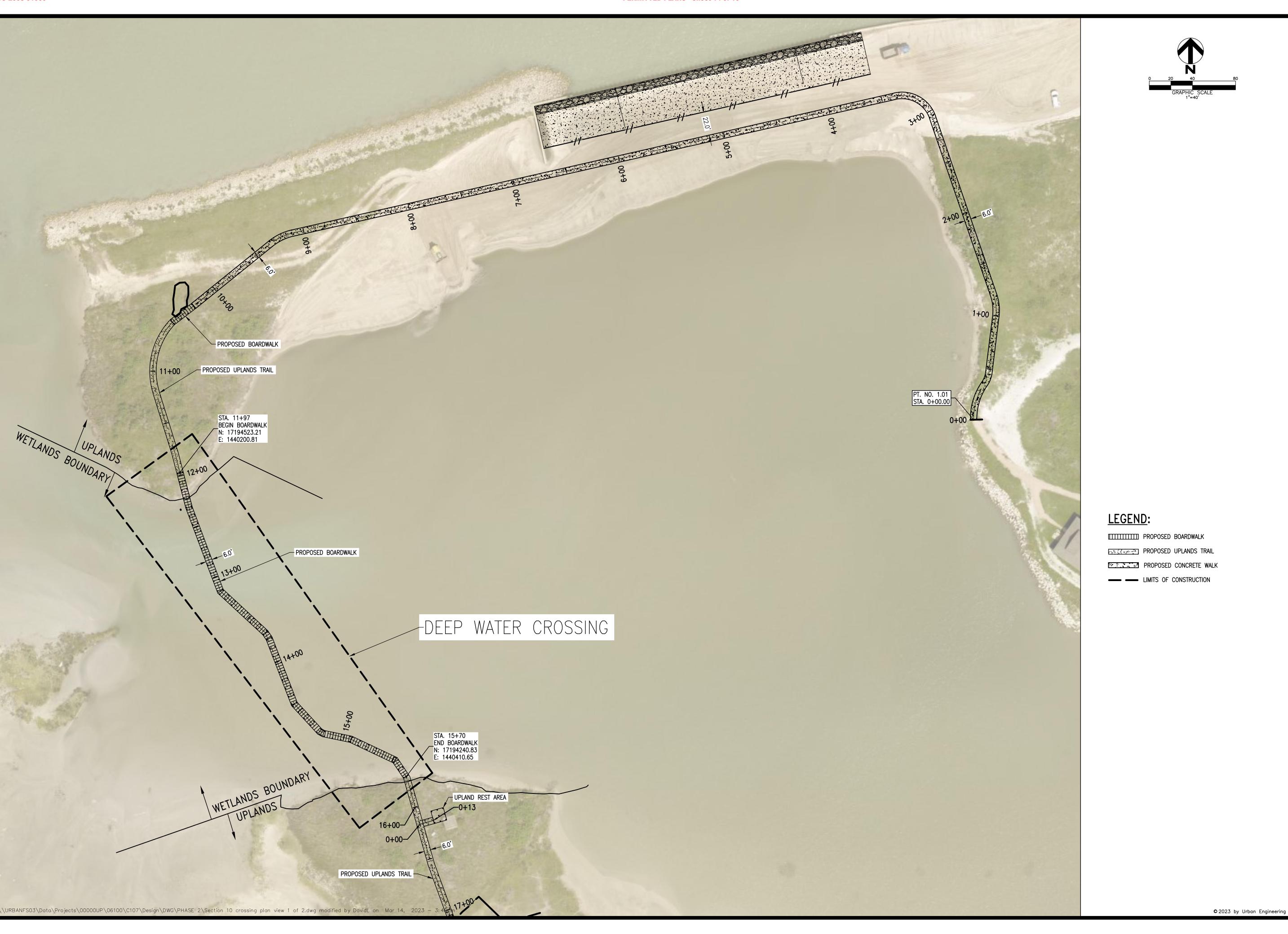
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SWG-2008-01000 **PERMITTED PLANS - Sheet 14 of 16** 



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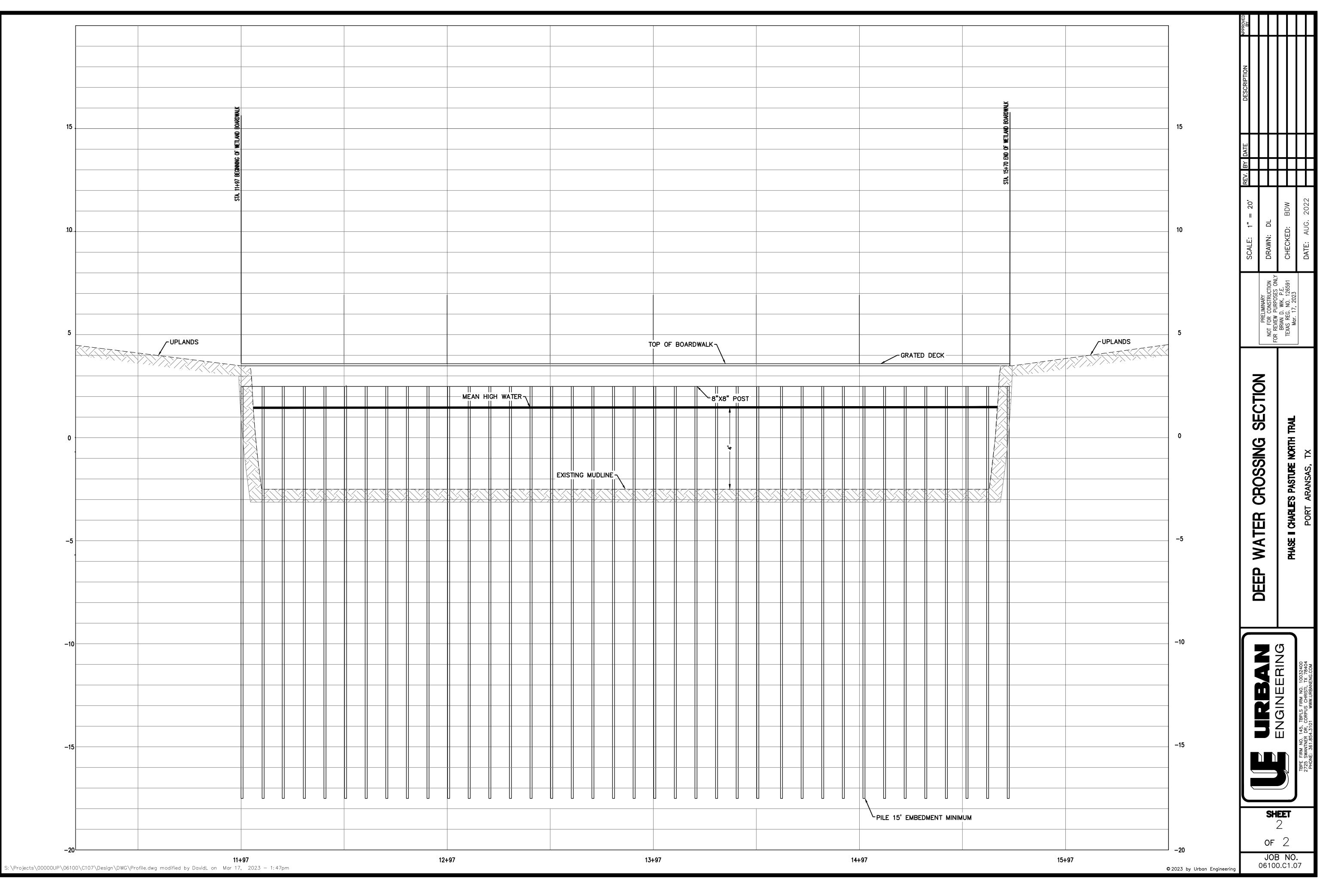
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DEEP

SHEET of 2

**JOB NO.** 06100.C1.07

**SWG-2008-01000 PERMITTED PLANS - Sheet 15 of 16** 



Environmental Features Impacted by the Port Aransas Nature Preserve Phase 2 - North Trail and Boardwalk Repair Project										
Feature ID	Feature Type	Loc Latitude	ation Longitude	Boardwalk Crossing Distance (Feet)	Temporary Workspace Acreage	Suppor Number Installed	t Piles Square Footage			
WP1001_A	E2EM	27.82619	-97.08802	10.30	0.02	5	3.93			
WP1001_C	E2USP	27.82845	-97.08825	184.26	0.16	48	37.68			
WP1001_D	E2USP	27.82857	-97.08784	41.38	0.04	10	7.85			
WP1001_G <sup>1</sup>	E2SS	27.82811	-97.08760	0.00	0.00	6	4.71			
WP1002_A	E2EM	27.82868	-97.08915	316.73	0.22	86	67.51			
WP1002_B <sup>2</sup>	E2USP	27.82847	-97.08895	0.00	0.01	0	0.0			
WP1002_C <sup>2</sup>	E2USP	27.82888	-97.08924	0.00	0.04	0	0.0			
WP1002_F <sup>2</sup>	E2USP	27.82981	-97.08941	0.00	0.0003	0	0.0			
WP1002_G <sup>2</sup>	E2USP	27.83019	-97.08908	0.00	0.11	1	0.79			
WP1002_I	E2EM	27.83238	-97.08827	319.86	0.27	81	63.59			
WP1002_J	E2USP	27.83135	-97.08827	315.27	0.45	132	103.62			
WP1003 <sup>2</sup>	E2EM	27.83314	-97.08853	0.00	0.01	0	0.0			
WP1005	PEM	27.83436	-97.08924	6.44	0.002	1	0.79			
TFP1004 <sup>2</sup>	E2USP	27.83315	-97.08851	0.00	0.001	0	0.0			
WP1001_B	E2EM	27.82686	-97.08765	585.92	0.37	144	113.04			
WP1001_E	E2USP	27.82723	-97.08749	410.07	0.385	115	90.28			
WP1001_F	E2USP	27.82645	-97.08784	20.93	0.08	4	3.14			
WP1002_D	E2EM	27.83024	-97.08906	502.81	0.26	128	100.48			
WP1002_E	E2USP	27.82875	-97.08928	242.05	0.190	56	43.96			
WP1002_H <sup>2</sup>	E2USP	27.83041	-97.08899	0.00	0.001	0	0.00			
OWP1001	Tidal Water	27.83353	-97.08897	339.40	0.30	99	77.72			
Total				3,295	2.92	916	719.09			

<sup>&</sup>lt;sup>1</sup>Support pilings will be placed into wetland; however, no temporary discharges of dredge or fill would occur

<sup>&</sup>lt;sup>2</sup>Feature is not crossed by the boardwalk and only located within temporary workspace



# **United States Department of the Interior**



FISH AND WILDLIFE SERVICE
Texas Coastal Ecological Services Field Office
4444 Corona Drive, Suite 215
Corpus Christi, Texas 78411
PHONE: 361/994-9004
FAX: 361/994-8262

In Reply Refer To: 2024-I-0028251

December 20, 2023

Ms. La Toya Leger Environmental Liaison Officer Federal Emergency Management Agency 11000 North Interstate Hwy 35 Austin, Texas 78753

Dear Ms. Leger:

This responds to your December 7, 2023, letter requesting concurrence on a determination of the effects for Phase Two of the Charlie's Pasture Nature Preserve (Preserve), on federally listed threatened and endangered species, and critical habitat. Phase One was covered under a Biological Opinion (2022-F-0000338) to account for potential harassment of the pair of whooping cranes which feed at the site. As the birds are continuing to use the area, the methods of construction are considered effective in reducing the risk of harassment. The proposed project includes the construction of a pedestrian boardwalk, observation decks, and an observation tower, in Port Aransas, Nueces County, Texas.

The following federally listed species have the potential to occur in the project area, due to suitable habitat within or near the project area: piping plover (*Charadrius melodus*), whooping crane (*Grus americana*), eastern black rail (*Laterallus jamaicensis jamaicensis*), northern aplomado falcon (*Falco femoralis*), and red knot (*Calidris canutus rufa*). The Federal Emergency Management Agency (FEMA) has determined that the project *may affect but is not likely to be adversely affect* the species listed above. FEMA also determined the project will not adversely modify piping plover critical habitat.

The following measures are proposed by FEMA to prevent or minimize potential adverse effects to threatened and endangered species to the extent practicable:

- Construction crews should be educated on the appearance, status, and construction protocols for all listed species that may be in the area.
- Construction materials will be staged at the Preserve's parking lots or other paved sites.
- The biological monitor will inspect the active work areas prior to the start of work and continuously throughout the workday. A biological monitor should ensure a slow pace (5 mile per hour or less) of all equipment moving through potential rail habitat to allow birds to escape ahead of equipment.

Ms. La Toya Leger 2

• Construction will occur outside of November 1 – April 1 whooping crane season.

- Survey pre-project to assess black rail presence or project proponents may assume presence within suitable habitat.
- Construction will use a single access route and staging area to minimize impacts to these areas as much as is practicable. Minimize the number of vehicles going from the upland areas to the project site and have all vehicles use the same pathway.
- Permanent lighting should be pointed away from potential crane, rail, or plover habitat, and down shielded.
- Limit project activity to daylight hours to the extent possible. If nighttime work is required, aim lighting at work zone and turn off when not needed, as possible.
- Timber mats are to be utilized for construction access to wetland areas. After the project is completed, all ruts should be leveled. Pre-project elevations will be restored and allowed to re-vegetate naturally.
- For the resident northern aplomado falcons, we recommend staying 300 to 600 feet away if birds are observed in the area.
- Avoid contaminating aquatic and wetland systems with runoff by limiting equipment maintenance, staging, fueling, etc., to designated upland areas.

The U. S. Fish and Wildlife Service (Service) agrees that with the implementation of the conservation, avoidance and minimization measures noted above, the likelihood of an impact occurring to piping plover, whooping crane, eastern black rail, northern aplomado falcon, and red knot from Phase Two is insignificant and discountable. The Service, therefore, concurs with FEMA's determination that the project may affect, but is not likely to adversely affect these five species. We also concur that piping plover critical habitat will not be adversely modified.

FEMA have made a "no effect" determination for the following species due to lack of habitat: West Indian manatee (*Trichechus manatus latirostris*), Kemp's ridley sea turtle (*Lepidochelys kempii*), green sea turtle (*Chelonia mydas*), hawksbill sea turtle (*Eretmochelys imbricata*), leatherback sea turtle (*Dermochelys coriacea*), loggerhead sea turtle (*Caretta caretta*), gulf coast jaguarundi (*Yagouaroundi cacomitli*), ocelot (*Leopardus pardalis*), or South Texas Ambrosia (*Ambrosia cheiranthifolia*). The Service does not provide concurrences for "no effect" determinations, however the Service believes that FEMA has complied with (7)(a)(2) of the Act by making a determination.

Should project plans change, or if additional information on the distribution of listed or proposed species becomes available, this determination can be reconsidered. If you have any questions or need further assistance, please contact M. Sandra Lee at 361-225-7316 or by email at mary lee@fws.gov.

Sincerely,

CHARLES Digitally signed by CHARLES ARDIZZONE Date: 2023.12.20 12:43:24-06'00'

Charles Ardizzone Field Supervisor